

The University of Sheffield School of Architecture

Interactive urban form design of local climate scale in hot semi-arid zone

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Acknowledgement

For the sake of Allah, To my heart; prophet Mohammad peace and blessings upon him

To my dear parents, wife and children, To my parents in law and all of my brothers and sisters

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The reader is kindly asked to take into consideration and view with compassion the fact that the author is not writing in his first language especially with reference to the minor differences between the written UK and USA English.

Summary

From a sustainability point of view, urban form design has to consider climate that affects thermal performance of outdoor spaces and in turn pedestrian comfort, indoor energy consumption and climate change. It has been argued that the complexities of urban climatology prevented the connection between climate knowledge and urban planning practice and to wholly assess urban patterns by measurements. Urban climatology is a multi interdisciplinary fields related to form (fabric + pattern); vegetation, human biometeorology and comfort scaling, meteorology, architecture, urban planning, urban design, landscape, heat transfer physics, pollution, urban health, remote sensingetc are examples of involved fields. The primary aim of this research is to analyze and assess thermal impacts of urban developments in Cairo as a reflection of effective, ineffective built environment and to promote an implementation of appropriate sustainable urban form on a climate basis between the eastern western patterns mixture in a mega city like Cairo.

From these standing points, two existing neighbourhood cases were numerically examined using ENVI-met3.1 as a first time in a climate based urban planning research to assess the thermal performance of about 1 km² neighbourhood's outdoor spaces. ENVImet3.1 combines almost all thermal interactions of built environment except those of anthropogenic (such as from transportation and HVAC systems) and green house gases' heating. An important preparation stage took place using GIS and sub-methodological solutions to get all needed input data for simulations. Modelling trees without measuring its foliage parameters and output data extraction are two sub-methodological techniques used in this research to help modelling and assessing the cases. Simulations held in summer time for two base cases and three alternatives for each case consumed about 15 months following a suggested design model to embed what is so called urban passive cooling system and tools within the design procedure of the urban form. The shadedunshaded urban avenues, clustered form, GreenSect, are examples of the passive tools applied. Results of this research give implications for urban planners by strongly relating urban form thermal performance with its population density, housing strategy and land uses. Moreover, results give approaches for not only predicting present day urban land uses by the application of the URban PLAnning thermal comfort model, URPLA but also give approaches to adapting urban developments for climate change by using URPLA with a morphed meteorological data. This research work received a chance May 2010 for its resulted implications to be applied in an EPSRC project; URban River corridors and SUstainable Living Agendas, URSULA.

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Introduction: Extremely hot suburban Cairo

a – Forward:

The total environment consists of the natural and built environments and the aim for environmental design is to achieve thermal, aural and visual physical comfort together with psychological comfort for indoor or outdoor conditions.

In the early years of the 20th century the ideas around urban housing were concentrated on the need to accommodate populations within industrial communities (Allam and Geith 1995; Bernhardt 2005). Places for people to gather for social events were incorporated into the town planning theories. Eventually, the town areas grew and radiated to form more complicated patterns and this gave rise to a branch of studies in the late 1960s known as urban planning (Lynch 1960; Lynch and Hack 1984; Kreditor 1990; Schurch and 1999). Consequently, urban planning and its complex related topics have grown in both qualitative humanities subjects and quantitative science subjects. But these different disciplines haven't met in practice of urban climate (Eliasson 2000; Ali-Toudert 2005; Oke 2006). Therefore, this study is examining the relationship of the outdoor thermal performance and pedestrian comfort with form design principles, urban planning and usage of vegetation, fig (i).

It has been seen over the last 30 years of urban climate research that the application of passive techniques on a scale bigger than a single building can maximize the benefits in terms of energy saving, minimize climate change effects, improve pedestrian comfort and well being (Rosenfeld et al. 1995; Akbari et al. 2001).

This research investigated approaches to improving, by urban form design, the thermal performance of the urban patterns at the new urban developments in Cairo, Egypt. In this study, patterns at the neighbourhood local climate scale of about 1 km² have been investigated by numerical simulation, predominantly using the software package ENVI-met, which was the main analysis tool. This thesis will travel through urban planning theories, outdoors thermal comfort concepts, and try to investigate how climate, climate change, planning theories, and policies can affect the thermal performance of neighbourhoods. This research took place to find keys of relating climate knowledge to the applied urban planning in Cairo, Egypt in order to consider pedestrian comfort, reducing energy consumption and climate change effects.

b – Problem definition:

In a hot climate country like Egypt many planning projects have been produced and executed to cope with population growth (El Araby 2002; Ali 2003). In Cairo, the overwhelming rate population growth did not allow time for full environmental studies for both the built and the natural environment where buildings and open spaces have to be adequately climate responsive. Urban form is strongly dependant on climate interactions which can amplify or moderate impacts on human thermal comfort.

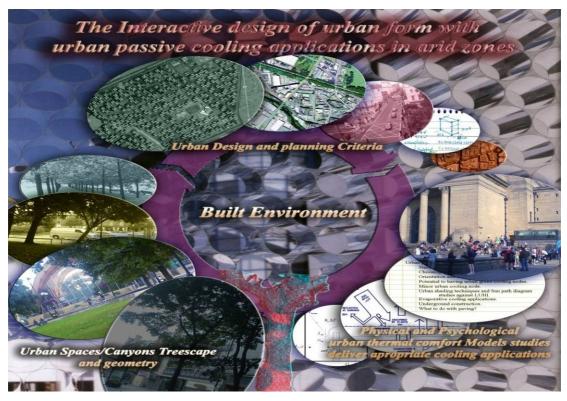


Figure i: Thermal environment tree, Photoshop edited graph for the author.

Cairo, N 30° 7', E 31° 23', is the capital of Egypt and located at the node at which the River Nile splits into two branches. It has been established in the 6th century BC, about 64 years in the Hijjry lunar Calendar. Cairo showed four time periods of urban planning developments based on political and economic shifts (Stewart 1999; Stewart et al. 2004; Yin et al. 2005). Two neighbourhood case studies in Cairo will be presented for a detailed analysis of passive urban design. The first case, the Fifth Community of the new Cairo, fig (ii), is a new suburban project that was quickly occupied due to the good level of public and private funding and the high reputation the development. The second case is in Misr Al-Gadida, fig (iii), which is

another community in Cairo built about 80 years before the Fifth Community. The different urban forms and patterns in both cases affected their thermal performances due the different land uses, housing types, population density and others.



Figure ii: New Cairo Land Use (NUCA 2006).

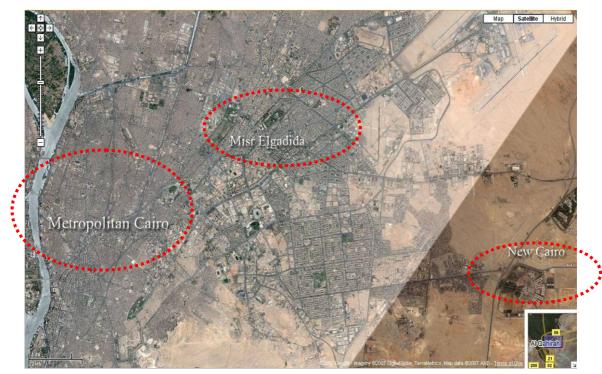


Figure iii: Cases relation to Metropolitan Cairo, adapted from Google maps.

As the first case study is one of a growing number of new urban developments around Cairo, its ability to move towards sustainable development has attracted research attention. Urban planning faults reported led to many informal areas and to the failure of Greater Cairo's master plan which have been issued in 1970, 1982 and 1992 (Sutton and Fahmi 2001; El Araby 2002; Ali 2003; Kipper and Fischer 2009). It failed in directing the population growth towards the east and west frontiers instead of the north and south metropolitan areas. As a recent suburban development and although it is not yet 100% completed and inhabited, the urban morphology of the Fifth Community as part of New Cairo, has been rapidly constructed within no more than 20 years. The most obvious comments about the Fifth Community in terms of the relations between urban planning and design with thermal performance can be summarized as following:

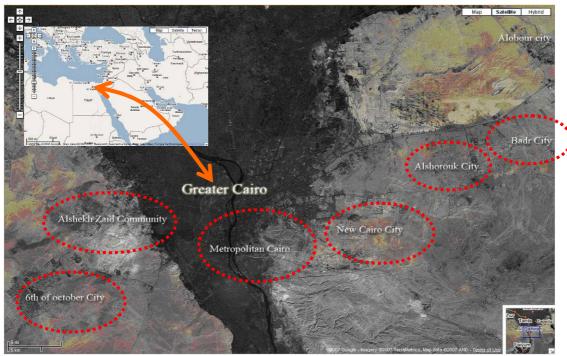


Figure iv: Towns around Metropolitan Cairo, adapted from Google maps.

1- From an urban planning point of view, it was planned in the late 1970s and early 1980s to attract the large population of metropolitan Cairo as part of a new satellite town's series, fig (iv). Present day planning and application methodology depended on "those protocols used by planners, traffic engineers, environmentalists, urban designers, landscapers, architects, land-use attorneys, developers, bankers and marketing experts, which has built the precarious Babel of current practice" (Duany 2002), p-255. Those communities became

automobile anthropogenic heat sources dependent on metropolitan Cairo for all their infrastructure and utilities. Accessible pedestrian networks to community facilities, transport choice opportunities, energy conservation measures, green structure, built environment development, public spaces and parks are deficient.

2- From the thermal comfort design point of view, the western fabric type of suburban separated residential buildings increases local urban warming by allowing exposed surfaces to experience direct solar gain. There is no evidence for passive cooling technique or application being pre-planned for usage there. Moreover, the changed land uses, policies, and uncontrolled population growth has resulted in more problems such as an increased use of mechanical cooling, automobile dependent communities and hence more anthropogenic heat emissions. This is all in a time of climate change and higher oil and energy prices.

c - Research hypothesis:

The research hypothesis being tested in this study is whether or not embedding passive cooling systems into the design of urban patterns and forms can actually alleviate outdoor thermal stress and provide better thermal comfort levels for pedestrians in hot climates.

d - Research methodologies:

Conceptually, research methodologies follow the stages shown schematically in fig (v). This figure indicates the research iterating methodology between inputs, analysis, application and testing which combines to some degree how architects, urban planners and designers may think to start offering master plans alternatives.

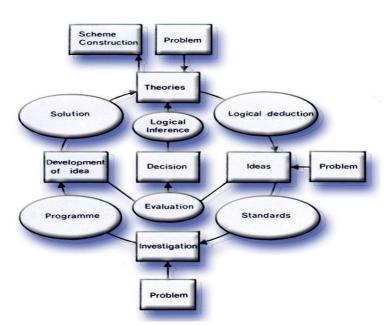


Figure v: Research and design process adapted from (Moughtin 2000).

Two case studies were chosen in the Egyptian capital, the Fifth Community and Misr Al-Gadida, to help illustrating through comparisons based on the urban thermal performance how differences in urban patterns land uses and population densities affect the outdoor climate conditions and in turn pedestrian comfort and indoor conditions.

e - Research Objectives:

The overall research objective, which is shown schematically in sketches by the author in figures (vi) and (vii), is to design a passive urban pattern. The detailed objectives are to:

- 1- Improve the classic urban planning using climate knowledge through research and design by investigating a suitable fabric unit and housing typology, spatial and vegetation structures based on specific land use and urban form compactness which in turn has been generated from specific population density. Climate knowledge will be introduced to the design process and product in terms of passive physical adjustments. These adjustments are considered the passive tools with which the fabric unit (housing type), spatial and vegetation structures will be geometrically adjusted upon climate conditions within the selected cases built environments. By this way urban passive tools can be to some extent the solution to the lacking connection between climate knowledge and its applied practice and offer architects and urban planners and designer an easy methods for draw their ideas climatically.
- 2- Define urban planning tools of passive thermal cooling to control the thermal performance of urban canyons at street level of the urban canopy layer, UCL. Urban passive cooling tools are not the cooling techniques by shading, evaporation or ventilation or their applications by the usage of different fabric details, orientation, and vegetation or water sources. They are the format methods of the urban morphology and the vocabulary by which an urban passive form designer can achieve some degree of local climate control and within which passive applications are used. It is a place to say that an urban passive form designer is who gathers inputs of buildings and housing typologies and design details as an architect, appropriate pattern type, land uses and population densities as an urban planner in addition to the needed weather information as a climatologist.

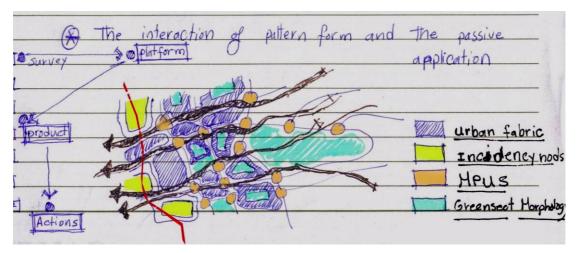


Figure vi: Freehand sketch of the author illustrating initial urban spatial design, i.e. usage of the urban passive tools into urban form to be capable of ventilation initiation due to pressure difference with orientation and aspect ratios, shadowing, evaporation and other passive cooling applications; the red axe line demonstrates that an urban form unit can be generated to produce pressure difference areas capturing amount of solar radiation, his has been published in (Fahmy and Sharples 2009a).

The red axe passes through the incidency nodes subjected to sun rays that make pressure difference in reference to the shaded areas. The orange color is multipurpose urban spaces, MPUS acting as a public social point, circulation distribution point, and a cooling node that is why it is called multipurpose urban spaces. The Cyan color is green areas distributed along with the sectional line of the urban planning unit from its centre and urban core to its urban rural reserve which is called Transect by the congress for new urbanism, CNU, and regarding they are green areas, hence Green + Transect can be called GreenSect (Fahmy and Sharples 2008a). The brown arrows are the stimulated wind directions.

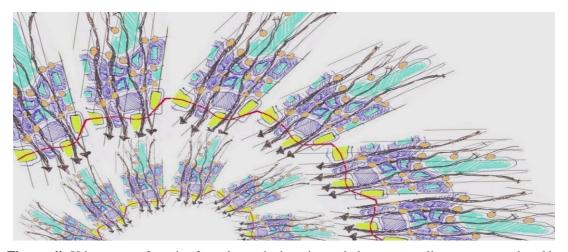


Figure vii: Urban array of passive form due to the iterating turbulences or cooling streams produced by pressure difference, a free hand schematic diagram of the author edited by Photoshop package.

- 3- Investigate the green structure based on human walking speed and the neighbourhood 5-15 minutes walking concept and the suitable urban trees for the cases selected provided that their examined thermal performance is proofed.
- 4- Provide an approach to quantify urban form design elements to adapt master plans for present and future times based on pedestrian thermal comfort. In this respect, research reports a comfort based urban planning land use parameters prediction

following the thermal performance assessment of the main core which refers to the neighbourhood scale assessments.

f- Research computer Softwares and data resources:

- 1- Ecotect 5.6 for sun path, weather and thermal analysis.
- 2- ENVI-met 3.1 numerical CFD package for local scale simulations.
- 3- ArcGIS 9.2 package for aerial imaging, land use physical studies and urban planning decision support.
- 4- DesignBuilder2.0.5 for indoor studies of the outdoor modified climate impacts.
- 5- Photoshop 7.0 for graphics editing.
- 6- EnergyPlus4.0 for weather data files conversions.
- 7- ASHRAE climatic raw data files written in Egyptian Typical Meteorological Year format, ETMY based on 30 years of WMO Station no.623660 records from Cairo's international airport meteorology station, the nearest one to both of the neighbourhood case studies.
- 8- Microsoft office and Endnote for thesis editing.

g- Thesis structuring methodology:

Unlike normal theses structures that begin with literature and finish it before beginning to present research methodology, milestones and results, this research thesis has mixed its early sub-methodological findings within the literature of urban climatology as an applied examples for three reasons. First, as some of the research published work was sub-methodological pilot studies to investigate the main methodology itself before applied on local scale case studies, the reader might find it confusing to see some of the research early results before presenting the main methodology; there was a balanced weight for either to include them in literature and explain why it happened or to include in the main core after the main methodology while they should have preceded concluding the passive design methodology. But since the local climate scale cases, had a considerable number of pages in chapter five of the thesis, the decision was to include them in early chapters. Second, these studies took place within literature to illustrate chapter by chapter, fig (viii), an applied practice for studying urban climate cases due to lack in such studies for Cairo. *These early studies explains the need for urban form passive design methodology (Fahmy*

and Sharples 2008b) which is a result from chapter 1, impact of different urban forms' was presented in (Fahmy and Sharples 2008c) resulted in chapter 2, and some passive tools and spatial structuring for urban forms (Fahmy and Sharples 2009a) as resulted in chapter 3. These pilot studies were then used to draw a whole picture about the applied urban passive form design as summarised at the end of chapter three. Eventually, all research published sub-methodological outputs included in thesis chapters from one to three have been applied to examine the bigger neighbourhood climate cases of the Fifth Community and Misr Al-Gadida in chapter five preceded by description and urban planning analysis for these bigger cases in chapter four. The last reason for this structure methodology is to help easing data flow portion by portion for the reader to cope with the climatology, urban planning, and urban design literatures as indicated in fig (i), rather than to mix all these different disciplines' literatures related to urban climate together for the sake of separating previous work from the main thesis work.

Literature review of the urban climate physics is presented in chapter one as an extensive review in order to draw a picture for urban form passive designer whose background might not be matching, followed by some passive tools investigation in chapter two which has been extended in chapter three with few more passive tools after analysing the urban planning literature as the other face of urban climate coin. In this respect, as the neighbourhood is considered to be the urban development unit, passive design tools embedded in the urban morphology of a neighbourhood can be considered the key relations might caused lack in connections between climate knowledge and a climate based urban practice.

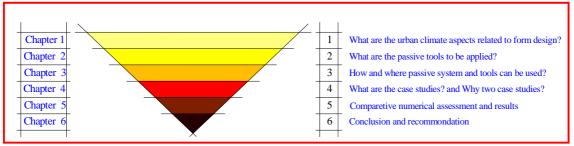


Figure viii: Thesis zooming illustration of the structure towards conclusions.

h- List of Research Published Work:

- Fahmy, M. and S. Sharples (2008a). Extensive review for urban climatology: Definitions, aspects and scales. <u>7th International Conference on Civil and Architecture Engineering, ICCAE-7</u>. Military Technical Collage, Cairo May 27-29.
- Fahmy, M. and S. Sharples (2008b). "The need for an urban climatology applied design model, [Online]. Available at: http://www.urban-climate.org/IAUC028.pdf." The online newsletter of the International Association for Urban Climatology **2008**(28): 15-16.
- Fahmy, M. and S. Sharples (2008c). Passive design for urban thermal comfort: a comparison between different urban forms in Cairo, Egypt. <u>PLEA 2008 25th Conference on Passive and Low Energy Architecture</u>. University Collage of Dublin, Dublin, 22nd to 24th October 2008. Dublin, UK, October 22-24.
- Fahmy, M. and S. Sharples (2009a). "On the development of an urban passive thermal comfort system in Cairo, Egypt." <u>Building and Environment</u> **44**(9): 1907-1916.
- Fahmy, M. and S. Sharples (2009b). Once upon a climate: arid urban utopia of passive cooling and the diversity of sustainable forms. <u>SUE-MoT2009 Second International Conference on Whole Life Urban Sustainability and its Assessment.</u> Loughborough University April 22-24.
- Fahmy, M., A. Trabolsi and S. Sharples (2009). Dual Stage Simulations to Study Microclimate Thermal Effect on Comfort Levels in a Multi Family Residential Building. 11th International Building Performance Simulation Association Conference University of Strathclyde in Glasgow, 27-30 July.
- Fahmy, M., S. Sharples and M. Yahiya (2010). "LAI based trees selection for mid latitude urban developments: A microclimatic study in Cairo, Egypt." <u>Building</u> and Environment **45**(2): 345-357.
- Fahmy, M. and S. Sharples (2010). "Urban form adaptation towards minimizing climate change effects in Cairo, Egypt. Accepted abstract and submitted manuscript to Building Sustainability in the Arabic Region, the 1st conference on housing in the Arabic Region. <u>HBRC</u>, <u>Housing and Building Research</u> Centre: Cairo, December. 23-26.

Chapter One: Urban thermal performance

1.1 Introduction

In order to assess thermal impacts of outdoor spaces concluded from specific urban forms, an understanding of outdoor climate conditions, thermal interactions and exchanges between different elements in addition to pedestrian comfort have to be established. Urban form interacts with its climate due to heat transfer and exchanges between canyons surfaces and its urban elements. Form performance is due to solar movements where short-wave radiation is absorbed or reflected. The absorbed heat is re-radiated as long wave radiation after a certain time, the amount depending upon wall and ground physical properties, fig (1-1/a). More complication takes place when a pedestrian moves or stands in the urban environment, as human biometeorology interacts with all the climate parameters, fig (1-1/b). In addition, vegetation has an important role in modifying the radiation environment as it receives direct radiation, absorbs and emits other amounts of long wave radiation and also traps another amount of long wave radiation from under its canopies, fig (1-1/c).

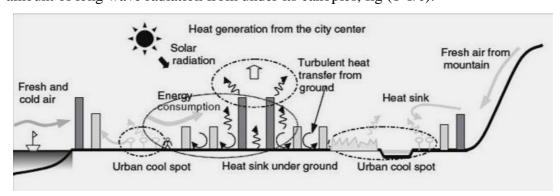


Figure (1-1/a): Urban heat circulations and conditions within outdoor environment differ from the indoor climatic conditions, adapted from (Murakami 2006).

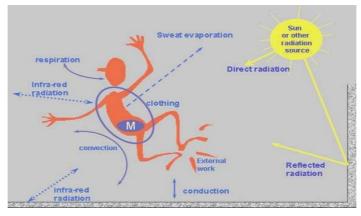


Figure (1-1/b): Basic heat exchanges between man and environment, adapted from (Havenith 2003).

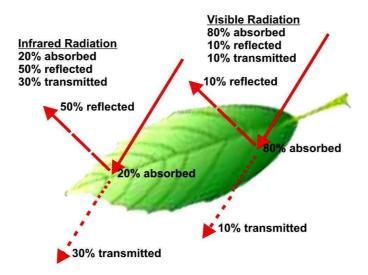


Figure (1-1/c): Vegetation role to modify radiation environment, adapted from (Shahidan et al. 2007).

Despite many investigations involved urban climate studies, there is still a lack of connection between these studies and applied design solutions for fabric and pattern forms specifically with regard to neighbourhood climate scale (Landsberg 1973; Oke 1984; Golany 1996; Eliasson 2000; Arnfield 2003; Ali-Toudert 2005; Oke 2006; Fahmy and Sharples 2008b). One reason for this missing connection is the complexity of expressing the transient characteristics of outdoor climates in terms of pedestrian thermal sensation, comfort scale and a method to assess all urban details and radiant exchanges. Environmental thermal assessment and distinctions between different urban forms in terms of pedestrian comfort and radiant exchanges is a complex interaction within the built environment that is difficult to quantify (Ali-Toudert and Mayer 2006). Some recent comfort studies for a limited microclimate areas have been presented to investigate the preferred pedestrian movement with respect to solar access and comfort sensation (Bruse 2005b; Bruse 2007). Two neighbourhood investigations aim only to understand wind regimes at street level with different aspect ratios and urban density but without an assessment for neighbourhood thermal and turbulent interactions reflected on pedestrian comfort and dedicated towards urban form design (Hussain and Lee 1980; Kubota 2008). This is the reason for saying "with respect to planning, studies directly focusing on the consequences of urban design strategies on comfort are dramatically lacking" (Ali-Toudert 2005), p-51. In this respect, conventional method of urban planning is inadequate neither for controlling outdoor conditions nor for indoor as its applied methodology doesn't account for built environment adjustments at bigger scale than the micro and in

specified way rather than rational. Indoor climate and in turn its energy consumption, greenhouse gases emissions, GHG, and climate change effects are not separate from outdoor climate but dependent, (Huang et al. 1987; Taha 1997; Rosenfeld et al. 2001; Akbari 2002).

1-2 Urban climate scales:

Generally, spatial dimensions of an urban site regardless its scale extends horizontally and vertically, (Oke 2006). Urban climate studies and scales definition started earlier than (Nunez and Oke 1977) that focuses on a canyon heat budget with the work of (Moneith 1973) to study the principal environmental physics and even earlier (Givoni 1963; Olgyay 1963). But with respect to precisely studying climate scales physics, the boundary layer climates of (Oke 1987) demonstrated an extensive research which has been extended by investigating urban climate instrumentations and measurements within different urban climate scales (Oke 2006). However, urban climate can be divided horizontally into;

- 1- Microclimate scale up to 1000m: it includes details of vegetation and buildings.
- 2- Local climate scale up to several kilometres which means including neighbourhoods.
- 3- Mesoscale climate: extends up to the tens of kilometres of a city.
- 4- Macro scale which concerns regional areas.

There are three main urban atmospheric vertical layers that hold the climate interactions within urban boundary layer, UBL, fig (1-2):

- a- The urban canopy layer, UCL; from street level up to the mean height of roughness elements such as buildings and trees, referred to as \mathcal{Z}_h in fig (1-2). Effects of individual surfaces in this layer last until a blending occurs.
- b- The roughness sub-layer, RSL, which represent the extended blending height of UCL effects from local scale surfaces. Its height Z_r can be 1.5-4 Z_h depending on the urban density (Grimmond and Oke 1999).
- c- Inertial sub-layer, ISL; it can be generally described as the layer of the height at which a blending of RSL occurs at Mesoscale.

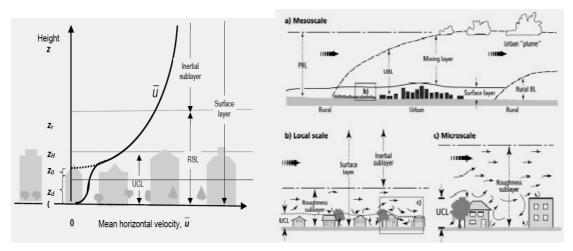


Figure (1-2/a, b): Urban wind profile and climatology layers, adapted from (Oke 2006).

1-3 Urban radiant interactions

As local scale climate is composed of a network of canyons, it can be studied in terms of only one canyon. Heat transfer regimes occur in outdoor spaces due to interactions between canyon surfaces, other surfaces and vegetation and then pedestrian interacts with all of these urban components. As solar altitude increases canyons receive more direct radiation in addition to diffuse and reflected radiations. A steady state heat transfer cycle begins by reflection and absorbance at canyon surfaces and including vegetation. The amount absorbed is eventually radiated as long wave radiation from walls, ground and also from vegetation (Oke 1987). After sunset all canyons start to release absorbed heat by convection and radiation.

Nunez and Oke (1977) investigated the basic knowledge on the energy balance of an urban canyon in their experiment in Vancouver (49° N). The study examined a street with an N-S orientation and an aspect ratio of H1/W = 0.86 and H2/W= 1.15. The net all-wave radiation of both walls and floor were explained as sensible heat fluxes Q_H , latent heat fluxes, Q_E and energy stored in materials ΔQ_S explained as follows:

$$Q^*_{Wall} = Q_H + \Delta Q_S$$
 Eq. 1

$$Q^*_{Floor} = Q_H + Q_E + \Delta Q_S$$
 Eq. 2

Assuming that anthropogenic heat is stored in materials, results of this study indicate that canyon geometry including its orientation has an influence on the radiation exchanges affecting the timing and magnitude of the energy mechanism of the canyon and its energy balance.

Fig (1-3) illustrates the canyon and its diurnal mechanism of all fluxes for the floor, the east-facing wall and the canyon-top for the Vancouver experiment. The east-facing wall is first irradiated in the morning and the second peak in the afternoon is due to the diffused radiation mainly reflected from the opposite wall that delivers a maximum irradiation at that time.

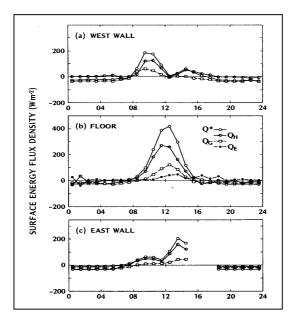




Figure (1-3/a, b): Vancouver Canyon experiment results held by (Nunez and Oke 1977) illustrating the structure that carried the sensors, and energy balances for each surface in 3 days.

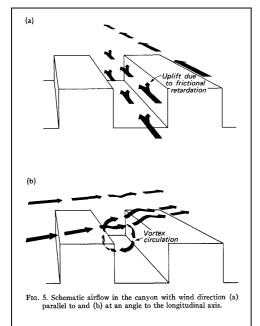


Figure (1-3/c): Vancouver Canyon suggested that an angled wind benefits canyon as part of pattern design, adopted from (Nunez and Oke 1977).

According to the N-S orientation, the floor is exposed at midday, the west and east walls about 1.5 hours before and after solar noon, where about 60% of the radiant energy surplus was dissipated as a sensible heat flux, 25-30% was stored in the materials and 10% transferred to air as latent heat. In the night-time the net radiant deficit is offset by

the release of the energy stored within canyon materials and turbulent exchange which is minor. Suggested by (Nunez and Oke 1977), directing airflow at an angle in relation to canyon axis is important to pattern design, fig (1-3/c). They investigated the spatial distribution of air temperature within an urban canyon with a network of 63

measuring points set in a vertical cross-section of an urban canyon located in Kyoto, Japan, in a summer clear days. It was arranged with an increasing frequency towards the floor and walls to draw a thermal map for the Kyoto canyon.

Interactions of heat exchanges due to radiation and convection between humans and the surrounding environment have been investigated a variety of canyon combinations and orientations (Pearlmutter et al. 1999; Pearlmutter and Shaviv 2005; Pearlmutter et al. 2006; Pearlmutter et al. 2007):

1- Radiant heat exchange: by calculating the equation, fig (1-4);

$$R_n = (1 - r_s)(G_{dir} + G_{dif} + r_h G_h + r_v G_v + L_d + L_h + L_v - esT_s^4$$
 Eq. 3

Where:

 R_n : The net radiation; all wave lengths $(W.m^{-2})$.

 r_s : The albedo of skin (body surface), in decimal factor.

 G_{dir} : Direct incident radiation on body $(W.m^{-2})$.

 G_{dif} : Diffused incident radiation on body $(W.m^{-2})$.

 r_hG_h : Solar radiation reflected from horizontal surfaces (W.m⁻²).

 $r_{\nu}G_{\nu}$: Solar radiation reflected from vertical surfaces (W.m⁻²).

 L_d : Total downward long wave radiation emitted by atmosphere (W.m $^{-2}$).

 L_h : Total upward long wave radiation emitted by ground $(W.m^{-2})$.

 L_v : Total upward long wave radiation emitted from verticals $(W.m^{-2})$.

e: *The emissivity of the body, in decimal factor.*

S: Stepfan-Boltz man constant $(W.m^{-2}.K^{-4})$.

 T_s : Average temperature of the body (K).

Surfaces albedo is then crucial for estimating direct and diffused short-wave radiations, values for different surfaces are due to colour and texture (Taha 1997).

2- Convective heat exchange:

(Mitchell 1974) calculated the rate of convective heat transfer (C) or the sensible flux and measured it in W/m² as following;

$$C = h_c \Delta T$$
 Eq. 4

Where:

C: The convective heat transfer or the sensible flux $(W.m^{-2})$.

The transfer coefficient in W/m^2 °C, which is dependent on number of

 h_c : people in a group that affect the forced convection and on the air speed $(W.m^{-2}.C^{-1})$.

 ΔT : Difference between body temperature and the surrounding air temperature (°C).

Human body heat exchanges with the environment are function of the air temperature the wind speed and the surrounding surfaces temperatures. In dry air the heat exchange depends on the human body temperature $T_{\rm sk}$ and air temperature $T_{\rm a}$. Air is responsible for the convective exchange due to the difference in temperature between the body and the surrounding air, and the convective exchange is directly proportion with the square of air speed (Givoni 1998). Consequently, in humid conditions convection does not take place until the air speed reaches the limit at which the latent heat is released.

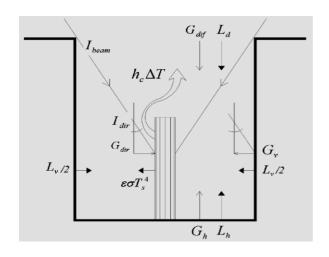


Figure (1-4): Human urban heat exchange, adapted from (Pearlmutter et al. 2006).

1-4 Canopy layer microclimate:

Interactions between canopy layer climate elements cannot be illustrated for each one separately, and their combined effect has a main role in human thermal sensation (Givoni 1998; Givoni et al. 2003; Bruse 2005a; Bruse 2007; Ali-Toudert and Mayer 2007a). The amount of solar radiation reaching the canopy layer is affected by location, sky cover and urban texture. Consequently the amount and temporal trends of heat regimes within canyons is different from one site to another even within the same location which affects surfaces and air temperatures. In arid climates there are three main cooling techniques; ventilation evaporation and solar sheltering which are shared to some extent between indoors and outdoors. But arid urban climates are dominated by nocturnal cooling of long wave radiation (Givoni 1998), especially where a clustered compact form is capable of releasing heat from structured multipurpose green areas (Fahmy and Sharples 2010). The same night cooling effect can be found in the Arabic courtyard houses (Waziry 2002).

In humid regions, generating air flow up to 4 m/s which a human can bear is a must when all of the body and clothes are soaked in sweat. Evaporation required for cooling is subject to the maximum evaporation capacity of air, which is a function of air humidity. However, the more vegetative or evaporation sources, the more cooling is possible in consideration of the ratio of required evaporation to the evaporation capacity. This ratio is commonly used to describe the Land Aridity, 0.2–0.5 for semi-arid, 0.03–0.2 for arid, and below this for hyper arid regions (Bruins and Lithwick 1997; Bruins 2000).

Therefore, pattern heat budget calculations become very complex due to different values of incident radiation, temperature, wind speeds and direction and humidity. Moreover, canyon asymmetries, vertical properties, aspect ratio, orientation, shading effects, irradiated surface, vegetative structure and urban trees all influence the thermal behaviour and an added elements of complexity (Ali-Toudert and Mayer 2007b). As street canyons become narrower they become increasingly isolated from the upper atmosphere. Many studies have been held for symmetrical geometries while climatic variations attributable to the network and fabric of a local climate neighbourhood scale have rarely been investigated, (Mills and Arnfield 1993). A classification system for a city's urban form from a climatology point of view was presented by (Oke 2006) to help the application of climate knowledge in urban planning and development, fig (1-5). On the other hand, more compactness prevents adequate wind access, (Grimmond and Oke 1999; Georgakis and Santamouris 2006; Ghiaus et al. 2006; Oke 2006; Yoshida et al. 2006; Fahmy and Sharples 2008c).

Canyon's wind flow characteristics is derived from the wind flow of the inertial sub layer, ISL above the canopy layer (Oke 2006). This is affected by canyon orientation, slope and geometry. These characteristics can be represented in term of the building form ratios, H/W, H/L (Oke 1988), fig (1-5) and courtyard aspect ratio H/W/L (Ahmad 1994; Waziry 2004). Those characteristics can be demonstrated as follows:

- 1- Wind flows over an urban pattern near to the normal direction over the street axis show three types of flow systems depending on the buildings and pattern geometry and their specific combinations; fig (1-6).
- 2- These three air flow mechanisms can be described when H/W is large, medium or small magnitude; (a) the first case is called isolated roughness flow due to non-

- interaction of air, (b) by increasing H/W interactions begin by slight separation of the canyon's air from the (ISL) wind and a single vortex appears, (c) double vortices produced due to increasing H/W and high separation from the (ISL), (Nakamura and Oke 1988; Santamouris et al. 1999).
- 3- The difference between surface and air temperatures in urban canyons together with a double vortex, is a consequence of parallel actions stratification of the air temperature in the canyon and the increased wind speed upon the canyon at (ISL) as in Kyoto's canyon study by (Nakamura and Oke 1988), fig (1-7).
- 4- The speed of a single vortex due to a skimming wind regime of normal (H/W=1) canyon rooftop wind direction, (Hussain and Lee 1980) results from three definite sources: the air flow upon the canyon, the vertical layers of air inside the canyon, and the mechanism of advection from the buildings ends, (Ali-Toudert 2005).
- 5- The relation between wind direction over the rooftop and in the canyon can be demonstrated as follows:
 - a- For a normal rooftop wind direction the canyon wind direction is opposite and vice versa.
 - b- For rooftop wind direction same to the canyon axe the canyon wind direction is same direction of rooftop due to a channelling effect.
 - c- Most interesting is the intermediate case when flow above the rooftop has an angle-of-attack to the canyon axis. This produces a spiral vortex along the length of the canyon due to cork-screw type of wind action.
- 6- For the wind speed relations, the main one is the rooftop and the secondary is the canyon one, so for rooftop wind speed 1.5-2.0 m/s threshold the canyon wind starts to be generated not scattered while for 5m/s rooftop wind speed the relation between the two speeds become nearly linear for H/W=1.4, (DePaul and Shieh 1986).

Urban Climate Zone, UCZ¹	lmage	Rough- ness class ²	Aspect ratio ³	% Built (imperm eable)4
Intensely developed urban with detached close-set high-rise buildings with cladding, e.g. downtown towers		8	> 2	> 90
Intensely developed high density urban with 2 – 5 storey, attached or very close-set buildings often of brick or stone, e.g. old city core		7	1.0 – 2.5	> 85
Highly developed, medium density urban with row or detached but close-set houses, stores & apartments e.g. urban housing		7	0.5 – 1.5	70 - 85
Highly developed, low or medium density urban with large low buildings & paved parking, e.g. shopping mall, warehouses		5	0.05 – 0.2	70 - 95
Medium development, low density suburban with 1 or 2 storey houses, e.g. suburban housing		6	0.2 – 0.6, up to >1 with trees	35 - 65
Mixed use with large buildings in open landscape, e.g. institutions such as hospital, university, airport	A	5	0.1 – 0.5, depends on trees	< 40
Semi-rural development, scattered houses in natural or agricultural area, e.g. farms, estates	<u>4.99 2 2-17 4994</u>	4	> 0.05, depends on trees	< 10

A simplified set of classes that includes aspects of the schemes of Auer (1978) and Ellefsen (1990/91) plus physical measures relating to wind, thermal and moisture controls (columns at right). Approximate correspondence between UCZ and Ellefsen's urban terrain zones is: 1(Dc1, Dc8), 2 (A1-A4, Dc2), 3 (A5, Dc3-5, Do2), 4 (Do1, Do4, Do5), 5 (Do3), 6 (Do6), 7 (none).

Figure 1-5: Urban climate zones arranged in deceasing order of their ability to impact local climate, (Oke 2006), based on (Ellefsen 1990/1991).

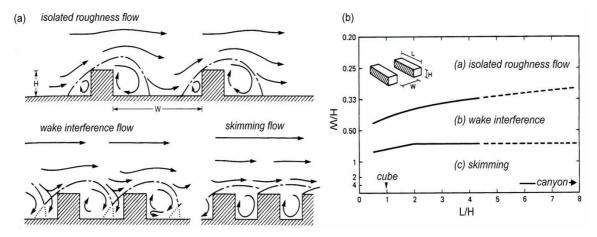


Figure 1-6: (a) Wind flow regimes and (b) corresponding threshold lines dividing air flow into three regimes as function of canyon (H/W), adapted from (Oke 1988).

² Effective terrain roughness according to the Davenport classification (Davenport *et al.*, 2000); see Table 2.

³ Aspect ratio = z_H/W is average height of the main roughness elements (buildings, trees) divided by their average spacing, in the city centre this is the street canyon height/width. This measure is known to be related to flow regime types (Oke 1987) and thermal controls (solar shading and longwave screening) (Oke, 1981). Tall trees increase this measure significantly.

⁴ Average proportion of ground plan covered by built features (buildings, roads, paved and other impervious areas) the rest of the area is occupied by pervious cover (green space, water and other natural surfaces). Permeability affects the moisture status of the ground and hence humidification and evaporative cooling potential.

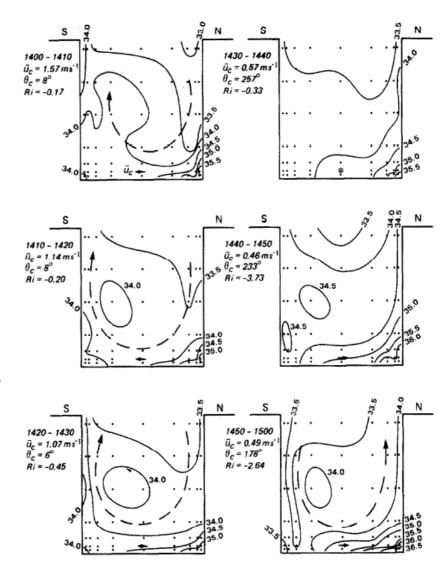


Figure (1-7): Kyoto canyon map temperature wind, where measurements were taken from 1400-1500 in the midday with time intervals of 10 min. in 29-30 August 1984, adapted from (Nakamura Oke and 1988).

1-5 Urban thermal comfort:

1-5-1 Physiological based assessment:

Thermal comfort is defined (ASHRAE 1981; ISO7730 1984) as "that condition of mind which expresses satisfaction with the thermal environment". Urban comfort is about achieving this state of satisfaction for pedestrians within the outdoor environment. Based on the human body thermal regulatory system and its heat balance, (Fanger 1972) has found thermal sensation as a guide in making a 7 point the Predicted Mean Vote PMV scale for comfort. Preceding Fanger to investigate physiological comfort basis, (Givoni 1963) in presenting the 5-point thermal sensation ITS scale considered the heat exchanges, metabolism and clothes. ITS has been extended to be the 7-point thermal index TS considering the rates of sweating determined by the balance between metabolic heat production and the heat lost by radiation and convection (Givoni et al. 2003). However, field measurements and

questionnaires revealed that actual mean votes did not match PMV predictions for comfort as there are other non-physiological factors that should be involved (Oseland 1994; Oseland 1995; de-Dear and Brager 1998; Nicol and Humphreys 2002).

Fixed assumptions used to define comfort zones in ISO 7730 by applying the PMV and PPD experiments were held in a closed chamber with a group of people in the same ethnicity and in a static environment. It assumed a fixed skin area of 1.8 m^2 for a 70kg male and 1.6m^2 for a 60kg female with activity of $1 \text{ met} = 58 \text{ W/m}^2$ and clothing of $1 \text{ Clo} = 0.155 \text{ m}^2\text{K/W}$. These assumptions did not consider the properties of clothing that people wear, ethnicities body dimensions, cultures, capability of bearing native harsh climate etc (Havenith 2003). The general physiological concept is;

$$H=M-W$$
 Eq. 5

And
$$M+W+C+R+P=0$$
 Eq. 6

Where: H is the total metabolic heat production $(W.m^{-2})$.

W is the performed mechanical work by the body $(W.m^{-2})$.

M is the rate of metabolic action at which body utilizes oxygen and food to produce energy is measured in W.m⁻² and 1 Met is 58.15 W.m⁻² which is the metabolic rate of a seated person at rest.

C is the convective heat exchange $(W.m^{-2})$.

R is the radiant heat exchange $(W.m^{-2})$.

P is the heat transferred due to perspiration $(W.m^{-2})$.

The Universal Thermal Comfort Index project led by the German meteorological service sponsored by European Cooperation in Science and Technology, COST, International Society of Biometeorology, ISB and World Meteorological Organization, WMO is trying to consider many inputs to validate the index and to make it reliable. Their primary comfort model is physiological but considers transient conditions even outdoors:

$$M + W + Q*(Tmrt,v) + Q_H(Ta,v) + Q_L(e,v) + Q_{SW}(e,v) + Q_{Re}(Ta,e) + S = 0$$
 Eq. 7

Where: M is the metabolic rate (activity) $(W.m^{-2})$.

W is the mechanical power (kind of activity) $(W.m^{-2})$.

 Q^* is the radiation budget $(W.m^{-2})$.

 Q_H is the turbulent flux of sensible heat $(W.m^{-2})$.

QL is the turbulent flux of latent heat water vapour diffusion, $(W.m^{-2})$.

Qsw is the turbulent flux of latent heat sweat evaporation, $(W.m^{-2})$.

 Q_{Re} is the respiratory heat flux sensible and latent, $(W.m^{-2})$.

S is the stored heat $(W.m^{-2})$.

This model equation's meteorological inputs are the air temperature Ta, water vapour pressure e, wind velocity v, mean radiant temperature Tmrt including all-wave radiation exchanges considering walking speed of human as 1.1 m/s with an adaptive clothing insulation values between 0.4 and 2.6 Clo and subsets of environmental parameters that included 3 different levels of ambient temperature (mean radiant temperature was set equal to air temperature), 5 different air velocities, and 50% RH in a multi node simulations to predict the human comfort state based on the modified PMV model (Jendritzky et al. 1979; Fiala et al. 2001; Jendritzky et al. 2003; Fiala et al. 2005; Jendritzky et al. 2005). The modified PMV stands for the outdoor transient conditions and for the short-wave radiation whereas the basic PMV is for indoors and doesn't account for transient conditions.

1-5-2 Psychological adaptation:

Basically, meteorological or heat stress limits based only on physiological approaches do not succeed to match actual sensation and comfort levels not only indoors as described in the previous section but also outdoors (Nikolopoulou 2001; Nikolopoulou and Steemers 2003; Nikolopoulou and Lykoudis 2006). Users of urban spaces are more tolerant of a wider range of temperature variations, with plenty of clothing insulation so that in cold conditions they can use coats, scarves and gloves and vice versa. Psychological adaptation is about human natural subconscious perceptions and responses beyond the physics of the body. Behaviours are repeated diurnally and annually using the biological sensation to minimize the effects of changing conditions. Comfort models that have been used to calculate comfort levels physiologically have not been supported by field measurements. The 'adaptive model reflects a give and take relationship between the environment and the user' (Brager and Dear 1998; de-Dear and Brager 1998; Humphreys and Nicol 2002; Nicol and Humphreys 2002; Humphreys et al. 2007). The understanding that urban details

support climate sensitive planning and design has been widely acknowledged and realization of what the physical environmental adjustments can do for people to psychologically influence their comfort perception is being developed (Eliasson 2000; Eliasson and Upmanis 2000; Eliasson et al. 2007). Urban thermal comfort can be gotten closer to acceptable levels by fabric design (Ali-Toudert et al. 2005; Ali-Toudert and Mayer 2006). Few studies have tried to define how humans can adapt to comfort; there are three main ways to give such opportunities (Brager and Dear 1998; de-Dear and Brager 1998; Givoni 1998; Fiala et al. 2001; Huizenga et al. 2001; Nikolopoulou 2001; Humphreys and Nicol 2002; Nicol and Humphreys 2002; Nikolopoulou and Steemers 2003; Humphreys et al. 2007):

- 1- Behavioural adjustments or physical adaptation, which concerns what, is made by someone's personality such as personal adjustments for clothing, activity, posture, eating or drinking hot or cold, environmental adaptation in terms of design considerations and modification for urban spaces' details. It also refers to cultural and social adjustments that include organizational activities, siestas and dressings of each gender.
- 2- *Physiological acclimatization* or *adaptation* is a human response to the repeated circumstances in a specific climate region.
- 3- *Psychological adaptation* implies human natural and subconscious responses which illustrates what people can do in urban spaces, (Nikolopoulou and Steemers 2003):
 - a- Naturalness; where people can tolerate climate regarding the transience and mobility of the outdoor conditions whereas metabolism and clothing have a significant effect.
 - b- *Expectations*; where people can predict climate conditions and take the precautions needed.
 - c- *Experience*; expresses the people short term and long term knowledge about climate that can be explained in a term of diurnal and annual saved data about the climate.
 - d- *Time of exposure;* herein the most reason of thermal discomfort in hot climates. It is the spent time under the direct sun rays what increases the hot perception.

- e- *Perceived control;* is stating that people in urban spaces manage their time and reason of being in that place, sitting place in order to increase their ability of tolerating a wide range of climatic conditions.
- f- *Environmental Stimulation;* it is the effect of aesthetical environment values and personal perceptions that can be improved by solar shelters, orientation or urban trees.

1-6 Review of thermal comfort indices:

One of the points that a built environment has to be assessed upon is the pedestrian thermal comfort (Ali-Toudert and Mayer 2007a), therefore an understanding of how a thermal comfort index is driven and which one is the suitable one to use is important. Thermal comfort indices are built around thermal sensation experiments for assessing the indoor or outdoor thermal environments of places for human comfort as it is a needed to know how far indoor or outdoor conditions are from comfort. Some of those indices are intended to be used indoors and others to be used outdoors (Givoni 1998). Some are best for moderate regions and others are not (Ali-Toudert 2005). There are more than 100 thermal comfort indices (Jendritzky et al. 2002). Some of them are just indices of relationships between meteorology parameters such as air temperature plus wind speed in cold climates or air temperature plus humidity in hot climates. An example is the bioclimatic chart of Olgyay that indicates differences for the limits of a comfort zone if applied outdoors and cannot be used correctly for all climatic regions. Budget indices are based on heat in-out calculations or models for the human body considering heat perception to be indexed. Those later can be divided into two parts; first are the so called steady state heat budget models that have been introduced for indoor purposes (Hoppe 2002) but which are not necessarily compatible with field measurements. These indices include the Fanger PMV, effective temperature ET and standard effective temperature SET (Brager and Dear 1998; Humphreys and Nicol 2002; Spagnolo and de Dear 2003). A second type are models for indoor or outdoor conditions evaluation that consider part of or all net wave fluxes within fabric starting from (Nunez and Oke 1977), human heat exchange (Moneith 1973; Bruse and Fleer 1998; Pearlmutter et al. 1999; Bruse 2008), vegetation heat exchange (Kurn et al. 1994; Sailor et al. 2008a; Sailor 2008b) and urban anthropogenic heat gain (Taha 1997). Of this second type, outdoor standard effective temperature, OUT_SET* (Pickup and Dear 2000) is a development of the

modified standard effective temperature SET*. Physiological effective temperature, PET (Mayer and Hoppe 1987; Hoppe 1999) introduces air speed of 0.1 m/s in a two node human body energy balance. (Jendritzky et al. 1979) developed the perceived temperature PT using Fanger PMV following the KLIMA-MICHAEL-MODEL which is based on the biometeorology of standard human body called Michael. This model has been adapted for considering transient conditions of urban areas and then improved by (Jendritzky and Nübler 1981) to account for all wave radiation types in terms of radiant temperature. Eventually, other models used to index human comfort depend on the so far called concentric layers (core to skin) dynamic human thermo regulatory as well as differentiating between model input for air temperature, humidity or wind speed (Stolwijk and Hardy 1966; Gagge et al. 1967; Gagge et al. 1986; Hoppe 1999; Pickup and Dear 2000; Huizenga et al. 2001; Bruse 2005b).

An environmental meteorological model, ENVI-met has been presented by (Bruse and Fleer 1998; Bruse 2008), with pedestrian traffic to model human comfort interactions within almost a complete budget of the built environment. It is capable of calculating human comfort depending the modified Predicted Mean Vote, PMV index of (Jendritzky and Nübler 1981) that account for outdoor transient conditions and allnet wave radiation. This is despite reported disadvantages of the PMV (Humphreys and Nicol 2002), and the numerical overestimations reported by (Spangenberg 2005) such as not calculating roof soil heat storage and global radiation overestimations by day and under estimations by night (Ali-Toudert, 2005).

The individual thermal comfort model, ITCM, (Bruse 2005b) is based on a dynamic two node model combined with agents moving along a grid area. The dynamic Effective Temperature, d(ET), generated from the ITCM in corporation with ENV-met model simulations "is a thermal comfort indicator analogous to the "normal" Effective Temperature (Gagge et al. 1986) or PET (Hoppe 1999) but uses the actual dynamic skin and core temperature of the agent instead of the skin and core temperature under steady-state conditions", (Bruse 2005a), p-138.

1-7 Urban comfort and climate change

1-7-1 Urban form adaptation

It is believed that global warming and climate change is caused by urban population increases, human welfare and the worldwide industrial growth (IPCC 2010). The direct impact is the increasing man made green house gas, GHG, emissions, of which

carbon dioxide is playing a big role. A series of studies indicated that the climate is getting warmer with increasing urban heat island effects (UHI) (Oke 1976; Oke 1987; Roth et al. 1989a). Effects of urban climate change can be minimized by passive applications at the local scale (Taha 1997; Akbari 2002). Climate change impacts, from higher temperature and health issues to floods and energy consumption increases, can lead to completely different master plans for urban developments in order to adapt cities in the next few decades (Brundtland 1989; Akbari 2002; Gerald 2007; McEvoy 2007; Wilby 2007; Levermore 2008a; Levermore 2008b; David 2009; Knudstrup et al. 2009; Lu et al. 2009). Eventually, reducing GHG specifically in the residential and industrial sectors through an efficient adaptation will be essential and based upon governmental policies (Reilly et al. 1999; Santilli et al. 2005). As climate change is one of the key challenges of urban thermal performance, future energy consumption; scenarios to face climate change include the following (McEvoy 2007):

- 1- Increasing power resources to cope with future needs which are considered very hard and expensive regarding the globe conflicts and natural resources expiry.
- 2- Facing a great decrease in the national output due to the reduction or loss of power supplies needed to maintain social, economical, industrial progress and prosperity.
- 3- A smart growth scenario in combination with tackling the renewable sources seriously, urban and buildings passive designs opportunities by urban thermal design to encourage people to adapt their local and national thermal comfort indices and decreasing mechanical needs.

Therefore, residential sector adaptation for reducing carbon emissions could be more effective at the urban form local scale adaptation instead of single building scale policies. Urban form adaptation is a higher scale of climate based responsive urban planning through adjustments of the fabric, vegetation along with cooling surfaces; i.e. usage of urban passive cooling techniques (Huang et al. 1987; Akbari et al. 2001; Akbari 2002; Gill 2007; Fahmy and Sharples 2008a; Fahmy and Sharples 2009a). Specific dimensions between green cool islands using human being walking speed and his/her maximum walkable distance has been argued by (Fahmy and Sharples 2008a). The degree of compactness of a community can play an important role in estimating urban patterns, thermal performance and human thermal comfort prior to construction(Fahmy and Sharples 2009a). Moreover, it can be used as an adaptor to climate change as more compactness reveals more comfort regardless of the limitations for wind access.

1-7-2 Thermal effects of intensive form:

On the other hand, more compactness can increase UHI intensity (Oke 1976). It is a phenomenon characterizing urban centres whose air temperatures is higher than those in its rural neighbour. It is generated due to the heat stored in the built environment which increases air temperature at a place then another. In other words it is the difference between temperatures measured in the urban space and those in the non-urban space surrounding it. UHI has received growing research interest and there have been many studies in different climatic regions due the increasing number of people living in urbanized areas (Roth et al. 1989a; Hassan et al. 1990; Arnfield 2003; Offerle et al. 2005; Sofer and Potchter 2006; Matthias 2007; Roth 2007; Sailor and Dietch 2007; Santamouris et al. 2007). The aspect ratio of the urban canyon and UHI intensity increases with the increase of H/W, fig (1-8). The construction density, intensity of activities and traffic, urban canopy morphology, poverty and population are drivers for increasing city intensive form and in turn are reasons for the heat island effect (Landsberg and Maisel 1972; Balling and Brazel 1987; Grimmond and Oke 1999; Grimmond and Oke 2002; Harlan et al. 2006; Harlan et al. 2008).

The role of relative humidity in generating and maximizing heat islands effect as an interactive relationship between all of the local urban climatic conditions has been discussed by (Grimmond and Oke 1999; Holmer and Eliasson 1999). URHI, the urban relative humidity intensity, demonstrates the difference between the measured humidity in urban core and at rural urban areas (Robaa 2003). Surface roughness, moisture resources, and urban canopy heat exchange are the factors affecting urban humidity intensity.

A theoretical quarter neighbourhood in Cairo has been numerically simulated by (Fahmy and Sharples 2009a) and presented another type of meteorological heat island; the local urban radiant heat island, LUHI. By the same concept, it is the difference between the radiant temperature records or measures at the urban centre and outside of the urban centre.

The growth of urbanization is accompanied by higher levels of energy consumption for utilities and transportation. Urban energy consumption generates heat islands that modify local and microclimate around them. "The heat island phenomenon is created as cities radiate less heat back into the atmosphere than rural areas, making cities warmer than rural areas", (PRB 2007), p-12.

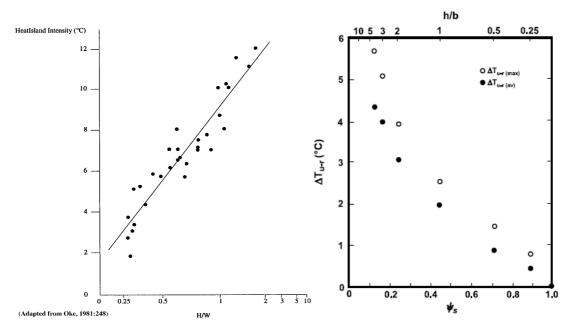


Figure (1-8): (a) Left; Urban heat island intensity and H/W, (b)right; triple relation between aspect ratio h/b, SVF and ΔT_{U-R} presented by (Kanda 2006), both graphs adapted from (Oke 1981).

Several studies have illustrated the strategies for mitigating UHI effects (Taha 1997; Akbari et al. 2001; Rosenfeld et al. 2001; Akbari 2002; EPA 2005; Roth 2007; Sailor and Dietch 2007) as follows:

- 1- Albedo modification.
- 2- Vegetation modification; increasing area, urban trees type selection...etc,
- 3- Combined albedo and vegetation modification.
- 4- Decreasing population densities or increasing networks areas at street levels but should be studied from the albedo point of view.
- 5- Increasing urban wind speed at street level.
- 6- Deformations for finishing materials geometry and physical properties.

1-8 Summary

Opportunities exist in urban design planning, decisions, and application to moderate outdoor urban spaces as a response to climatic conditions in order to try and achieve thermal comfort. An iterative method could be developed between the urban form and design tools and an assessment method to enhance urban spaces. Moreover, such an approach could raise the awareness for the necessity of a new urban planning renaissance based on the passive thermal design of urban patterns.

However, the assessment of outdoor comfort within the built environment is crucial to know how well the design is succeeding. An assessment that is based on only one meteorological parameter is not sufficient. From these stand points the conclusions of this chapter can be illustrated as:

- 1- Due to the complexity of built environment thermal interactions and the many interacted interdisciplinary fields, climate knowledge is still not related to urban planning in practice which means that an applied design model is needed, in this respect, fig (1-9) demonstrates a preliminary urban passive planning and design model as reported by (Fahmy and Sharples 2008b).
- 2- Despite the needed dual assessment of human thermal comfort level as well as thermal performance of the fabric itself which is expressed in physiological way, the psychological adaptation for comfort is the completion and can be expressed in design details for urban spaces.
- 3- These opportunities can be expressed as a passive design tools which could be technically well established in the field for smaller scales (Rahamimoff 1984; Shashua-Bar and Hoffman 2000; Bruse 2005a), but for a neighbourhood local climate scale it still a challenge to be assessed.

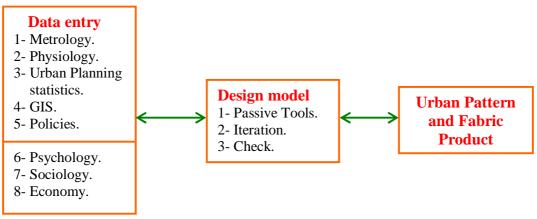


Figure (1-9): The research preliminary urban passive planning and design model, titles 6, 7 and 8 in the data entry are not directly related to this research work.

Chapter Two: Urban planning passive cooling tools

2.1 Introduction

Urban passive cooling is the theory, methodology and practice of reducing the heat stresses by means of interaction and integration with the built environment. Population growth, the global acceleration of urbanization, climate change, transportation and higher rates energy consumption all contribute to urban thermal discomfort. In addition, due to the complexity of cities and urban developments, a combination of urban passive design tools should be applied rather than rationally designing compact cities or courtyard houses as vernacular architecture would suggest for hot climates.

Urban passive cooling tools are not the cooling techniques of shading, evaporation or ventilation or their applications by the usage of different fabric details, orientation, and vegetation or water surfaces. They are the methods of using buildings and housing typologies, appropriate pattern type, land uses and population densities, green structure and trees arrangements so that an urban passive form designer can use them to achieve some degree of local climate control and within which passive applications are used to achieve a so called urban passive cooling system. Therefore, urban passive form designer is who gathers inputs of buildings and housing typologies and design details as an architect, appropriate pattern type, land uses and population densities as an urban planner in addition to the needed weather information as a climatologist in an applied design model as concluded in chapter one.

In this chapter, a preliminary approach for the passive design methodology, as reported in the summary of chapter one, is presented in terms of passive design tools whereas data entry of that applied model for a local scale is taking place in the assessment of the neighbourhood cases in chapter five as mentioned in section (h) of thesis introduction. It is a place to mention that following the argument of the need for an applied passive design model by (Fahmy and Sharples 2008b), this chapter presents another two studies to continue investigating the first objective of this research; improving conventional urban planning in Cairo which is illustrated at the end of this chapter in terms of the combined passive design tools and methodology (Fahmy and Sharples 2008c; Fahmy and Sharples 2009a).

2.2 Site selection:

Despite this pre-planning method of selecting an appropriate climate conditions through selecting a specific site is crucial, but it hasn't been included as a passive tool in this research as case studies assessed are already exist. The importance of considering site selection as passive tool came for example from the need to study water resources and management which decide the technology of irrigation and drainage systems for green ground coverage in semi-arid climate like Egypt. However, there is a wide range of green coverage plantations that cope with the arid climates which is characterized with the low rate of water consumption, bearable to salty soils and hot climate (Snyman 1998; Schenk and Jackson 2002; Kotzen 2003).

Site selection studies support evaluation of the factors that may affect the continuity and deliverability of the community infrastructure and facilities to the next generations with regard to the sustainability concept itself (Brundtland 1989). Global Information Systems (GIS) and satellite imagery showed much to support site selection with regard to soils, (Facchinelli et al. 2001) and neighbourhood needs (Elwood and Leitner 1998). Moreover, GIS offers geo-environmental land use planning support (Dai et al. 2001), so that many aspects can be gathered in the preplanning stage as follows:

- 1- Natural resources of water, minerals, building materials...etc.
- 2- Feasibility of international, national, regional, and local networks and transportation alternatives.
- 3- Utilities such as sewage and drainage.
- 4- Environmental impact assessment.
- 5- Site relation to the national and international ring of earthquakes and volcanoes.
- 6- Climatic data survey.
- 7- Topography, slope and levelling details of the site to support urban form alternatives' design.
- 8- Thematic mapping for the surface temperatures and UHI to help future urban planning climate based and energy saving developments.

2.3 Urban pattern type:

2.30 to 2.60 2.60 to 2.90 2.90 to 3.20 3.20 to 3.50 3.50 to 3.80 3.80 to 4.10 4.10 to 4.40

4 40 to 4 70

above 4.70

Urban patterns types have a mutual effect with the climatic conditions due to fabric spatial distribution that influence radiant exchanges as well as wind within the canopy layer and outline the importance of initially defining the pattern type by which a master plan sketch design begins (Moughtin 1992; Moughtin 2000). Therefore, an understanding of different patterns effects is basic. Different comfort effects of different forms in Cairo demonstrated by (Fahmy and Sharples 2008c) and found that the more compactness the more comfortable, which is in good agreement with literature (Coronel and Alvarez 2001; Jenks et al. 2002; Neuman 2005; Johansson 2006), but on other hand limits wind access, fig (2-1). In addition, form compactness increases sustainability in terms of short travels from within neighbourhood parts (Ewing et al. 2007) and closing to pedestrian comfort (Ali-Toudert 2005). Moreover, (Marshall 2008) investigated urban sprawl first addressed by (Duany et al. 2000) in terms of vehicle travels and energy demand which in turn increases climate change effects and approved that more compactness. In this context, to assess the suitable pattern type and its urban morphology, an easy to use method presented by (Ratti et al. 2003; Ratti et al. 2005) revealed the Digital Elevation Model, DEM which is a process to combine digital images that contain different environmental data, but it needs access to more than one method to measure environmental data such as solar radiation, energy consumption and the sky view factor.

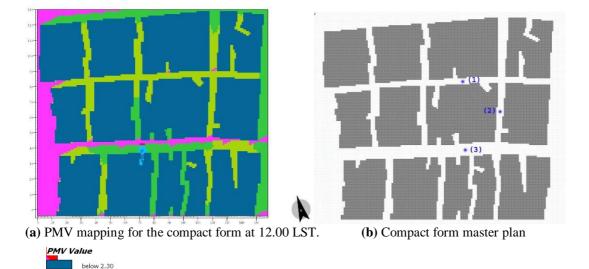
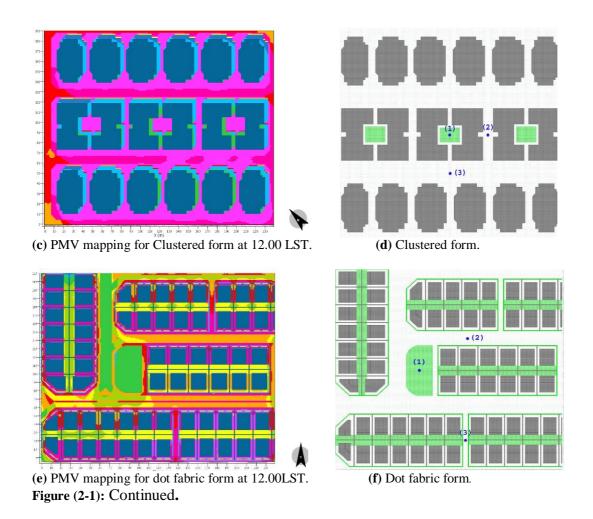


Figure (2-1): Left; PMV key for (a), (c) and (e) whereas right; (b), (d) and (f) are master plans drawn in e grey colour for buildings and the green for grass.



2.4 Degree of compactness

In completion with setting a pattern type, a definition for fabric spatial distribution is needed in terms of housing typology and population density as a while urban form design data entry for the city basic unit, the neighbourhood. As a traditional planning unit of a city, (Jacob 1969; Birch 1980; Allam and Geith 1995; Duany et al. 2000; Duany 2002), neighbourhood is also considered the climate based adaptive planning unit of the city. From an urban climate perspective, (Oke 2006) classified the city into 5 zones from UTZ1-UTZ7 but did not specify how urban spatial form can benefit in urban planning and communities development. In addition, (Duany 2002) classified the city from a Transect perspective into 5 zones from T1-T5 but did not demonstrate how traditional neighbourhood developments can address different climates. the degree of compactness, Dc has been introduced by (Fahmy and Sharples 2009a) as an intermediate parameter for climate based urban planning that give classification of a city from. It represents three parameters; first, the population

that decide the built up area as well; second, its height in terms of number of floors to accommodate certain population along and, thirdly, the climate response within the local canopy height of a specific climate region. In order to study local climate comfort for urban planning land use purposes at a neighbourhood scale, a simple parameter, the Compactness Degree, Dc, is used to define the overall degree of compactness of an urban site and is obtained empirically from:

 $Dc = Total local urban construction percentage <math>\times$ Average height of canopy layer

$$= A_g \times A_Z$$
 Eq. 8

Where; A_g is the constructed urban area (m^{-2}).

Az is represented in terms of average number of buildings' floors.

The reason for having such factor is that the local climate studies do not parameterize the differentiated built up areas and heights of the whole urban site, which cannot rely only on either the sky view factor (SVF) as it represents a specific point, or the aspect ratio H/W, which represents only a specific cross section in a single canyon. The Compactness Degree factor represents both the urban ground floor constructed area (or the land bearing capacity for built area) and the local canopy layer height Z_h in terms of the average number of floors. For example, the first case in (Fahmy and Sharples 2008c) had a Dc of $0.683 \times 5 = 3.415$, that means if Dc equals the average dominant number of floors in a built up urban area, the compactness degree is a maximum for this local urban area and vice versa. By this way, different city zones can be classified into 5 degrees (D1: city centre = very compact, D2: central urban = compact, D3: general urban = medium, D4: suburban = open, and D5: rural urban = very open). Consequently, each local scale and in turn city landscape can have different urban forms despite having the same compactness classification.

2.5 The Greensect:

As a conclusion of the work done by (Duany et al. 2000; Duany 2002; Fahmy and Sharples 2008a; Fahmy and Sharples 2009a), the *GreenSect* (*Green* coverage within city tran*Sect*) is a passive urban planning tool that can be defined not only as a hierarchy of passive techniques (urban tree shading, evaporation from green surfaces, and nocturnal cooling nodes) but also as an urban pattern structure that has parks and gardens regularly distributed along the city urban zones from the urban core to the

rural urban reserve. The main drivers for this study were: (i) the increased risk of thermal discomfort for future climates in local urban heat islands where particular urban planning features exacerbate local heat emissions; (ii) the lack of a code or manual for green coverage distribution for Egyptian urban planners that would help develop and implement green mitigating features in the design of local communities. Urban planning theories define public green and vegetated as a land use percentage area that has to be considered, because "parks and green areas are known to create park cool islands (PCIs)" (Saaroni and Ziv 2003). PCI intensity ranged 3-5°C in Sacramento (Spronken-Smith and Oke 1998), and the green coverage is thermally valuable regardless of climatic region (Roth 2007). Cooling effect from shading decreases the energy demand for mechanical cooling in the day time and stimulates nocturnal cooling effect (Waziry 2004), but depends on the open area exposed to open air (Rosenfeld et al. 1995). This is very effective in residential areas (Huang et al. 1987) where breezes from parks to the nearby building fabric can be generated not only for cooling (Eliasson and Upmanis 2000) but also to improve air quality (Akbari et al. 2001). Parks and open spaces have a role in increasing health quality, thermal stress reductions and aesthetic benefits (Murakami 2006), have their impact in reducing the noise effect (Lam et al. 2005; Gidlof-Gunnarsson and Ohrstrom 2007). Consequently, different scales of parks can modify the atmospheric conditions in the surrounding neighbourhoods (Oke et al. 1989; Spronken-Smith and Oke 1998; Spronken-Smith and Oke 1999; Yu and Hien 2006). Scales of parks and gardens are related to the planning communities' scales, i.e. city has to have its park/s, town has to have its park/s, community/district has to have its park/s, neighbourhood /village has to have its garden/s, each group of buildings blocks has to have its garden/s, fig (2-2 /b, c, d) which introduces a shape of cooling node networks all over the urban planning community. In addition, it stimulates the built environment psychological adaptation for human comfort (Nikolopoulou and Steemers 2003; Matsuoka and Kaplan 2008). Dimensions of the park have to be 2.2-3.5 the height of the border trees at minimum (Chudnovsky et al. 2004), and (Barbosa et al. 2007) statistically discussed the distances towards the nearest public green area in Sheffield, but did not give an explanation on how green structure can be related to master plans.



Left; Figure (2-2/ a): Each urban planning level has to have its efficient park/garden area which is continued as a chain from the urban core to building block level, Karlsruhe, Germany, adapted from Google images.

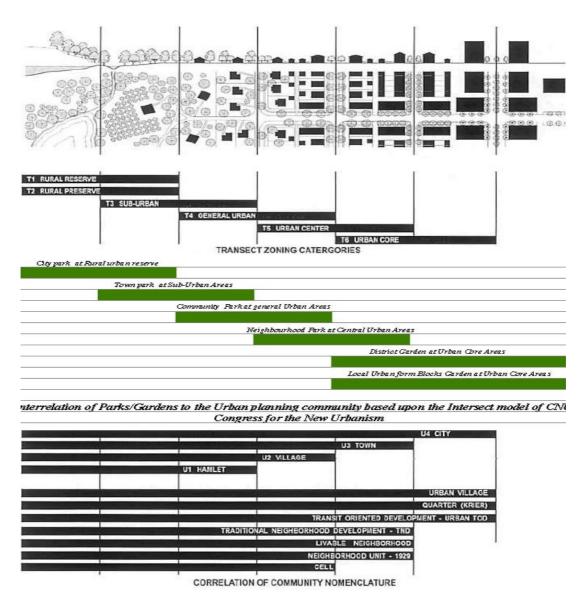


Figure (2-2/b): Urban green scheme of parks and garden within urban planning community presented on the Transect (Duany, 2002) model of new urbanism, Congress for New Urbanism, CNU.

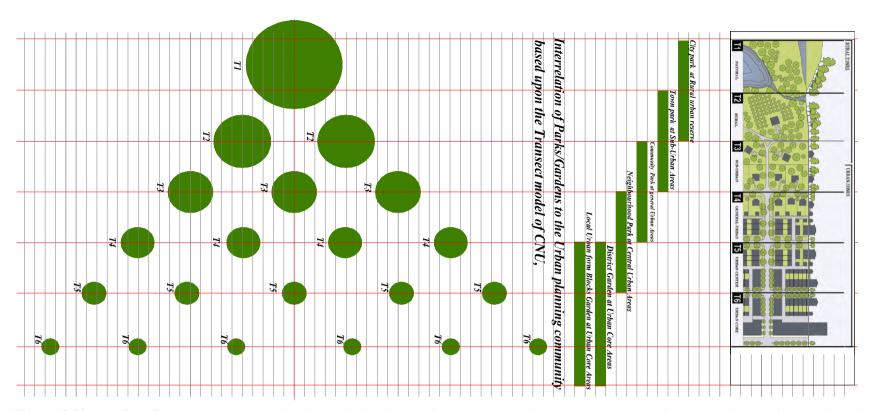


Figure (2-2/c): *Urban Greensect;* A Suggested Urban Parks/Gardens cooling Green network over a city Transect, i.e. each urban planning level on the transect of a town/city has to have its hierarchy of Greensect, where distances biometeorologically calculated up to:

- 1- Up to about 300m walking in less than 5 min due of about 4km/h walking speed (Jendritzky and Nübler 1981; Jiang 1999; Duany 2002) is for an urban cluster garden.
- 2- Same neighbourhood/village level public green area can be set to 70-600 metres of about 5-10 min walk for the same previous criteria.
- 3- Whole suburb, district or to up of one urban planning level public green area can be set to 300-900 metres walking, i.e. regardless (Barbosa et al. 2007) has mentioned from 300 metres for same level, it should be adjusted to 5-15 min walk.
- 4- Small town/community level public green area; double to the previous level, i.e. about 2000 metres walked in about 30 min., no walking should be after this level.
- 5- Town level public park area; up to double to the previous level of 5000 metres.
- 6- City level Public Park double to the previous level of 10000 metres.

2.6 Urban trees:

2.6.1 Trees benefits:

As part of a comprehensive passive urban climate strategy, and in order to optimize urban form, urban trees are important elements of site vegetation and have to be at the core of any applied design procedure. Urban trees improve the microclimatic performance of the built environment, adapt patterns to climate change and reduce energy consumptions especially in residential areas (Oke et al. 1989; Kurn et al. 1994; Eliasson 2000; Akbari 2002; Oke 2006; Ali-Toudert and Mayer 2007b). Despite these benefits, complexities prevented urban climate from supporting the decision making of many interdisciplinary related fields regarding urban tree use, (Arnfield 2003; Oke 2006; Fahmy and Sharples 2008b). Part of this complexity is that many mathematical and physical models have been introduced to assess urban thermal interactions, but few were capable of assessing sufficiently the many details of urban elements including urban trees (Bruse and Fleer 1998; Pearlmutter et al. 1999; Shashua-Bar et al. 2004; Ali-Toudert and Mayer 2006). Urban developments in Cairo were overwhelmed through the last couple of decades and did not consider urban trees to control its hot climate (Fahmy and Sharples 2008c).

The tree microclimate is mainly due to intercepted radiation and evapotranspiration contributing to modifying the heat balance of the surrounding environment. Radiation interception is due to canopy prevention of short and long wave radiation from the upper hemisphere whereas evapotranspiration is due to water carrying capacity of the soil-tree-air system. Evaporation takes place from leaf surfaces to air (Dimoudi and Nikolopoulou 2003), and transpiration from soil through leaf stems due to photosynthesis (Jacobs et al. 2003). Eventually, the latent heat increases and the sensible heat decreases within a tree environment leads to a lower air temperature *Ta* (Kurn et al. 1994). Less heating of the surrounding air is then achieved, meaning better comfort levels outdoors as well as for indoors due to the modification of the ambient conditions (Taha 1997). The radiation balance that a tree modifies was first presented by (Monteith 1973) and represented later by (Oke 1987; Sailor et al. 2008a). Cooling is maximized due to the evapotranspiration effect of a tree due to its water vapour whilst the wind is catalyzing evaporation that is considered evaporation of the tree surrounding air, (Kurn et al. 1994; Dimoudi and Nikolopoulou 2003). Thus, the

composition of urban tree canopies can help in urban passive cooling both at the micro and local scale. evapotranspiration of some plants is studied by (Jensen and Haise 1963) and found it can be calculated as following:

$$ET_c = K_c \times ET_0$$
 Eq. 9

Where:

 ET_c is the evapotranspiration of a crop c ($mm.day^{-1}$).

 K_c is a *decimal factor* representing a crop coefficient.

 ET_0 is the potential evapotranspiration of a reference vegetation, ($mm.day^{-1}$) derived from the regression equation of (Jensen and Haise, 1963):

$$ET_0 = (0.0252T_a - 0.078).(1 - a).I$$
 Eq. 10

Where;

I is the incident solar radiation upon crop $(W.m^{-2})$.

a is a *decimal factor* for the crop or vegetation albedo; reflectance.

 T_a is the air temperature (° C).

Nevertheless, urban trees have also more than the thermal benefits, Yoshida et al., (Yoshida et al. 2006) demonstrated that parks and vegetation have an excellent role in increasing health quality and thermal stress reductions. Contrary, microclimate wind speed reductions is a disadvantage for urban trees, especially when gathered in groups due to the drag force of plant canopies (Dimoudi and Nikolopoulou 2003; Thorsson et al. 2007) if compared to open land wind speeds (McPherson et al. 1997). Another disadvantage is the diurnal patterns of warmer night time temperatures and cooler daytime temperatures due to the trapped heat and humidity within the urban canopy layer when compared with the rapid nocturnal cooling of open areas (McPherson et al. 1997).

Urban trees improve outdoor spaces, place making and spatial format, order, harmony, contrast, scale, proportions and variety which all can be attributed to trees urban arrangements and its geometric characteristics (Arnold 1980; Trowbridge and Bassuk 2004). Figures (2-3 to 2-6) show some tree benefits as discussed in this section.

2.6.2 Trees canopies

The selection of urban trees to accomplish specific urban design criteria can be based on many aspects, such as its thermal performance which in turn depends on foliage characteristics as well as tree shape; i.e. total height and canopy geometry. In addition, botanical aspects decide the selection of a tree to be planted in a specific site; i.e. type of soil to be planted in, tree deciduousness, depth and radius of roots, capability of bearing site hazards and harsh climates (Arnold 1980; Trowbridge and Bassuk 2004).

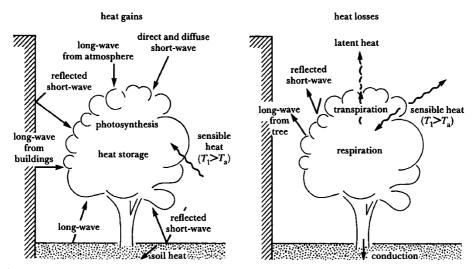
Leaf area density, LAD and leaf area index, LAI are conceptual environmental canopy modelling parameters for studying tree heat exchanges with the environment as they have significant role in urban heat balances (Jonckheere et al. 2004; Montes et al. 2007). **Based on the radiation interception** concept through flat leaf tree canopies, LAI is defined as a dimensionless value of the total upper leaves area of a tree divided by the tree planting ground area (Jonckheere et al. 2004; Shahidan et al. 2007). By definition, 100% of direct solar radiation interception means that canopy shadow should equal ground planting area. In this respect, LAI for the same tree could vary from one season to another by virtue of the tree being deciduousness or from age and growth stage, and so there is still the chances to propose other definitions and interpretations of *LAI* (Jonckheere et al. 2004). LAD is the key parameter needed to model the radiation through a tree canopy and between a tree and its environment. It can be defined as the total leaves area in the unit volume of a tree horizontal slices along the height of a tree that can give an idea about the vertical leaves distribution (Meir et al. 2000; Law et al. 2001b). LAD modelling can be estimated by means of field measurements manually or using instrumentations along with empirical models (Jonckheere et al. 2004). For example, Meir et al. (Meir et al. 2000) investigated tropical trees estimations by a photographic method. The Beer-Lambert law (which relates the absorption of light to the properties of the material through which the light is travelling) was used to calculate LAI using the extinction coefficient and the measured light transmission (Pierce and Running 1988).

Trees 3-D profiles were described by (Stadt and Lieffers 2000), but for limited specimens and their MIXLIGHT model could be complicated in application whereas an

empirical method derived by (Lalic and Mihailovic 2004) to model LAD if the maximum LAD, L_m , is known which in turn is calculated in terms of LAI.

Beer–Lambert law which relates the absorption of light to the properties of the material through which the light is travelling, was used by Pierce and Running (Pierce and Running 1988) to calculate *LAI* using the extinction coefficient and the measured light transmission. *LAI* can be measured, manually or by instruments, for example (Kotzen 2003) used a scanner for *LAI* measurements, whilst the Plant Canopy Analyzer (type Licor LAI-2000) and many ways are reported by others (Stadt and Lieffers 2000; Jonckheere et al. 2004; Shahidan et al. 2007).

LAI investigations for tree modelling in hot regions have lacking studies either from a measurement point of view or from a modelling point of view. The study of (Kotzen 2003) in arid regions and the (Shahidan et al. 2007) study in a hot humid region are two of the very few examples in comparison with other climate regions and forest tree studies (Meir et al. 2000; Stadt and Lieffers 2000; Jonckheere et al. 2004). In addition, urban tree modelling to assess their thermal effects on buildings in hot regions are even sparser.



Scheme of the daytime energy exchanges between an isolated tree and its street canyon environment. $(T_1, T_a, \text{ temperatures of leaf and air.})$

Figure (2-3/a): Thermal behaviour of trees, adapted from (Oke et al. 1989).

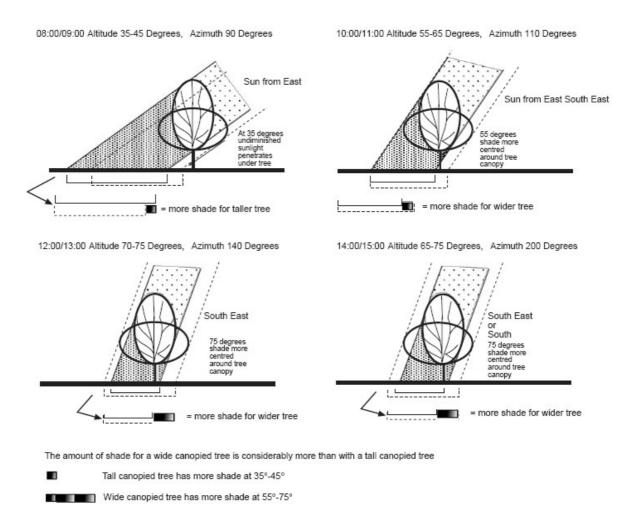


Figure (2-3/b): Trees shading comparison created by broad/wide canopied trees in contrast to tall canopied trees, adapted from (Kotzen 2003).

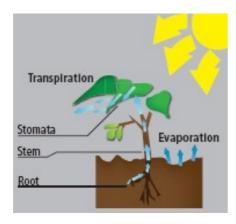


Figure (2-4): Evapotranspiration process, adapted from (EPA 2009d).



Figure (2-5): Aesthetic values of urban trees adapted from (Arnold 1980).

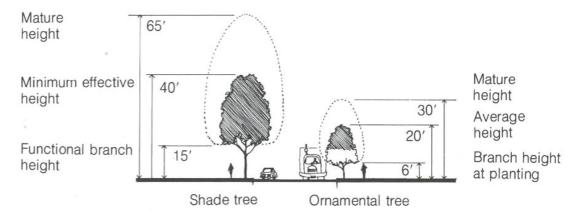


Figure (2-6): Mature tree geometry, adapted from (Arnold 1980).

2.7 Cool surfaces

2.7.1 Canopy layer cool materials

Canopy layer materials include pavements, walls and roofs. As material emissivity increases and reflectivity decreases, more sensible heat gain is introduced and then temperatures increases. Urban canyon surfaces with high albedo and light colours reduce the heat storage in the materials (Rosenfeld et al. 1995; Taha 1997; Akbari et al. 2001). Moreover, if roof and pavement albedo increased by about 0.15-0.2, a global cooling counter affect for the global warming and GHG might take place as roofs and pavements area measure about 60% of the urban surfaces area, (Akbari et al. 2009).

The effect of colour and composition of roof tiles and pavements have been studied by (Akbari et al. 2006; Levinson et al. 2007) in order to encourage the UHI mitigation methodologies by increasing the overall albedo and decreasing asphalt and paved area. Measured data reported by (Akbari et al. 2001), indicated that increasing pavements albedo by 0.25 decreased pavement temperatures by 10°C. The definition of cool pavements has been expanded to include permeable material that allow penetration of moisture and air to increase cooling effects (EPA 2005). The Berkeley laboratory of the University of California in cooperation with partners developed cool non-white colour materials combines both high solar reflectivity and different colours in order to avoid potential glare and to provide more market aesthetic values (Akbari et al. 2006). These are the near infrared (NIR) spectrum coatings such as Chromium Oxide and Titanium Dioxide which is tested for roofs in California, USA, have reflected 52% of solar energy that arrives as invisible near infrared radiation. Such a non-white transparent reflective architectural coatings can be applied to pitched roofs whether it is concrete or clay tile to reduce tile temperature, building heat gain, and energy consumption in a warm climate up to about 20% with up to 0.6 albedo roofing tiles (Akbari et al. 2006; Levinson et al. 2007). On the other hand, NIR coatings can maximize benefits if used over normal cool roofing such as asphalt shingles.

Another technique that NIR maximizes reflectance with is by applying a weak absorbent that scatters NIR radiation at the topcoat such as pigments and adding an NIR-reflective basecoat such as Titanium Dioxide white (Akbari et al. 2006).

These cool surfaces approaches were estimated to save about 400 million US\$ if applied in the surveyed 11 US cities (Konopacki et al. 1997) and more savings has been extended using a combination of cool surfaces and shade trees by (Akbari and Konopacki 2005).

2.7.2 Green roofs:

Generally, cool surfaces are characterised with high albedo despite their color. But, as vegetation is characterized with its permeability, evapotranspiration effects and wet surface which all increase the latent heat and reduces sensible heat (Santamouris et al. 2007; Sailor et al. 2008a), it can be then considered a *cool surface* as described by (EPA 2005; EPA 2009c) despite its albedo values are considerably less in comparison

with *cool materials*. That is why it is commonly used in some countries along with other shading vegetation types as intensive green roofs (EPA 2009c; EPA 2009d). Studies of green roofing as cool surfaces found that using them in bigger areas over cities can significantly contribute to UHI and climate change mitigation along with energy saving (Akbari 2002; Sailor and Dietch 2007; Santamouris et al. 2007; Alexandri and Jones 2008; Sailor et al. 2008a; Sailor 2008b; EPA 2009c; EPA 2009d). In addition, it contributes to tackling the environmental degradation and urban decline of green coverage that has health and psychological effects (Carter and Keeler 2008). Figure (2-7) illustrates how green roofs can be applied together with residential areas backyards to maximize cooling effects.



Figure (2-7): A combined passive techniques; array of green roof with a remarkable green back yard in completion with adjusted fabric form and orientation, adopted from (Herzog 1996).

2.8 Summary

This chapter has presented some tools that can be used on a local scale for urban climate based planning regardless of the passive techniques applied. Some of these tools can apply to one or more of the passive techniques, which are mainly solar sheltering, ventilation and evaporation.

Urban passive cooling tools are not the cooling techniques such as shading, evaporation or ventilation or their applications by the usage of different fabric details, orientation, and vegetation or water sources. They gather inputs of buildings and housing typologies, appropriate pattern type, land uses and population densities, green structure and trees arrangements so that an urban passive form designer can achieve some degree of local climate control and within which passive applications are used to achieve a so called urban passive cooling system.

Urban passive tools can be grouped into three groups; firstly, pre-planning and design stage tools such as site selection. The second group is concerned about the fabric, the buildings themselves, how they can be formulated using the pattern type and degree of compactness which can be considered the adaptor of the fabric form. The Urban GreenSect, is a green structure based on the human biometeorology, is considered one of the main tools that can help in directing the land use, zoning and the initial neighbourhood sketch design towards specific urban form instead of just planning to accommodate population. Urban GreenSect and urban trees are the third group of tools to design a passive form. Finally, using cool surfaces, including green roofs, is the fourth group which doesn't affect the form itself rather than improves outdoor conditions.

Chapter three: empirical urban passive structures

3-1 Introduction

For an urban development to be planned and constructed in the time of climate change specifically in a hot region, it is not sufficient to handle it conventionally without climate considerations (Givoni 1998). At the same time a proposed improvement for urban development in Cairo has to be investigated, a reference to its urban planning background has to be considered because any generated land use, master plan and urban form is a direct conclusion of urban planning literature whether it is climate based or not. This might be the lost connection between climatology and an applied practice as both disciplines practice work in different ways due to their separate education as reported by (Eliasson 2000), the former is almost engineering with quantitative approaches while the latest is humanities with qualitative approaches. In addition to all quantitative inputs influence neighbourhood site planning, other qualitative inputs have the same importance for the urban spaces (Cullen 1961; Jacob 1969; Lynch 1981; Bentley et al. 1985; Bentley 1999). Therefore, an urban passive form designer has to be aware of both disciplines data entry for local scale as proposed in fig (1-9). Moreover, Egyptian urban planning law (Law3 1982) and its development in 2007 still depend on the western theories for urban planning and development in terms of the neighbourhood concept particularly in Cairo since more than 100 years without developing an appropriate neighbourhood form to cope with its climate (El Araby 2002; Fahmi and Sutton 2008). With respect to neighbourhood platform as an urban unit for the city, there is no definite area for a neighbourhood; it can be defined through the concept of a 5-10 minutes walk from its border towards its civic centre and can be defined with relation to the city utilities or separately. Therefore, an investigation for the present applied urban planning theories and movements along with historical neighbourhood examples demonstrated in this chapter was a necessity to know how urban designers think and how a spatial structure can be formed. Then this chapter analyses the vernacular urban architecture preceded the last century of western urban planning practice in Cairo. Such an important period of time lasted from the medieval ages until before the last 100 years (discussed eventually in chapter four) had to be analysed as it experienced the most world wide known compact urban architecture. This analysis revealed using the clustered form over a basic neighbourhood in a pilot study to ensure the research main methodology in terms of a proposed passive system, spatial form and fabric unit before going forward with the bigger neighbourhood scale in chapter five.

3.1.1 Review for Urban planning renaissances:

The progress of human settlements and communities has passed through several stages in history that can be classified and called the urban planning renaissance movement.

First urban planning renaissance movement; this occurred in the ancient ages of humanity when the human settlements transferred from disparate nodes to small towns or villages like Babel, Rome, Tiba (Luxor)...etc. Some of those historic communities are still surviving today (Lynch and Hack 1984).

Second urban planning renaissance movement; conflicts in the pre-medieval and medieval ages of humanity helped in the formation of states. This period can be described in terms of historical ideological city planning based on political or political religious ideas and helped form many of the civilized world's national communities that are almost continuing to the present. The neighbourhood concept is not a new one. In fact all of the ancient communities were neighbourhood villages or small towns that had their service centres - Rome around the Forum, ancient Fatimid Cairo around two parallel main roads (with the governor's palace and the mosque in between them), Damascus and the ancient great Baghdad around the great mosque are examples. Historical planning applied the social levelling or job kind as a division method for town parts or units.

Third urban planning renaissance movement; this was the early Classic planning dreams of modernism and classic planning theories from the 19th century to the 1930s of the 20th century. Coastal linear, central radial, organic or modular, garden and industrial, functional and social city planning concepts were the dominant concepts depending on the thoughts of the architects (Allam and Ghaith, 1995). The development sequence for the neighbourhood concept was as follows:

1- The increased population and industrial activities led to a social revolution towards workers rights and led to the first form of a new neighbourhood type:

- a- In England, for example, Saltaire (1852) was a textile factory and the owner built a 3000 worker residential community near Bradford (Allam and Geith 1995; Neal 2003). In 1848 James Buckingham planned a 5000 worker community, and in 1886 the Lever brothers, soap factory owners, built Port Sunlight near Liverpool.
- The Garden Town planning trend was introduced by Ebenezer Howard (Howard 1902), fig (3-1), and was responsible for about twenty cities were founded upon the Garden Town idea in the UK.
- b- In France, a pioneer project by architect Tony Garnier for an industrial city close to Lyon was to have 35,000 citizens based upon city zoning. In Germany, the crop foundation established a workers' village near Essen city, (Allam and Ghaith, 1995), followed by the new towns in East Germany after World War II. The roots of socialist urbanism in the Bauhaus have stressed the importance of modern town planning. In the Soviet Union when the socialist concepts of modern urbanism evolved the great strategy of founding new towns as part of the decision made in 1929-30 by famous soviet planners like L. Sabsovics to initiate massive industrialization and urbanization, (Bernhardt 2005). As a conclusion; the planning of the new town of Magnitogorsk took place in 1930.
- c- Outside Europe in Egypt Misr-Elgadida in the late 18th century and Al-Mahalla, a city north west of Cairo, had an increasing density of industrial textile activities and by 1910s many villages had been constructed by the owners of the textile factories.
- 2- Famous architects like Frank Lloyd Wright and Le Corbusier (Zeitoun, 1993), fig (3-2), had evolved some thoughts after the Industrial Revolution supported by symbolism, expressionism, functionalism, organism and the dominant political thoughts of that time like liberalism, economics, and socialism. Many other artistic and architectural trends and dreams in architectural design were the second factor affecting the urban planning movement and great construction projects all over the world in middle of the 20th century, especially after World War I. For example:
 - a- The Utopian city planning of Wright was based upon the horizontal growth instead of the vertical and on natural materials instead of concrete, fig (3-2), *Broadacre City*, part of Wright's thoughts in 1932 (Sdoutz 2009). Utopian city

planning based on the centralized market and high buildings with grid networks for Le Corbusier based on the recommendations of CIAM Athens 1933 and been used to plan and build Chandigarh in India, fig (3-3) (NYU 2009).

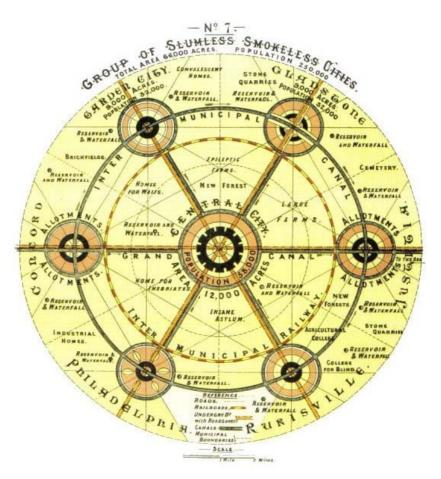


Figure (3-1): Ebenezer Howard Garden city as diagram not a plan, adapted from (Howard 1902).

b- In 1923 the neighbourhood had a chance to be applied practically and to act as the fundamental planning unit when Perry suggested the neighbourhood to be a unit planning, fig (3-4/a). The Garden City concept transferred to Europe and the USA as a garden suburb form and had an effect on many planners. In 1924 Henry Stein and Clarence Right, who had studied the Super Block idea of Le Corbusier within the garden idea, planned a suburb called Sunny Side near Manhattan of New York for workers.

In 1929 the RadBurn Town by Henry Stein and Clarence Right, 28 kilometres from New York, was another application of neighbourhood planning as a conceptual unit for planning, figure (3-4/b).

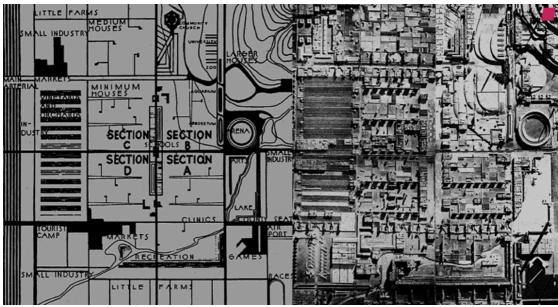


Figure (3-2): The Utopian city of Frank Lloyd Wright, adapted from (Sdoutz 2009).



Figure (3-3): The contemporary city of Le-Corbusier, adapted from (NYU 2009).

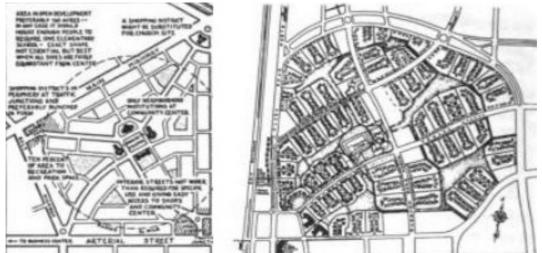


Figure (3-4): (a) Perry's Neighbourhood on the left 1923, and (b) RadBurn, New Jersey plan Garden Town by Henry Stein & Clarence Right 1929, adapted from (Lang 1994).

Fourth urban planning renaissance movement; the most historical groups of architects that started the urbanism dogma of the world were:

- 1- CIAM, "Congrès Internationaux d'Architecture Moderne", (Bullock 2002; Silva 2003; Gold 2004).
- 2- The Socialist Party of Modern Urbanism, which was a non-formal association based on the Soviet party's thoughts and urban recommendations, (Ladd 2001; Mumford 2002; Andrusz 2004; Bernhardt 2005).

CIAM had the bigger effect due its manifesto being transferred beyond the European continent. The great effect CIAM made was the start of Urban Design research and applied work for the first time when the Latin expression Urbanisme had been introduced despite the expectations at that time that it wouldn't be accepted by the public. Nevertheless, rethinking of the urban political strong relationship, (Gill 1994; Moughtin 2000) improved methodologies and the generation of urban forms. By comparing between the socialist and the western model of urbanization, it can be claimed that the future failure of similar urban planning policies in a single party governed country is expected. On the other hand the suburban community urban planning policies in the western model of planning resulted in great urban sprawl case studies, especially in countries that had high national incomes and high populations and were far away from the European source of the early urbanism waves. Those countries, like the USA and Canada, had the most urban sprawl (Duany et al. 2000; Tsenkova 2004; Tsenkova 2006). Robert Moses' mega renewal projects in the USA, fig (3-5), Nasser City place in Cairo 1960s and the great Suburban movement of the 1960s in USA are examples of post war urban modernism developments, mega reconstructions and renewal and urban blocks.

Fifth urban planning renaissance movement; the most influential urban groups after the CIAM decay was the Congress for New Urbanism, CNU, in the USA, the urban village in the UK and the Livelihood in Australia (Neal 2003). They faced the fact that most of the new urban planning communities were suburban sprawl affecting smart growth patterns and decreasing the level of sustainability of cities and proposed new neighbourhood design principals; figure (3-6).



Figure (3-5): Rebuilding plans for Washington Square and six other New York sites were drawn up by Robert Moses' Committee on Slum Clearance 1949, adapted from (Laurence 2006).

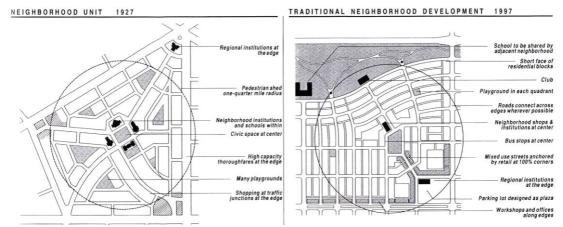


Figure (3-6): Perry's Neighbourhood on the left 1923 and the new urbanism renaissance for conventional neighbourhood development 1997 as featured in the CNU Lexicon, adapted from (Neal 2003).

New urbanism is an alternative planning movement to replace the 1970s and 1980s automobile transport oriented sprawls produced from conventional suburban development and separated specialized industrial or agricultural small neighbourhoods, fig (3-7/a, b). The principles of CNU are:

- 1- the conventional neighbourhood planning concepts like:
 - a- Neighbourhood has to have an inner heart of action, social and recreational and activities of civic services where buildings are close to streets.
 - b- The five minutes walk from dwellings to the amenities centre of action.
 - c- Shopping offices and retails at the borders of the neighbourhood.

- d- Primary and nursery schools are close to children.
- e- All dwellings have to have public close green area despite the private one.
- f- There are ascending levels of vehicle network connecting neighbourhood to other neighbourhoods.
- g- Parking areas are on the rear side of dwellings.
- h- A chain of self government from the neighbourhood scale to the country government with local authority in between them.
- 2- An environmental planning vision instead of just greening and planting suburbs.
- 3- Mixed use streets to ensure urban regeneration of patterns for people.
- 4- Built environment diversity in terms of different types of housing instead of single family housing only.
- 5- Smart growth concept.



Figure(3-7/a): Urban Sprawl automobiles dependant planning examples, adapted from (Duany et al. 2000).



Figure (3-7/b): New Urbanism design for Seaside neighbourhood, Florida, USA, adapted from Google maps.

3.1.2 Urban design in the planning discipline.

Figure (3-8) demonstrates the chain of planning actions in respect to the size of planning community as neat placements for the urban scales into the planning process, so that neighbourhood planning can be taken in a bigger view if climate is to be considered as it is not only a neighbourhood scale.

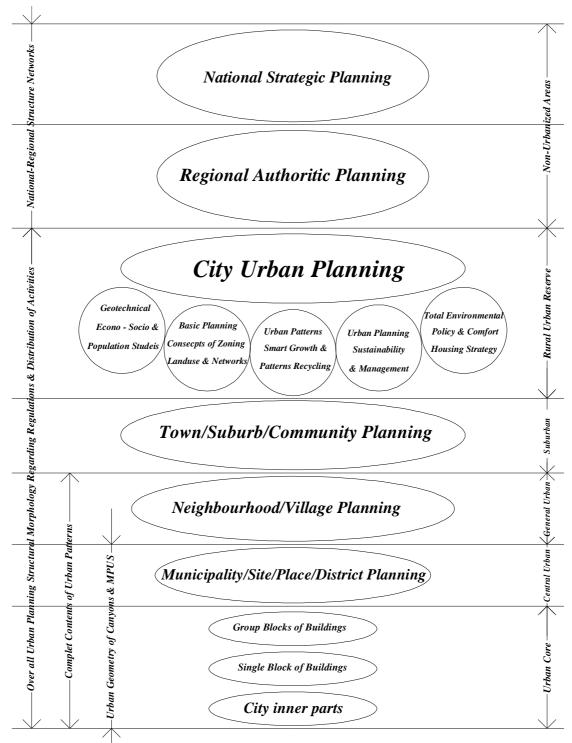


Figure (3-8): Relation between urban planning levels.

3-1-3 Spatial design and types of urban patterns

Figure ground: This is a 2-D graphical base for master planning urban pattern as a black-white or solid-void pattern by adding, subtracting and modifying the geometrical features of pattern sketching from the beginning of pattern design process to change the sequential structural relation of canyons/spaces/buildings of the urban form (Lynch and Hack 1984; Tranick 1986), fig (3-9).



Figure (3-9): 2-D graphical basis for the theory of urban spatial design in plan formality, an edited pattern in Cairo using Photoshop7.0 filters to show the black-white relation of the fabric and its voids, edited by Phtoshop7.0 adapted from Google maps.

Axial linkage: It connects the urban community parts by sketching the vehicle and pedestrian networks as axial lines city needs of zoning and land uses in order to illustrate the circulation system of the urban pattern (Cullen 1961; Tranick 1986), fig (3-10).

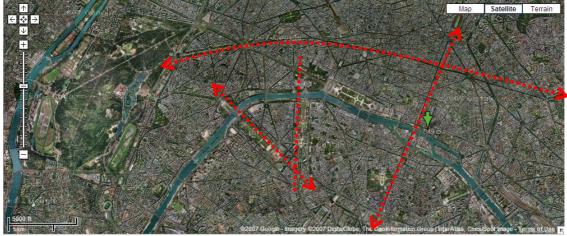


Figure (3-10): Hierarchy pattern of the Hausmannian Paris depending on the linkage spatial theory, adapted from Google maps.

Place making: This method of spatial structure design is gathering the previous two methods as it goes beyond them to achieve and utilize; (1) human needs, (2) the historical/cultural/natural features in urban space design. The unique difference appears when the design decision and operations are made to the existing or heritage spaces where a maximum value revealed from the three methods is evolving to preserve, conserve, rehabilitate and restore the urban fabric value (Bentley et al. 1985; Tranick 1986; Bentley 1999), fig (3-11).

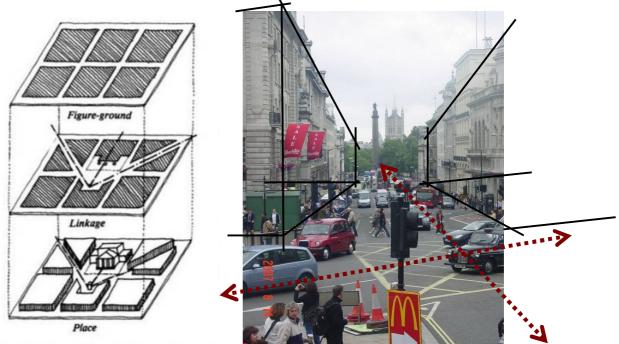


Figure (3-11): The left hand side diagram is adapted from (Tranick 1986), the right hand side picture is captured for Trafalgar square from the Piccadilly circus illustrates figure ground method in terms of buildings as framed in lack lines, axial linkage method in terms of open vision as shown in red axes and place making in terms of the activity at Piccadilly circus at which the picture was taken.

In parallel with the spatial structuring discussed above, urban pattern comes to a stage at which it appears in terms of fabric and network. The expression urban pattern is used to define the final form of urban planning tissues where the lines and intersections of that tissue form the networks, circuses, squares, places, canyons and the buildings (Soliman 2002; Fahmy 2007).

In order to establish an understanding of the basis that an urban passive form designer begin with, an illustrative **Google map pictures** are presented to help classifying different patterns' types which in turn reveal either dot, linear or compact fabric if classified with reference to the fabric aerial view and another different types if classified with reference to the vehicle network shape:

1- Pattern network types:

- a- Grid type, fig (3-12/a, b).
 - Normal grid.
 - Branched grid.
- b- Hierarchy type which can be, fig (3-13/a, b, c):
 - Diagonal.
 - Radial.
 - With long routes.
- c- Organic type, fig (3-14/a).
- d- Mixed type, fig (3-14/b).
- 2- Shape of fabric: it refers to buildings;
 - a- Dot shape pattern, fig (3-15/a).
 - b- Linear shape pattern, fig (3-15/b).
 - c- Compact shape pattern, fig (3-15/c).



Figure (3-12/a): Normal and branched grid pattern shape at Nasser City, Cairo, Egypt. This graph and all pattern graphs were adapted from Google maps.



Figure (3-12/b): Normal grid pattern, Riyadh, Saudi Arabia.



Figure (3-13/a1): Hierarchy diagonal pattern, city centre, Cairo, Egypt.



Figure (3-13/a2): Hierarchy diagonal pattern, Tunis the capital, Tunisia.



Figure (3-13/b): Hierarchy radial pattern, Misr Al-gadida, Cairo, Egypt.



Figure (3-13/c): Hierarchy with long route pattern, Heritage Cairo, Egypt.



Figure (3-14/a): Organic pattern, Rade burn, Fair Lawn, New Jersey, USA, www.googlemaps.com



Figure (3-14/b): Mixed pattern, Al-Mohandseen, Cairo, Egypt.

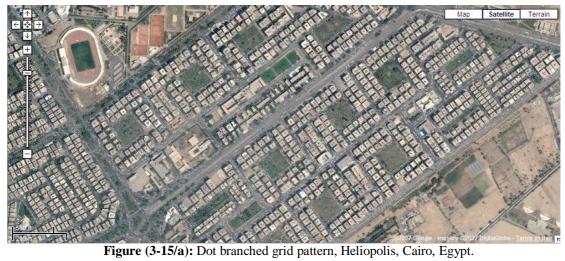




Figure (3-15/b): Linear branched grid for multi family housing pattern, Al-Fangary road, Cairo.



Figure (3-15/c): Compact grid with route pattern around Al-Masjid Al-haram, Makkah, Saudi Arabia

3.1.4 Housing typologies and sustainable urban form:

Whatever the planning statistics that dominate the planning theory thoughts and direct it and whether it is population density, land usage or land bearing capacity for specific each zone function, still residential areas occupy the largest area at any scale and consume nearly half the total energy demands (Akbari et al. 2001; Akbari et al. 2006). The differences come from the urban form design, its activation, development and regeneration and the methodologies. Therefore, a housing strategy decides housing typologies, growth rates and the needed developments rates. Such an approach would not be achieved unless pre-planning studies and checks take place to predict which form provides better ambient climate conditions for pedestrian comfort and for indoor energy consumption. From this viewpoint, housing typologies play an important role to help sustainable urban forms. Urban sustainability is a moral commitment not only for our generations but also for the coming generations, in order to ensure communities perform well in the future (Brundtland 1989). Many have argued for the medium population housing typologies in order to help control environmental problems as well as to provide sustainability (Marcus and Sarkissian 1986; Bentley 1999; Heathcott 2005). Such an approach has been applied in post WWII social urban planning where urban failures have been reached within just 30 years in the late 1970s, but it is believed that urban management systems and centralized development policies were responsible for that rather than the medium population urban housing forms itself (Gill 1994; Ladd 2001; Sutton and Fahmi 2001; El Araby 2002; Ali 2003). This is not revisiting the socialist urban planning, rather a search for thermal comfort sustainable forms. Hybrid clustered fabric with medium population housing came closer to comfort better than single family housing of low population can (Fahmy and Sharples 2009b). Sustainable forms can be identified in terms of seven points (Jabareen 2006);

- 1- Compactness.
- 2- Sustainable transport, (and also accessibility to facilities).
- 3- Density.
- 4- Mixed land uses.
- 5- Diversity.
- 6- Passive solar design.
- 7- Greening.

Overall, it can be argued that most worldwide urban movements were looking to adjust population density in terms of housing typologies that conclude a specific population to ensure and stabilize people's life style. At the same time construction density can control a single building dimensions, the compactness degree can control a whole site urban morphology (Fahmy and Sharples 2009a). Construction density can be calculated as follows:

$$d = (n \times a_g)/a_p$$
 Eq. 11

Where; d is the construction density, (unit less).

n is the no. of building floors.

 a_o is the ground floor area, (m^{-2}) .

 a_p is the total plot area, (m^{-2}) .

Basically, same values of these parameters can generate different types of urban housing form which mean diversity can be obtained at the same time. However, housing types can be classified due to the following criteria (Law3 1982; Marcus and Sarkissian 1986; Law106 1999; Fahmy 2001; Soliman 2002), fig (3-16, 17) show some examples:

- 1- Due to the number of families in the residential building;
 - a) Single family housing.
 - b) Multi story apartment housing for single, double or triple floor flats.
 - c) High rise housing for single, double or triple floor flats, mostly in city centre neighbourhoods.

- 2- Due to the fabric form of the residential building;
 - a) Detached housing.
 - b) Semi-attached housing.
 - c) Attached housing with plot construction density from low to medium about 30-70% of plot area, i.e. linear fabric, as in Letchworth and Hampstead, the first garden city examples.
 - d) Semi attached or attached housing with high plot construction density above 70% of plot area, i.e. compact fabric.
- 3- Due to social standards for area and finishing;
 - a) Family housing.
 - b) Singles housing.
- 4- Due to economical standards for area and finishing despite its fabric form;
 - a) Luxury class residential unit's area more than $200m^2$.
 - b) Upper medium class unit's area $120 200m^2$.
 - c) Medium class unit's area $90 120m^2$.
 - d) Commercial class unit's area $60 90m^2$.
- 5- Due to the method of financing the building construction and affordability;
 - a) Public or private housing investments that are offered for specific groups of people who are capable of buying in cash or instalments within a small period of time despite the residential fabric type or unit classification.
 - b) Direct governmental or public private co-operative estate financing that is refunded in a long period of times could be some 20-25 years to increase residential unit's affordability.
 - c) Rental housing.
- 6- Due to urban morphological zone;
 - a) Using the new urbanism Transect planning tool (Duany 2002); from urban core towards rural.
 - b) Using the degree of compactness adaptor of (Fahmy and Sharples 2009a); from very compact of a specific population and construction density at cities hearts towards very open at urban rural.

c) Using urban zones of (Oke 2006); UCZ1 of specific roughness and aspect ratio at central urban towards UCZ7 at urban rural.





Figure (3-16/a, b): Detached and Semi-attached family housing in Madinaty, east to the Fifth Community, New Cairo, Egypt, adapted from; http://www.madinaty.com/Villas.aspx

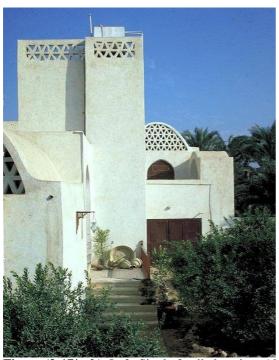




Figure (3-17/a, b): Left; Single family housing; Akil Sami House, Dahshur, Egypt, Right; multi story apartment housing; Jabria Housing, Kuwait city. Both picture adapted from (ArchNet 2010).

3.2 Learning from precedents:

3.2.1 Arabic vernacular derivatives of passive urban cooling:

The contradiction between the western urban architecture and the vernacular urban architecture and housing typologies of the Middle East, Egypt and Cairo discussed in section 3.1 can be realized from figures (3-19) through (3-23). Early concepts of urban compactness can be attributed to the Arabic Saharan urban architecture with its famous elements of narrow streets and courtyards. Confined urban

spaces in Santa Cruz, Spain has been studied by (Coronel and Alvarez 2001) and found that the narrow street canyons (H/W = 5) are very important in the thermal behaviour in the summer. Because of the reduced solar access, use of white colours, and the weak anthropogenic heat generation; air temperature decrease of 8°C was recorded in summer. Almost the same findings were achieved by (Johansson 2006) in Fez, Morocco. Reduced wind speeds and the thick walls used reduced nocturnal cooling. Such thermal effects were mainly introduced in the major Arabic cities before it transferred through Muslim Spain in the medieval ages (Shafaey 1982; Jayyusi and Marín 1994; Aben 2001), and is having concern at present as an urban form adaptation solution for climate change (McEvoy 2007; Wilby 2007).

The other main element of Arabic vernacular architecture is the court that is used to regulate climate for the looking-in house spaces in hot climates rather than looking-out that increases the vulnerability to harsh conditions, figures (3-18) through (3-23).



Figure (3-18/a, b): Left graphs in Santa Cruz district in Seville, two in the right Fez in Morocco; the Arabic joint of urban architecture transferred to Andalusia vie Morocco, adapted from (Coronel and Alvarez 2001; Johansson 2006).





Figure (3-19/a, b): Left; Alhambra Palace Gardens, Right; Al-Aref Gardens, both in Ghernata, the Arabic name of Granada in Spain (Jayyusi and Marín 1994).



Figure (3-20/b): Ceiled streets in the Fatimid Cairo, adapted from Google pictures.

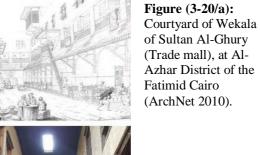




Figure (3-20/c): Interior courtyard of Alsuhaimy House in the Fatimid Cairo (ArchNet 2010).



Figure (3-21/a): The story of Arabic urban planning can be abbreviated from the historical development of ancient compacted cities, above is AL-kufa city, Iraq, (Al-saiad 1996).

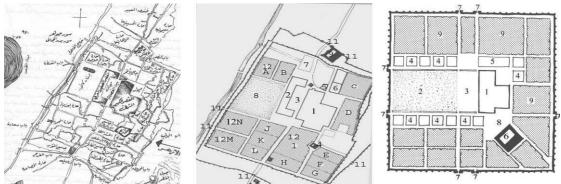


Figure (3-21/b): Historical development of ancient Cairo from the initial plan by the Commander Goher on the right to the final form at the end of the Fatimid era on the left, (Shafaey 1982; Said 1988; Fahmy 2003)

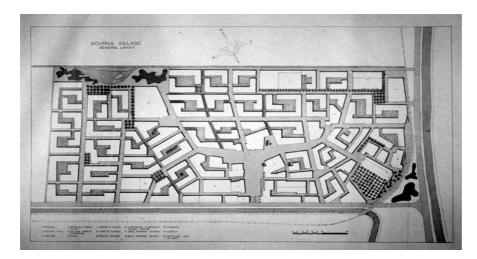


Figure (3-22): Mass plan of new Gourna Village of upper Egypt, 20th century urban vernacular architecture Fathy Hassan designs, following the Upper Egypt compact vernacularties lower latitudes (Fathy 1973).

Although there is no universal solution for climatic urban planning (Oke 1988), for hot, dry climates the traditional courtyard and cluster are perceived as a successful form due to its universal innovative climatic response (Fathy 1973; Fathy 1986), it is an intelligent urban form (Swaid 1992) and bioclimatic housing suitable in different climatic regions as solar protector as well as collector despite its usage in a cold weathers didn't almost have a climatic control or privacy provision reasons as in the hot Arab region (Edwards 2005; Raydan et al. 2005). Urban streets and courtyards with trees achieved cooling effects of around 4.5°C by the CTTC model (Shashua-Bar and Hoffman 2004). Orientating courtyard axis in Fatimid Cairo by 60° from North was the optimum for summer cooling and winter heating whilst an orientation of 75° to 90° from North was optimum for the low latitude location of Toshky in Egypt (latitude 22° 45'N), (Waziry 2002). This second finding is in good agreement with (Bourbia and Awbi 2004), who concluded that "under low latitude conditions deviation of the street from E-W orientation may often be a desirable criterion in urban design", p-300.

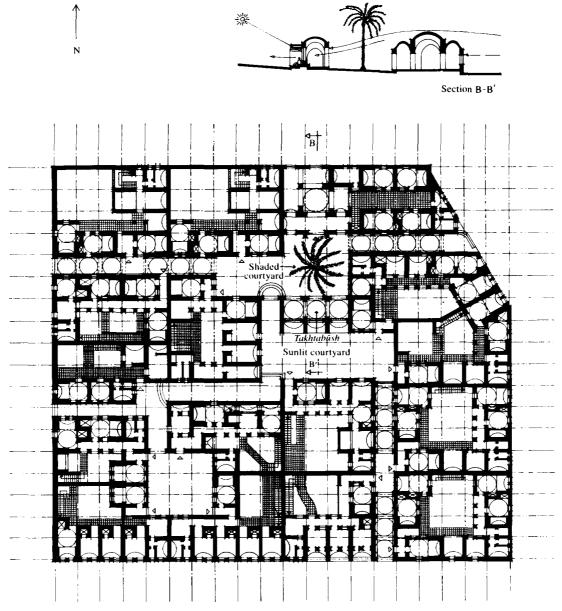


Figure (3-23): Plan of a part of the village of Baris, Al-Kharga Oasis, Egypt, (Fathy 1986).

Courtyards in Cairo having a perimeter to height ratio of 5, a width to length ratio of 0.5 and its long axis orientated 60° from East-West position would tend to have optimum summer shading and winter irradiance (Muhaisen 2006), which means not only the orientation is critical but also the courtyard closure ratio. Courtyard closure ratio, C_a also investigated by (Waziry 2004) and defined as;

$$C_a = A_w / A_g$$
 Eq. 12

Where A_w is the area of the courtyard interior walls and A_g is the area of the courtyard ground floor, and both in m^2 .

3.2.2 Elements of compactness:

As global warming has become an established platform in urban climate knowledge a question arose: is the compact form sustainable and is it sustainable to get compact? (Jenks et al. 2002; Neuman 2005; Atkinson-Palombo 2010) reported that compact city is more sustainable than sprawl. In terms of other sustainability factors such as urban health, conceiving city sustainability in terms of its whole process is more attaining to the goal; on the other hand (Ali-Toudert et al. 2005; Johansson 2006; Fahmy and Sharples 2008c) presented that better comfort levels can recorded in compact forms but with reduced wind access as a penalty. From this standing point, to argue for a new form that is capable of giving comfort as well as allowing ventilation and nocturnal cooling from urban nodes, the Arabic vernacular urban architecture compactness derivatives can be analyzed as follows:

- 1- Narrow spaces with high aspect ratios and small SVF values, where clustered courtyard buildings with confined urban spaces minimize the time of a pedestrian's exposure to direct access. This is achieved by delivering them from a space to another within a series of urban spaces using the fabric and its fine architectural details which can be called urban consequence spaces owed to their dependency on each other, UCSpaces.
- 2- Fine network orientation can sometimes provide appropriate wind speeds due to an urban canyon's tunnelling effect. Reduced wind speeds means there should be an intermediate solution that guarantees solar shelter within small housing groups to offer acceptable wind speeds in the whole local scale, *i.e.* a hybrid urban form.

3-2-3 Urban cluster as a hybrid fabric unit:

A hybrid urban form between the Arabic vernacular compact urban architecture and the western suburban dot or linear pattern type that considers forming suitable green spaces between the building clusters, has its roots in the Arabic urban housing designs well known as courtyards or Housh in Arabic language. an arid cluster is considered a sensitive design element by (Rahamimoff 1984), it can be considered an intelligent urban form (Swaid 1992). Colonnaded street canyons and fabric detailing in terms of special designs to improve climate conditions is argued by (Ali-Toudert and Mayer 2007b), whereas (Aldawoud and Clark 2008) found that courtyards are better in

performance than atriums for short buildings if limited in courtyard façade glazing ratio up to 6 floors on average. (Fahmy and Sharples 2009a) examined orienting canyons with 75° orientation from north for the best comfort performance, irradiation minimization and shading maximization. (Ahmad 1994) investigated the closure ratio for courtyards in Cairo at 30°N and found that a closure ratio of 3.45 (courtyard walls' areas divided courtyard ground area) with W/H/L of 1:1.3:3 is optimum at 15° from E-W axes for the main courtyard axe which is 75° from N-S axes. This form can effectively respond to the excessive conditions of hot-dry climates, (Golany, 1996) have discussed the urban forms in relation to the climate region as a review without a for ground design suggestions except the Earth-sheltered construction which is a single housing unit rather than a complete pattern.

As a conclusion, a proposed hybrid urban clustered form can be characterised by the following:

- 1- To arrange housing units around a large courtyard for passive cooling, not single building or house but is a group of buildings, so;
- 2- It is Attached/semi-attached housing which is the most used type of buildings to form the settlement.
- 3- Medium population density with ground floor plus 3-5 floors could be assessed with reference to the aspect ratio H/W for streets and W/L/H for cluster courtyards.
- 4- Assumed to be used in the zones of central urban, general urban and rural urban by controlling the aspect ratios H/W for streets and W/L/H for cluster courtyards to have particular construction densities in each urban zone, i.e. from D2-D4 on the degree of compactness scale.

3.3 On the development of an urban passive form

This section presents the pilot study of (Fahmy and Sharples 2009a) where the a theoretical quarter neighbourhood is simulated to investigate how urban patterns can be designed to act as a passive cooling system with respect to the clustered urban form on a neighbourhood basis concluded from both sections 3.1 and 3.2 as a hybrid form. This microclimate study is a preliminary investigation prior to the application of the proposed urban passive form on a local scale which in turn can be considered a

proposed climate based improvement for the traditional neighbourhood form. An urban passive system can utilize two main elements, the urban fabric form, with its green structure, and thermal comfort adaptation by introducing urban green scene stimulation with the time of exposure to the urban environment regardless adaptation thermal impacts can not be assessed directly by the software except for the shading produced in terms of short-wave direct radiation interception, fig (3-24).

Stimulation by green scene has followed conclusion of the 90 papers review article done by (Matsuoka and Kaplan 2008) for the people needs in their urban landscape. This study reports that contact with nature, its quality, elements and views "contributes to improved quality of life, even if the encounter is only a brief opportunity to escape the urban bustle, relax, and possibly contemplate or enjoy the time in nature." p-9. This completes the meaning reported by (Nikolopoulou and Steemers 2003) who said that "Environmental stimulation is an issue of primary importance in external spaces, where the environment presents few thermal constraints, this being an important asset of such areas and one of the reasons that people use these spaces. Environmental stimulation is probably the main reason for the majority of people to sit outdoors", p-98.

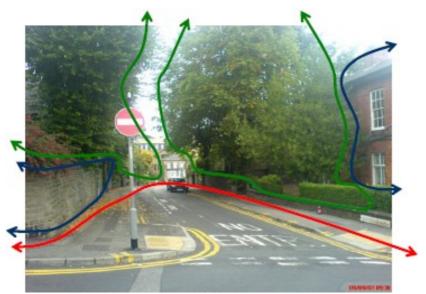


Figure (3-24): Urban green scene: (1) the lower part by the red dotted line is the canyon flooring, (2) facades as middle part of urban scene, (3) green line defines green colour of urban trees canopies, upper part of the scene or the canyon's ceiling, Broomhall, Sheffield, UK. Published in (Fahmy and Sharples 2009a).

3.3.1 Site planning, fabric and housing design:

In addition to the clustered form, the idea of parallel shaded un-shaded avenue is suggested as a passive spatial structure. Therefore, urban canyons in a grid network were examined, with one canyon having virtually no shade and other canyons had a green structure containing two types of native Egyptian trees to investigate cooling effects from the green ones towards the non-green irradiated ones. The concept is to generate an overall cooling effect if possible at immediate surroundings of the avenue parallel shaded un-shaded canyons by pressure difference as well as allowing wind tunnelling effect to increase cooling possibilities. Fabric is designed to cope with the Egyptian urban planning law (Law3 1982) that tells a population of 100-150/faddan (faddan is an Egyptian area measuring unit = 4200 m²) of medium housing, table (3-1).

Two sets have been designed to stand for different compactness degrees upon different population. Housing type used is the attached linear fabric multifamily apartment buildings having module of 150 m² of total flat area which suits a family of 4-5 persons and can be divided or gathered with more adjacent to decrease or increase the unit area.

Figure (3-25) indicates a 3-D graphs for urban form design sets, table (3-1) illustrates urban site configuration that supports the medium population and fabric to cope with the population limit of Law (Law3 1982). The urban cluster proportions for the four compactness degrees are shown also in table (3-1). Figure (3-26) illustrate the green structure and (3-27) show points placed for recording simulation output across the two shaded avenues and along the main middle un-shaded avenue.

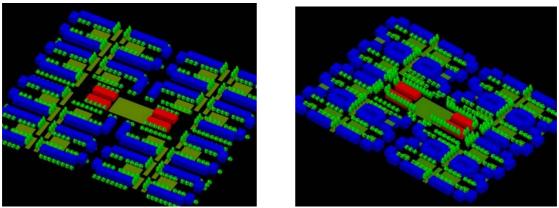


Figure (3-25/a, b): CAD illustrations for design sets; 1 to the left and 2 is right.

Table (3-1): Housing and land use statistics of the empirical passive spatial form;

	Name	Urban area in feddans	$A_g(\%)$	Cluster closure ratio;	Cluster aspect ratio; H/W/L	A _c (%)	n_f	D _c	Max. No. of families	Population	Population /feddans	Law no.3
1	Set1_Dc1	51.07	0.251	0.494	13/50/200	21.8	3.875	0.844	1120	4200	82.24	100 -
2	Set1_Dc2	51.07	•	0.608	16/50/200	21.8	4.750	1.036	1408	5280	103.38	150
3	Set2_Dc1	37.24	0.183	0.515	13/42/180	26.5	3.923	1.040	1144	4290	115.20	person/
4	Set2_Dc2	37.24	•	0.635	16/42/180	26.5	4.923	1.302	1236	4635	124.46	feddans
5	Set2_Dc3	37.24		0.635	16/42/180	28.0	5.846	1.549	1424	5340	143.39	

- D_c is the compactness degree standing for fabric volume, i.e. residential construction percentage $A_c \times$ canopy layer height, or the number of urban floors n_f which in turn can be calculated from the population. A_c is the urban site constructed area percentage. A_g is the green coverage area percentage (%).
- No. of families is calculated upon subtracting ground floor dwellings of the main middle avenue from residential use to be as commercial and recreational uses that support mixed use concept at night after losing heat by nocturnal cooling. Family no. of flats is also calculated as 150 m²/flat/family.
- Facilities buildings at service civic centre are 10 m in all design sets and 13 m in Set2_Dc2 to increase the compactness degree without increasing site population assuming that more facilities are needed.
- The actual residential land use can be calculated after extracting 33% for the network and green coverage as (Law3 1982) tells, also after extracting the civic buildings areas, hence the residential land use for example for Set2_Dc3 is 23.707 feddans is 63.66% of site area, i.e. the actual constructed area which is 10.428 feddans gives construction percentage of 43.99 %.
- Refer to eq. no. 1 for cluster closure ratio.
- Feddan = 4200 m^2

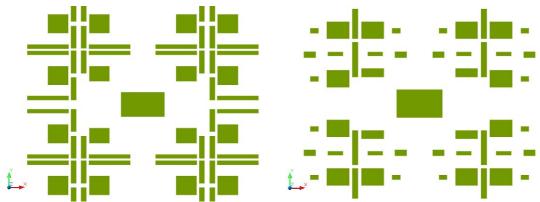


Figure (3-26/a, b): The green structure of both designs sets 1 and 2.



Figure (3-27/a, b): Snapshot receptor positions for design sets; 1 is top and 2 is below.



3.3.2 Urban passive form

To represent the sheltering from solar access as well as the cooling effect due to the proposed parallel avenue spatial form, an assessment took place in terms of local urban radiant heat island caused by the fabric compactness, LUHI. Following (Shashua-Bar and Hoffman 2000), and as long as $T_{\rm mrt}$ represents all net-wave radiation environment surrounding a pedestrian, the difference between $T_{\rm mrt}$ of two points can give an indication of the fabric compactness that intercepted solar radiation at one of them if compared to a second reference point provided that it is almost exposed all day to radiation. That is why the middle canyon, at which the reference point no.1 is located, doesn't have trees to allow solar exposure, whereas the smaller aspect ratio compared with the vegetated avenue canyons is to allow more sensible heat emissions from walls near the point no.1. Point no.1 is considered the reference point for the two points at the civic garden (no.9) and at the green shaded point in the pedestrian green avenue canyon at (no.4).

However, LUHI is then calculated as the difference between $T_{\rm mrt}$ at the reference point in the un-shaded avenue and two other points at the civic centre and in the shaded avenue, fig (3-28), in another word results are presented as LUHI of (point no.4-point no.1) and LUHI of (point no.9-point no.1).

Orientations were 15°, 45°, 75° each of them had different compactness degrees (as defined in Eq.8) were simulated. Applying numerical CFD simulations for hot climate conditions, and despite some very hot conditions were recorded, there were evident examples of more alleviated conditions and cooling potential for some orientations and degrees of urban compactness due to the clustered form with green cool islands and the tunnelling effect through the main canyons. The (-) LUHI value means that $T_{\rm mrt}$ far from the irradiated reference point is less and hence a cooling potential is recognized. Comparisons of LUHI in all cases show that the preference is for the orientations at 15° and 75° degrees as they display steady differences and (-) values more than of 45° which means more cooling effect or in fact less heating effect. This proves the applicability of the parallel avenues clustered form to act climatically well, if Dc is to be adjusted considering local land use and housing needs but with caution to thresholds of population.

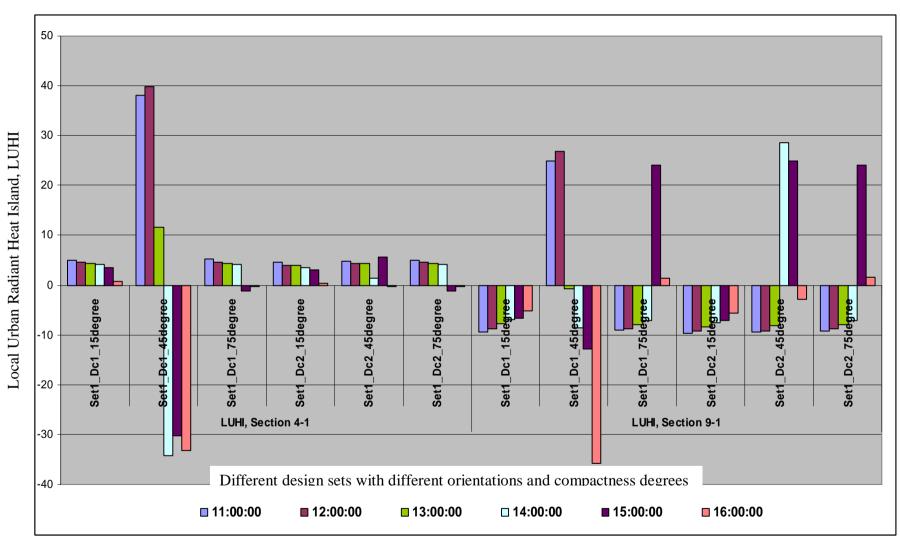


Figure (3-28/a): LUHI of Set1 Dc and orientations. The y-axes represent a unit less value of the Local Urban Radiant Heat Island, LUHI.

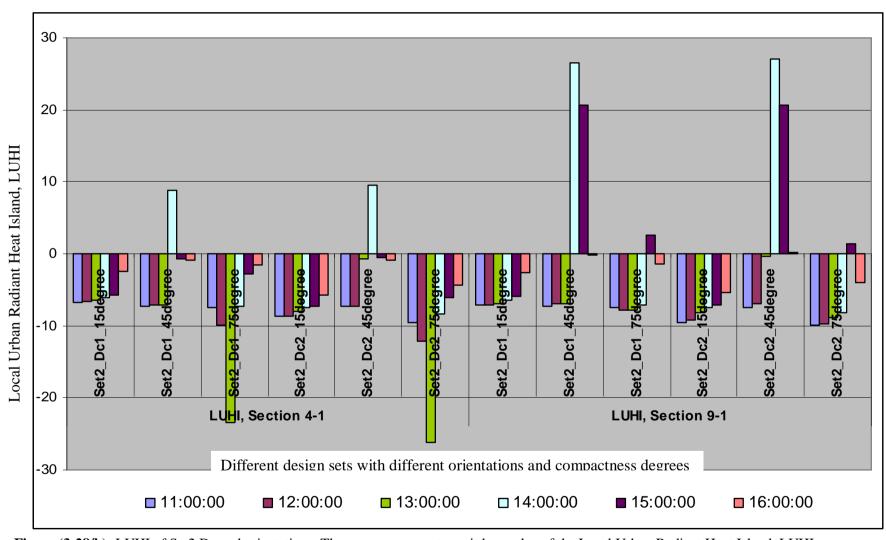


Figure (3-28/b): LUHI of Set2 Dc and orientations. The y-axes represent a unit less value of the Local Urban Radiant Heat Island, LUHI.

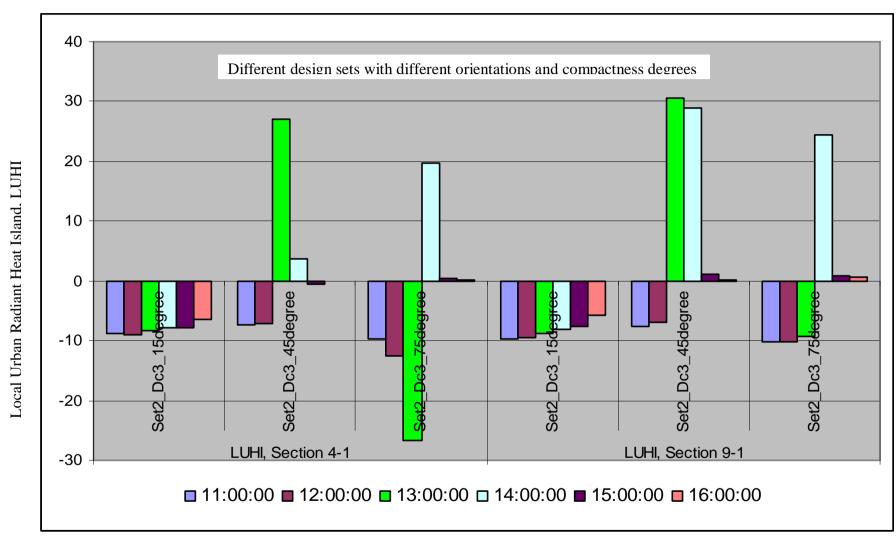


Figure (3-28/c): LUHI of Set2_Dc3 of all orientations. The y-axes represent a unit less value of the Local Urban Radiant Heat Island, LUHI.

3.4 Summary

In order to study the thermal impact of local scale urban forms and to generate alternative passive ones, a well reviewed urban planning literature had to be presented in this chapter, especially if an understanding that Egyptian urban planning discipline hasn't been improved almost since about 100 years ago. From this viewpoint, this chapter has followed the conclusions from chapters one and two in widening the research background with urban planning to be connected with applied climatology as presented in section 3.3 and to investigate and integrate climate design tools within urban design and planning process. The process revealed that the spatial concept of the shaded un-shaded parallel avenue canyons can stimulate cooling between avenues.

An analysis for the vernacular architecture revealed that urban consequence spaces, UCSpaces, can be considered a passive tool itself used for solar sheltering and wind tunnelling effect provision. It is derived from the hot climate vernacular urban form and architecture where the derivatives of the compact form are the confinement and the courtyard or the cluster in a bigger scale. By this way hybrid form can be defined as the clustered form that is adjusted to minimize solar access as well as allowing acceptable wind speeds. It has been called a hybrid form as it will neither be compact all over the whole local scale site nor open to allow wind but gains heat. Therefore a climate based urban passive design methodology; fig (3-29), includes as much cooling tools as can be assessed. It is illustrated in terms of a flow chart relationship starting and ending at the Service Town Urban Regional Development point, STURD. STURD is a simple climate based methodology for a satellite town's regional planning to help smart growth and environmental quality upgrade for cities and neighbourhoods upon controlling population density and growth by which local scale fabric is generated and local climate conditions is affected.

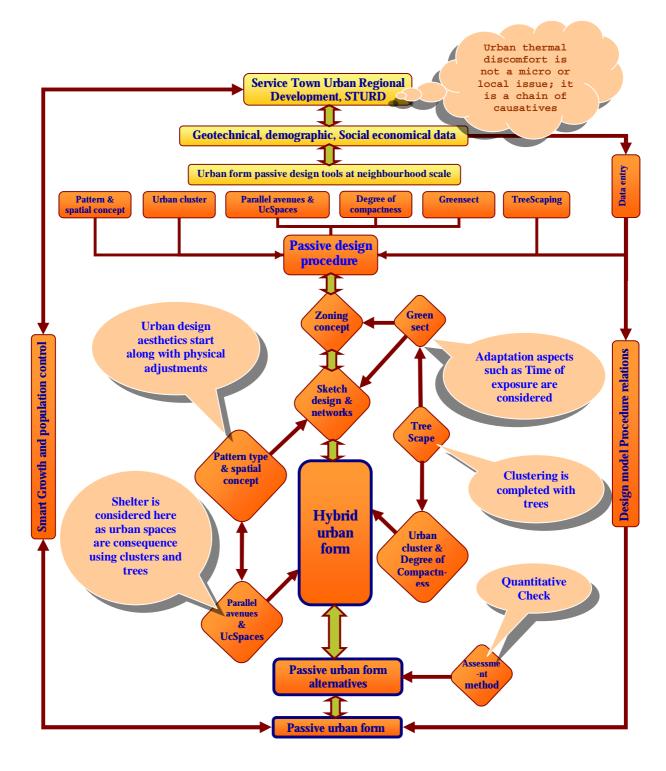


Figure (3-29); Research methodology for urban form passive design. It is defined *from a city side point of view,* rather than from a single traditional neighbourhood development (TND) point of view or even in terms of an urban village except in special cases of separation from the nearest cities.

Chapter four: 100 years of urban growth in Greater Cairo; Introduction to case studies

4-1 Introduction

As the previous chapters presented and discussed urban climate and urban planning literature along with sub-methodological investigations dedicated twards ensuring the methodology of passive spatial design of urban form, this chapter analyses the local scale cases of the main core of this research that work presented in previous chapters will be applied to examine them.

4-1-1 Urban development history of Greater Cairo – "Umo Edonia":

Cairo is located in the multi cultural country of Egypt, which lies between three continents from Lat. 22° - 32°N and Long 25° - 35° E. Cairo, N 30° 7', E 31° 23' GRT +2.0, is the capital of Egypt and its name is an English modification of the Arabic word Al-Qahira, named after the star Al-Qahir. This name had been given to Cairo by the famous Fatimid sultan Al-moez Le-Din Allah, who ordered his army leader Gowher AL-sekelly to do that. He set the city to the north of the ancient city of Al-Fustat and its extension was developed by one of the prophet Mohammad (PBUH) companion's, Amr Ebn-Ala'as, in the 6th century BC, about 64 years in the Hijiry lunar Calendar. That unique location made Cairo the political kitchen, furnace, and pivot of many great events on the Middle East along with its urban planning historical developments what gave Cairo the name "Umo Edunia", i.e. the head, origin or mother of the world in sight of Egyptian people and even the Arabic adjacent countries' people. In fact, its political economies were the motives for all of the development trends. (Stewart 1999; Stewart et al. 2004; Yin et al. 2005) have demonstrated four time periods of urban planning developments based on field surveys and GIS technical work with different issues maps for Cairo supported by satellite imagery. Those four urban political shifts were responses to population growth, fig (4-1/a, b, c) as follows:

- 1- Islamic (settling; 979 AD French occupation; 1798 AD), urban key features are Al-Fustat, the Fatimid Cairo, the Citadel...etc.
- 2- Imperialist (French occupation; 1798 AD July Revolution; 1952 AD), urban key features are the central Cairo, Al-Zamalek, garden city...etc.

- 3- Arabic Socialist (July Revolution; 1952 AD Transitory; 1987 AD), urban key feature is Nasr City.
- 4- Capitalism (Transitory; 1987 AD now), urban key features are the satellite developments of the new town rings.

The case studies in this thesis are from the transition urban planning period around Metropolitan Cairo as an example of changing planning policies that were revealed in new communities of different spatial structure compared to central urban and city inner parts. Cairo started to change its master plan from the late 1970s by having satellite towns planned to attract the population that nowadays makes Cairo the 10th largest worldwide mega city. That is why it has been divided into two more governing areas over the existing three to be five governing areas forming greater Cairo. It has a population of more than 16 million people and its rate of expansion over the last 30 years has overwhelmed the master plans developed for the city in the 1970, 1982 and 1992 modifications (Sutton and Fahmi 2001; El Araby 2002). Different types of urban planning have occurred as the city has sprawled eastwards into the desert.

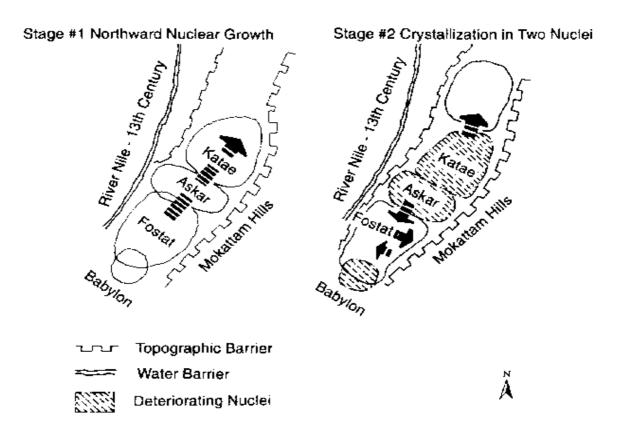


Figure (4-1/a): Cairo development starting from Fustat to the north of the Romans fort Babylon in 641AD, adapted from (Moselhi 1988) and presented by (Yousry and Aboul-Atta 1997).

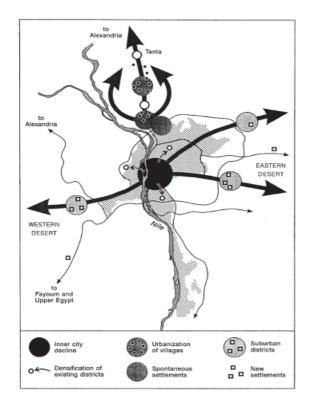


Figure (4-1/b): Model of Greater Cairo's recent and projected development (Sutton and Fahmi 2001).

(Sutton and Fahmi 2001; El Araby 2002; Fahmi and Sutton 2008) give some clarifications towards the recent situation of Greater Cairo's urban fabric, pattern, and master plan from the development and environmental points of view. They claim that the unclear urban and environmental policies to confront and invest in growth along with the lack in parallel urban development that accommodate the population increase revealed urban planning problems which need more than a few years to be solved. The lack of a housing strategy, along with an uncontrolled population density and the absence of the political pressure needed to deeply improve master plans, are the main reasons for the overwhelmed urban patterns. Consequently, urban decline and informal settlements started to appear increasingly in the absence of a strong governmental role (Kipper and Fischer 2009). Egypt's population reached about 79 million at the beginning of 2010 but varied from 76.70 million inhabitants in 2006 to 61.50 million at 1996 with about 43.09% of them living in urban areas in 2006 compared with 42.63% in 1996 (CAPMAS 2008). Cairo Governorate (equal to province or county), the eastern part of greater Cairo, which is the metropolitan Cairo and its extensions, has a population of 6.8 millions spread over about 215 km^2 of urban and rural areas. The Greater Cairo population exceeded 16 million in 2006. Its populated area expanded from about 345 km² in 1986 to about 460 km² in 1999 (Yin et al. 2005).

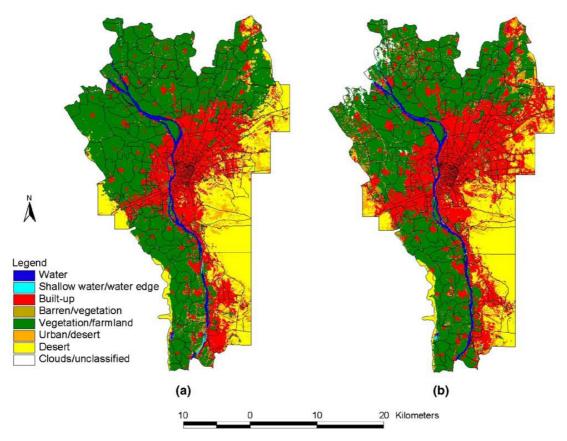


Figure (4-1/c): Greater Cairo urban growth and land use 1986 is left and 1999 is right, adapted from (Yin et al. 2005).

Two neighbourhood areas (the Fifth Community and Misr Al-Gadida) were chosen as cases studies for this thesis in order to make urban form based comparisons between them upon their different thermal performances. The first case can be described as a neighbourhood dot pattern single family villa housing, which is the common feature of New Cairo, the extension of Cairo to the east due to urban development plan of 1982 and its modifications in 1992. This is not only unlike the heritage background of housing and patterns types in historical Cairo, but is unlike the early 20th century urban developments to the North-East. The urban morphology of the second case belongs to the late imperialism planning policy, with Misr Al-Gadida being built early in the 20th century, despite its housing fabric being mixed between small and high rise apartment buildings which were built as an extension to Misr Al-Gadida core in the Arabic socialist planning period. Selection of cases is based on the following terms:

- 1- They are located in a hot arid region.
- 2- They are almost on the same latitudes.
- 3- They have the same cultural, social, urban and architectural features.
- 4- Both of them were new communities regarding the time they were built in.

- 5- Both of them are considered suburban for Cairo regarding Cairo's urban zones at the time they were built in.
- 6- Both of them are a western type of urban planning, this is to examine the proposed urban planning passive methodology on more than one case having different fabric and patterns generated from the same previously applied methodology.

The only difference between them is that Misr Al-Gadida was built in the early 20th century depending on a combination of urban form between compact, linear and western dot pattern type, whereas most of the new Cairo's urban form and pattern type apply the dot pattern type only.

Indeed, the compactness is decreased towards the rural zones of the city where the Fifth Community, as part of New Cairo is located, but the western type of dot fabric urban planning even in the neighbourhood form of New Cairo is not a solution to such rapid growth in Cairo, (Fahmi and Sutton 2008). Consequently, these communities converted into urban sprawls which increased the problem of Greater Cairo in addition to environmental sustainability problems. An urban planning statistics and tabulated land use comparisons with the proposed climate based neighbourhood planning of this research will be presented in chapter five.

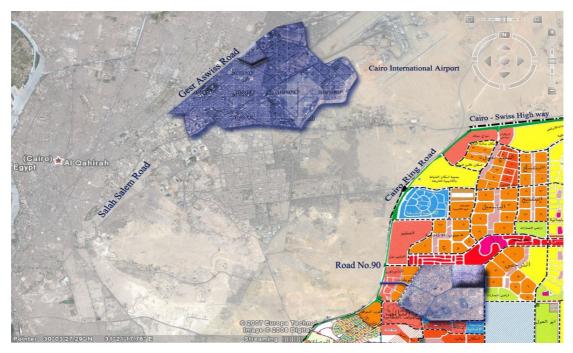


Figure (4-1/d): Location of Research cases, edited from Google maps. Middle left is the metropolitan Cairo started with Al-Fustat, to the north and west Nile are the imperialist extensions. Highlighted with blue is southerly began in the imperialist but extended after the 1952 revolution. Far southeast is new Cairo outside greater Cairo's ring road. Graph is an edited Google maps capture by Photoshop7.0.

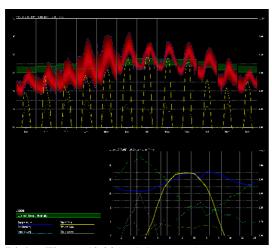
In order to support both cases' numerical modelling and thermal performance simulations and analysis Quick Bird satellite imagery of 0.6 resolution and digital maps in Shape files format were processed using ArcGIS 9.2 software to import physical dimensions of both cases and to support design decision. Table (4-1) illustrates the coordinates of the two case studies.

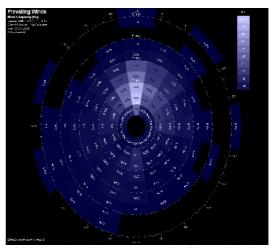
Table (4-1): Coordinates needed to provide GIS data of the geometry of the cases.

()	- ()						
Long. & Lat.	1 st corner point	2 nd corner point	3 rd corner point	4 th corner point			
Misr Al-Gadida	30°7'17.76"N	30° 6'20.43"N	30° 6'0.93"N	30° 5'31.07"N			
	31°20'28.32"E	31°19'14.44"E	31°21'16.81"E	31°20'20.72"E			
5 th community	30° 0'58.63"N	30° 0'20.00"N	30° 0'58.01"N	30° 0'20.20"N			
	31°26'44.47"E	31°26'45.48"E	31°25'50.44"E	31°25'50.36"E			

4-1-2 Climate analysis:

Cairo's climate is classified as mixed dry, semiarid mid latitude/arid subtropical/highlands (ASHRAE 2005). Based on 30 years of WMO Station no.623660 records at Cairo International Airport the extreme hot week period lies in between 26th June to 2nd July. The maximum average air temperature T_a is 44.0°C, the maximum average summer RH is 42% and 49% at midday in June and July respectively, the maximum average wind speed is 3.5 m/s in June and July at 74m a.g.l and the maximum average monthly global radiation is 7385, 7316, 6893 Wh/m² for May, June and July respectively and the maximum daily direct solar radiation is 773 Wh/m² at 13.00 to 14.00 LST on 14th June, fig (4-2/a, b).





Right; Figure (4-2/a): Cairo monthly average meteorology based on 30 years records of WMO Station no.623660 for the hottest day 177 (calculated by Ecotect weather tool) which is the start of the extreme hot week.

Left; Figure (4-2/b): Cairo annual wind frequency based on 30 years records of WMO Station no.623660. N-W, N, and N-E are the dominant wind directions (calculated by Ecotect weather tool).

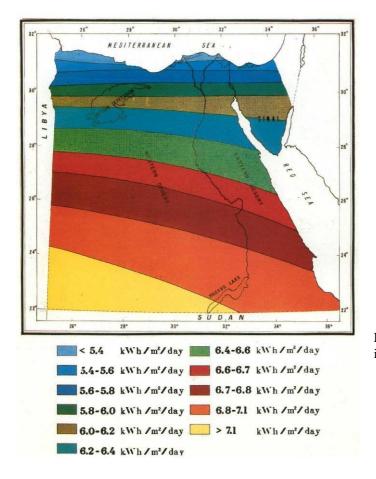


Figure (4-2/c): Global radiation in Egypt, adapted (ANRE 1991).

4-2 The Fifth Community, New Cairo of Egypt:

4-2-1 Historical background:

The Fifth Community is about 5 to 6 neighbourhood s in scale. It lies to the east of the 1st Greater Cairo's ring road at 50 m above Al-Moqtam Mountain as shown in the lower right corner of fig (4-1/a). New Cairo was planned as one of a series of new towns around Cairo to attract population away from metropolitan areas at the start of the transition period of urban planning polices from the post revolution socialist governmental planning to the open market planning 1982, (Donia et al., 2004), (Stewart, 1999), (Stewart and Yin et al., 2004). It was planned as a traditional neighbourhood development, but the non existence of regeneration, environmental and job opportunities policies and auto mobile dependent based patterns increased the load on metropolitan Cairo instead, (Sutton and Fahmi, 2001), (Fahmi and Sutton, 2008) and (El Araby, 2002). Figure (4-3) demonstrates the neighbourhood scale of the Fifth Community.

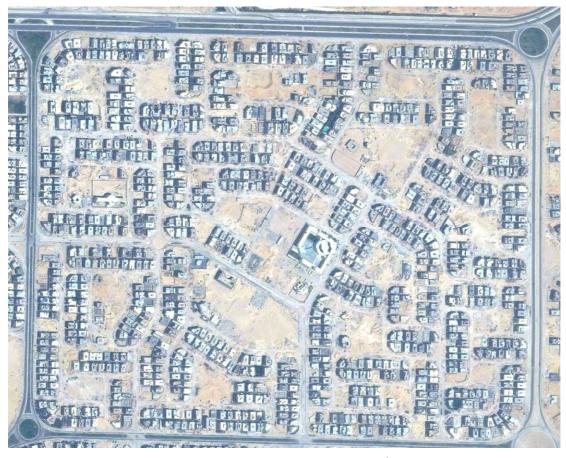


Figure (4-3): 0.6 resolution Quick Bird 2008 satellite image for the 3rd district (neighbourhood scale of about 1 km²) of the 5th community, the research case no.1, captured for this research work.

4-2-2 Population analysis:

The core of the Fifth Community as the main neighbourhood of New Cairo had a low cost (commercial) ground floor plus four floors of multifamily apartment buildings allocated around the service centre, then high cost multifamily housing apartment buildings were built within service centre urban areas. The rest of the residential land use is single family housing dot fabric patterns that had about 50% converted into multifamily housing dot fabric with a ground floor plus two more floors.

Calculations from 2008 geo databases give an approximate figure about the existing population of the site considering the Egyptian family of 3.75 people on average, based on the population studies and surveys of Egypt till 2006 done by (CAPMAS, 2008). The 5th Community is planned to have almost no self economy generation, i.e. there is no industrial, agricultural or trade economies apart from the daily service facilities; it is just a housing community. This standing point if added to the single family housing can give an idea about the economic situation and income of individuals and families inhabiting 5th Community even after housing needs have

converted about 60% of dwellings into multifamily housing as private housing has very expensive prices that are not less than 2000 EGP/m² from field surveys done in summer 2007. The calculated site population by ArcGIS estimated conversions of about 60% of the dwellings into multi story family flat housing to replace the single family villa housing that was planned for. Hence, the almost standard 320 m² ground floor, leaving almost 1000 m²/villa and providing 6 flats of about 160 m² if converted.

4-2-3 Construction regulations:

Most of single family housing dwellings are 300-400 m² of ground floor built area over 600-800 m², which is 50% of the plot area. The converted units have been divided into two flats per floor of about 150-200 m²/flat of a ground plus 2 floors with roof for all of the converted dwellings.



Figure (4-4/a): GIS 3-D modelling for the existing situation by ArcScene built over a Quick Bird 2008 satellite image for the 3rd district (neighbourhood scale of about 1km².) of the 5th community, the research case no.1.

The regulations helped produce a dot fabric urban form by stating recesses from all plot area sides 3m from front and both sides then not less than 4m from the back conditioning 50% construction percentage of the plot area, (NUCA 2006), fig (4-4).



Figure (4-4/b): GIS land use analysis of Fifth Community illustrated with buildings heights in metres.

4-3 Misr Algadida, Metropolitan Cairo:

4-3-1 Historical background:

Misr Al-Gadida was built in the early 20th century as a result of forming the *Cairo Electric Railways & Heliopolis Oases Company*, a partnership between the Belgian investor Edouard Empain and the Egyptian investor Boghos Nubar. The main benefit of this railway was the construction and development of the housing suburb to the northeast of metropolitan Cairo over about 18000 feddans at near the place of ancient Heliopolis, (Heliopolis-Company 2006), fig (4-5).



Figure (4-5/a): Heliopolis Palace Hotel before used as presidential administrative palace in the 1980s, adapted from (Raafat 2004).

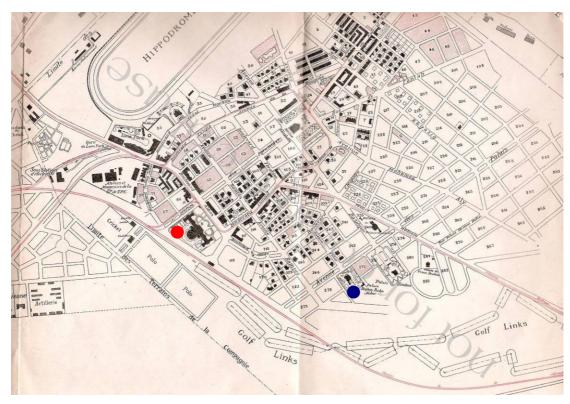


Figure (4-5/b): Heliopolis downtown 1913, the Palace shown in 4-5/a is highlighted in red and the palace of Edouard Empain is highlighted in blue, adapted from (Raafat 2004).



Figure (4-5/c): Aerial view of the 2nd case Misr Al-Gadida adapted from Google maps.

4-3-2 Population analysis:

Misr Al-Gadida was firstly planned as a north east development for Cairo. It was also only to have a housing function for the improvements at the time regenerated and attracted population, hence administrative and commercial uses generated the local economy of Misr Al-Gadida. Site population calculations are based on 2008 geo databases and on the population studies and surveys by (CAPMAS, 2008) while assuming that each family in the multifamily apartment buildings' site have not less than 120 m²/flat/dwelling as an average between the medium and upper classes of flat housing areas. In fact, this assumption meets the economic situation and circumstances of the well educated middle class that lives in Misr Al-Gadida. Figure (4-6) illustrates a comparison between the 5th Community and Misr Al-Gadida's urban site population statistics. Misr Al-Gadida population has been calculated by ArcGIS assuming a fixed standard of housing which is 110m²/flat, which is estimated after (CAPMAS, 2008) rate of crowd of 1.12 person/bed room that means a family of 3.75 persons needs 3.35bedroom/flat. (Law106, 1999) states 3.6×3.6m room as minimum dimensions. In fact older buildings than this specification contains 3×3m rooms, but if considering the large flats' areas of the early 20th century that contained more than $5\times5m$ rooms, the $4\times4m$ room area estimation can be used. Hence, a 3.75persons' family needs are:

- 1- 3.35 rooms of 4×5 m = 67m² sleeping room's area.
- 2- One 4×4 m = 16 m^2 dining room area.
- 3- One 4×4 m = 16 m^2 living room area.
- 4- One 3.0×3.0 m = 9.0 m^2 kitchen area.
- 5- One 2.5×2.5 m = 6.25 m² bathroom area.
- 6- Net flat area of 114.25 at minimum.
- 7- Total flat area with staircase and service shoot utilities of about 8m²/flat is approximated to 120 m²/flat for ArcGIS database calculations accepting integers only.

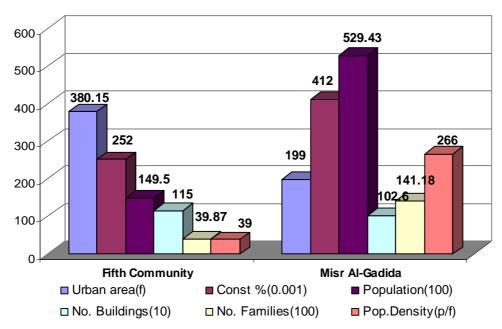


Figure (4-6): Statistical comparison between the Fifth Community and Misr Al-Gadida, the urban area is in Feddans (Feddan = 4200 m^2).

4-3-3 Construction regulations:

As Misr Al-Gadida was planned and built over more than a 50 year time period. The construction percentage over plot area varies but commonly is about 60-80% for residential building built before (Law106 1999), restricted to 60% since this law has been issued in 1975 and then modified in 1999. The height of fabric is corresponding to the road it looks at, i.e. the aspect ratio reveals different building' heights as illustrated in fig (4-7). Generally, this allows 1.5 of the road width and a recess from

the ownership limits of 2m with no more than 1.2m cantilever of the typical floors from the ground floor.

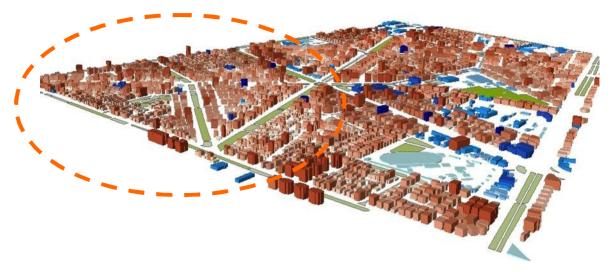


Figure (4-7/a): GIS 3-D modelling for the existing situation by ArcScene built over a Quick Bird 2008 satellite image for the 3rd district (neighbourhood scale of about 1km².) Misr Al-Gadida, the research case no.2.



Figure (4-7/b): GIS land use analysis of Misr Al-Gadida illustrated with buildings heights in metres.

4-4 Summary:

Presented and discussed in this chapter, graphical GIS supported illustrations for both of the chosen case studies for the local climate scale thermal performance assessment. It can be concluded from this chapter that the first case is less populated due to the single family dot patterned housing which revealed more wind access as well as more solar access. Contrary, the second case, which is over populated, revealed high rise apartment buildings with more solar and wind shelter. From these viewpoints it is expected that a hybrid urban form will increase population in the first case study by increasing the number of housing units and in turn an increase in the accommodated population. In order to offer a medium population urban form, the opposite is expected in the second case as it is over populated basically, in terms of the expected increase in wind and solar access due to the less population clustered form, there is a question about its thermal performance.

Chapter Five: Comparative numerical assessment

5.1 Method

5.1.1 Simulation tool

As the scope of this research is to design and apply integrated urban passive tools within master planning process of urban developments, there was a need to investigate which method is appropriate to assess alternative urban forms. There was no doubt that field measurements could not be undertaken due to the physical size of the areas to be examined, the large number of meteorological parameters that would need to be measured and the scale of any survey to obtain pedestrian comfort levels. As discussed in Chapter One, the thermal interactions of the urban fabric and vegetation, together with assessing their effects on human comfort and the ambient environment, are very complex. This complexity is partly due to the advanced mathematical and physical models having been developed to assess urban thermal interactions. The conventional framework of neighbourhood planning, as discussed in Chapter Three, is to use the land to accommodate a certain population and to provide sufficient facilities. The prediction of thermal impacts and the sensitive design in a micro urban context can maximize sustainability at this scale in terms of urban comfort and other thermal effects, such an approach has been made possible by the software package ENVI-met.

In previous times urban planning did not need such complicated assessment methods. From the mid 20th century the patterns of urban designs grew in complexity, especially as sustainability became an ongoing issue in the 1990s. Therefore, the use of simulation packages to improve understanding of urban microclimate and energy use and how it might affect design has increased significantly in the last decade.

Among the many models in the field of urban climatology to account for urban environmental interactions, ENVI-met is almost the only software capable of adequately assessing pedestrian thermal comfort, all meteorological parameters and all urban surface and vegetation thermal interactions. It can numerically introduce different soil and plant types with a limited number of inputs and account for solar movement at any location.

Table (5-1) show a brief comparison between available CFD packages that can serve the scope of this research upon and ENVI-met.

Table (5-1): Brief comparison of CFD software serving the scope of the research

Package	Phoenics	Fluent	ENVI-met Freeware: www.ENVI-met.com Only highly configured PC for large models simulations. Simple through 2-D graphical user interface, for fabric, vegetation, location and solar path All types of radiant interactions, wind flow, plants, soils and pm10 and gaseous emissions but without considering their thermal effects.		
Availability	Should be bought; http://www.cham.co.uk/ default.php	Through the university network; http://www.fluent.com/sol utions/index.htm			
Computational resources	Super computer for large models simulations.	Super computer for large models simulations.			
Built environment modular	3-d graphical user interface	3-d graphical user interface, but no consideration for location or solar path.			
Core calculation model	All types of radiant interactions except surface temperature, wind flow and plants but without its complete thermal effects. Also it doesn't include a soil model.	Heat transfer and wind flow simulation through outdoor environment without considering short- wave radiation. Plants can be coded but without its complete thermal effects.			
Input data	Input formulae and graphical user interface	Input formulae and graphical user interface	Data bases and graphical user interface		
Output data	All meteorological, but not for vegetation , soil or T_{mrt} .	All meteorological, but not for vegetation , soil or T_{nrt} .	All meteorological, vegetation and soil parameters and T _{mrt} .		
Validation	ation Yes Yes R		Only for radiation, T _{mrt} , RH whereas the package has been used by many research studies		
Comfort prediction index	No	No	PMV		

5.1.2 ENVI-met 3.1 Capabilities

ENVI-met is a non-hydrostatic prognostic model based on the fundamental laws of fluid dynamics and thermodynamics. It is a more comprehensive package than can be found in dedicated CFD package for fluid dynamics simulations (Ali-Toudert and Mayer 2006). ENVI-met can simulate the surface-plant-air interactions within urban environments with a typical resolution of 0.5 to 10 m in space and 10 sec in time for built environments from microclimate scale to local climate scale at any location. Moreover, the combination of biometeorological outputs from ENVI-met gives a deep understanding of climate in the urban canopy layer, such as presented by (Ali-Toudert and Mayer 2007a; Ali-Toudert and Mayer 2007b). The model includes the simulation of flow around and between buildings and the exchange processes of heat and vapour at the ground surface and at walls. ENVI-met is a freeware program and is under constant development (Bruse 2008; Bruse 2009). The model depends on

finite difference for the 3-D numerical modelling and its architecture is built upon a number of connected sub-models representing fabric surface-plant- soil-air relations.

ENVI-met model architecture has almost all the algorithms of a CFD package such as the Navier-Stokes equations for wind flow, E-E atmospheric flow turbulence equations, energy and momentum equations and boundary condition parameters. But it is more developed than a specialist CFD package as it simulates the soil-plantsurface-air relations by applying a numerical model for each. Furthermore, it gives an assessment for outdoor comfort levels following (Jendritzky and Nübler 1981) based on human biometeorology within urban environment along with the simulation meteorology input. Urban canyon heat budgets and short-wave radiation fluxes at a model point (x, y, z) are based on the reductions that the sky view factor, SVF, surface albedo and the leaf area index, LAI, of plants at any height z in the model. Long-wave radiation fluxes are calculated at a point (x, y, z) from the wall's horizontal long-wave emissions, coming up from ground surfaces and coming down either from the sky or as a part of the trapped heat by plant canopies. These are calculated using each surface or plant leaf temperature, emissivity, absorptivity and the Stefan-Boltzman constant. Surface temperatures are calculated using the balance of all net-wave radiation and heat fluxes from both hemi spheres.

The ENVI-met vegetation model is formed over one-dimensional column with height z_p in which the profile of a tree's leaf area density, LAD, represents the amount and the distribution of leaves (Bruse 2008). The distribution of roots within the soil system is represented by the root area density, RAD, from the surface towards the root depth– z_r . In this way all types of vegetation can be modelled. The vegetation model is formed from four sub-models; the first is the turbulent fluxes of heat and vapour sub-model that solve interactions of temperature, humidity and air movement between air and tree foliage. The second solves interactions of evaporation and transpiration of water from soil through a plant that is affected with its stomata resistance r_s . Differing from grass to tall trees, it is simply the number of stomata of a plant's green leaves per unit area that describes the resistance transpired water can face as it evaporates through leaves. Hence, stomata resistance depends on shortwave radiation and the soil water. The third sub-model is a steady state leaf energy budget depending on the foliage albedo, a_f and light transmission factor, tr_f that control net short-wave radiation absorbed by plant. The fourth sub-model calculates

the mass of water transpired from soil depending on the soil hydraulic diffusivity through its layers, so that evapotranspiration effects can take place if stomata resistance and soil water allow that. Detailed information and equations can be found in (Bruse 2008).

The package has its own numerical data base for some plant LAD profiles that is used when simulating the native environment of these plants and depends on analytical approaches that can help in obtaining the LAD distribution of other plants, especially if the LAI is known (Bruse 2008). The same concept is used for the soil model's thermal and hydrological properties. The soil 1D model distributes its properties over three layers (0- 20 cm, 20-45 cm, and 45-175 cm) where each layer represents a soil type. This answers why ENVI-met has been preferred for the numerical simulation of new trees. However, the foliage and soil characteristics of hot climate regions such as Egypt are not represented in the plant or soil data bases in the software. The data bases needed 10 LAD values to be distributed over the tree normalized height, and the cool surfaces properties were modified in the soil data base. More details about ENVI-met's governing equations, model architecture and course of simulations can be found in (Ali-Toudert 2005; Bruse 2008). The software is now in its second beta version after work presented by (Fahmy et al. 2010) found an LAI bug in the first beta version that prevented the interception of direct radiation through different trees types. That is why work presented in this research has used the pre-beta version 3.1.0.11.

5.1.3 ENVI-met 3.1 Limitations and validation

Although ENVI-met mainly uses a 3-D multi model (turbulence, vegetation, soil, etc), it also uses the 1D models to transfer all data entry for wind speed and direction, air temperature, humidity and turbulence quantities. Despite the developed capabilities of ENVI-met, there still more improvements are needed (Ali-Toudert 2005). Specifically, the keeping of input data entry fixated at the model boundary conditions using the so called 1D. It applies a logarithmic law to calculate the wind profile based on the wind speed at 10m above ground level and on the roughness length. It also transfers the initial air temperature and the model humidity using the specific humidity at 2500m and the relative humidity at 2m a.g.l. All calculations are eventually based on these initial transferred inputs that are kept fixed during simulation. Consequently, the actual site hourly conditions are not related to simulation outputs except humidity that can have the same trend as measured data if

the measurement site is dry. Theoretically, it could be possible to compare ENVI-met results for air temperature T_a , wind speed V and relative velocity RH in a mid-latitude location with measurements if the meteorology at the boundary conditions were adjustable, height of simulated records within urban canopy layer equal to the corresponding measured data and if these heights assure blending between the roughness sub-layer and the urban canopy layer in both sites (Oke 2004). development of the software could consider forced daily measurements which are kept constant as mentioned before. Therefore, it is needed to use a representative daily input, and thus for a non-moderate or a coastal site of daily Ta differences over 12°C, ENVI-met underestimates temperature by night and overestimates by day and cannot predict a strong marine breezes which affect surface sensible heat calculations. Figure (5-1) illustrate RH validation done by (Fahmy et al. 2010) who found that it worth plotting results of RH from simulations with that of airport meteorological station as they were found to have nearly the same profile and ranges as if the trees did not exist in the model at all. It has been recognized that evapotranspiration did not take place effectively because there was not enough water content in the soil under the trees. The water content after all simulations in this study ranged only from 0.08-0.14 m³/m³ (cubic meter of water in each cubic meter of soil) at different depths due to the soil's reduced humidity input, i.e. almost no water has been applied for trees and hence environment has been simulated as if there are trees and vegetation which allowed RH comparison with open horizon measurements of at Cairo International Airport weather station.

However, one of the most significant limits is calculating the heat stored in building is that the heat transferred through walls is calculated by conduction using wall U-values. Hence, the surface temperature, along with the long-wave radiation from buildings are overestimated by day and underestimated by night meaning that the nocturnal cooling effect cannot be studied fully even for urban forms designed to allow more night release.

Moreover, due to some simplification assumptions, global radiation is overestimated. (Ali-Toudert 2005) used an adjustment factor of 0.84 to close the gap with measured radiation values. In turn, the total radiant exchanges occurring for a standing person cannot be accurately estimated which is the result of an overestimate of comfort levels.

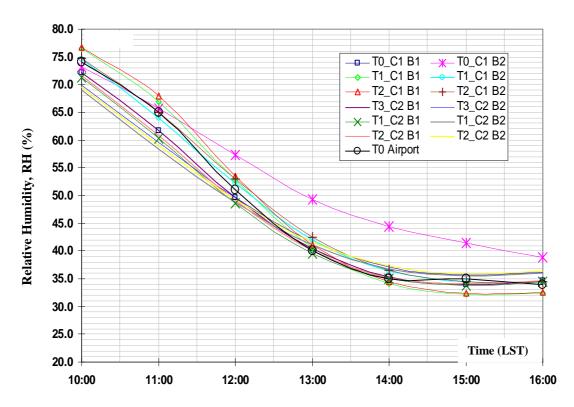


Figure (5-1): RH measured at Cairo Airport in comparison with output from simulated cases, adapted from (Fahmy et al. 2010).

An adjustment factor of 0.84 was estimated by (Ali-Toudert 2005) for global radiation calculated by ENVI-met to equal measurements in hot climate of Beni-Isguen, Algeria which can be refereed to as a validation regardless the 0.14 factor difference between measured and simulated.

Nevertheless, for comparing design scenarios the relative prognosis of microclimatic modifications due to urban environments to assess outdoor human thermal comfort and to give urban planning and design implications, it is possible. In version 4.0 of the software, it is expected to allow forcing hourly inputs, calculating wall heat storage, offer 3-D views for the model area and other improvements (Bruse 2009; Huttner and Bruse 2009). Therefore, it need not only be used as an urban planning and design decision support tool but can also be coupled with either a meso-scale atmospheric model for bigger scale climate investigations, or with an indoor energy model to predict consumption and climate change effects. The later is theoretically presented in section 5-4-3 of this study. An extended list of ENVI-met advantages and disadvantages can be found in the master thesis of (Spangenberg 2005), but some of V3.0 disadvantages have been sorted out in V3.1.

5.1.4 PMV and ENVI-met 3.1

Despite ENVI-met has an advantage of calculating pedestrian comfort, the PMV scale available in version 3.1 is lacking from adaptive model point of view as it fixes human biometeorology inputs which doesn't account for acclimatization or human psychological adaptation. The PMV (Predicted Mean Vote) relates the energy balance of the human body to the thermal comfort of the person. The normal PMV value calculated in ENVI-met is between -4 (very cold) and +4 (very hot), but it can also be evaluated far from these values owed to the calculated heat budget upon built environment details, location, day of the year, and time of the day. The PMV model used in ENVI-met has been modified after (Jendritzky et al. 1979; Jendritzky and Nübler 1981) to account for outdoor conditions that include short-wave radiations and transient environment rather than still as indoors.

It worth mentioning that this research took place until the end of May 2010 at which neither version BETA3.2 nor the expected new version 4.0 (which uses the physiological effective temperature, PET as comfort index) of ENVI-met have been issued. Moreover, regardless that $T_{\rm mrt}$ is calculated by the software, it cannot be used as a comfort scale as it doesn't account except for the received all-wave radiation by a pedestrian not for RH or for wind (Ali-Toudert and Mayer 2006).

5.2 Simulation course:

5.2.1 Parameterization

In order to assess both whole site thermal performances against the passive design tools used to design urban patterns, simulations were held for 12 hour periods from 6.00-18.00 LST on the 1st of July as a representation for the summer period. It is the extreme summer day analyzed by ECOTECT5.6, which is expected to result in high radiant interaction values. It is a research interest of this study to investigate extreme conditions. However, the choice of day is not critical as results are almost not specifically related to real conditions. As this study is conducted as a comparison between base cases and suggestions it did not matter which day is used, the extreme of 1st July or the typical (7th June). Results were recorded at 1.6m height all over the model area to represent a pedestrian comfort point of view. The thermally affected height of a pedestrian from 1.2-1.75m a.g.l. is acceptable - 1.2m a.g.l. was used by (Ali-Toudert and Mayer 2007b) and 1.4m a.g.l. used for measurements in Freiburg, also by (Ali-Toudert and Mayer 2007a). The cumulative parameter assessed in this

study is the PMV difference and reductions between cases compared at specific time so that overestimations can be discarded regardless what is acceptable for comfort and what is not. Despite the software overestimations, it can give an idea about pedestrian comfort. The mean radiant temperature, $T_{\rm mrt}$, as it stands for all types of radiation from the six directions of both hemi spheres; i.e. from direct and diffuse short wave radiations, all long wave radiations from the sky, from surrounding built environment, trapped by trees canopies as well as emitted from ground. Ground finishing materials are fixed in all simulations to avoid different emissivities, tables 2 and 3. $T_{\rm mrt}$ is defined as 'the uniform temperature of an imaginary enclosure in which the radiant heat transfer from the human body equals the radiant heat transfer in the actual non-uniform enclosure' (ASHRAE 2005). Moreover, a complete set of meteorological parameters air temperature $T_{\rm a}$, wind speed V and the relative humidity RH have been recorded for both cases to understand the local climate conditions upon which a comfort level has been recorded and with which further studies took place and will be presented in section 5.5.2.

In addition, to understand the detailed effect of the urban fabric and urban trees sheltering effects, direct and diffuse short-wave radiations $S_{\text{W.dir}}$ and $S_{\text{W.dir}}$ are compared with that of free horizontal surface radiations recorded at the Cairo International Airport weather station. To understand fabric effect on stored and emitted heat, the air-surface long-wave radiation fluxes downward (trapped) from trees canopies $L_{\text{V}}\downarrow$ and from building walls $L_{\text{W}}\leftrightarrow$, are compared with those of base cases in each site. $L_{\text{V}}\downarrow$ is not calculated in ENVI-met a.g.l. so, although $L_{\text{W}}\leftrightarrow$ can be calculated at any height, both parameters were extracted at the surface. Output data extraction method will be presented in section 5.2.4.

5.2.2 Data entry

The fabric finishing material is represented in ENVI-met by the thermal conductance, U-value, and the albedo. These parameters are used as data entry for walls and roofs, tables (5-2), (5-3) and (5-4). Buildings geometry were obtained from GIS Geo databases with digital maps bought from Globe-Telecom Company based in Cairo, accompanied with 0.6m high resolution Pan-sharpened colored QuickBird2008 satellite imageries. Total floor height is approximated to 3.0m in both cases.

Table (5-2): Abbreviations used in simulations.

Symbol	Meaning				
В	Base case				
DS	Design suggestion				
C1	Case no.1; Fifth Community				
C2	Case no.2; Misr Al-Gadida				
T0	No Trees; the base case situation of site one				
TE1	Ficus Elastica (Indian rubber plant), with LAI=1.				
TE2	Peltophorum Pterocarpum (Yellow Poinciana), with LAI=1.				
TE3	Ficus Nitida, with LAI=1.				
TC1	Ficus Elastica (Indian rubber plant), with LAI=3.				
TC2	Peltophorum Pterocarpum (Yellow Poinciana), with LAI=3.				
TC3	Ficus Nitida, with LAI=3.				

Table (5-3): Inputs of C1 (Oke 1987; HBRC 2003; Akbari et al. 2006; Bruse 2008; DOE 2009).

No.	Parameter	Value					
1	Ta	301.95 K					
2	RH	59%					
3	V	3.5 m/s at 10m height					
4	Ground temperature	301.65 K from 0-0.5m and 297.45 K from 0.5-2m					
5	Ground humidity	BC & DS1; 20% from 0-0.5m and 30% from 0.5-2m					
		DS2 & DS3; 70% from 0-0.5m and 80% from 0.5-2m					
6	Roughness length	0.15					
7	U value Walls	$1.7 \text{ w/m}^2.\text{K}$					
8	U value Roofs 2.2 w/m ² .K						
9	Albedo Walls	0.25 for all except DS3; 0.15					
10	Albedo Roofs	0.15 for all except DS3; 0.40					
11	Albedo Pavement 0.40						
12	Albedo Asphalt	0.20 for all except DS3; 0.50					
13	Ground Emissivity	0.90					
14	Human walking speed	1.1 m/s					
15	Pedestrian Clo. 0.50 (1Clo=0.155 m ² .K/w; i.e. Light summer cloths)						
16	Lateral boundary scale 5 times the highest building for all C1 master plans assessed						
17	BC resolution	5.70 × 4.56m					
18	DS1 resolution	5.70 × 4.56m					
19	DS2 resolution	6.50×5.80 m					
20	DS3 resolution	$6.50\times5.80\mathrm{m}$					

Table (5-4): Inputs of C2 (Oke 1987; HBRC 2003; Akbari et al. 2006; Bruse 2008; DOE 2009).

No.	Parameter	Value				
1	Ta	301.95 K				
2	RH	59%				
3	V	3.5 m/s at 10m height				
4	Ground temperature	301.65 K from 0-0.5m and 297.45 K from 0.5-2m				
5	Ground humidity BC & DS1; 20% from 0-0.5m and 30% from 0.5-2m					
		DS2 & DS3; 70% from 0-0.5m and 80% from 0.5-2m				
6	Roughness length	0.15				
7	U value Walls	$1.7 \text{ w/m}^2.\text{K}$				
8	U value Roofs 2.2 w/m ² .K					
9	Albedo Walls	0.25 for all				
10	Albedo Roofs	0.15 for all except DS3; 0.50				
11	Albedo Pavement	0.67 for all				
12	Albedo Asphalt	0.20 for all except DS3; 0.50				
13	Ground Emissivity	0.90				
14	Human walking speed	1.1 m/s				
15	Pedestrian Clo. 0.50 (1Clo=0.155 m ² .K/w; i.e. Light summer cloths)					
16	Lateral boundary scale 8 times the highest building for all C2 master plans assessed					
17	BC resolution	5.0×5.0 m				
18	DS1 resolution	5.0×5.0 m				
19	DS2 resolution	$5.0 \times 5.0 \mathrm{m}$				
20	DS3 resolution	5.0×5.0 m				

5.2.3 Tree Modelling

ENVI-met has its own numerical data base for some plant *LAD* profiles that is used when simulating the native environment of these plants and depends on analytical approaches that can help in obtaining the *LAD* distribution of other plants, especially if the *LAI* is known (Bruse 2008). This is one of the answers why ENVI-met has been decisively preferred in this research. As the foliage characteristics of hot climate trees, such as the Egyptian trees investigated in this study, are not represented in the plant data base of the software, the data base needed 10 *LAD* values to be distributed over the tree normalized height. Hence, the *LAD* values for 10 slices of each tree had to be generated to introduce these new trees to ENVI-met and to study their thermal performance foliage based differences.

Leaf area density spatial distribution are introduced for ENVI-met simulations in two steps (Bruse 2008). First, the minimum *LAD* of the tree is calculated using software compiled in Fortran by authors based on the empirical *LAD* model of (Lalic and

Mihailovic 2004). This model was solved 10 times to produce LAD values at different heights of the tree using the tree height h, the maximum leaf area density L_m , and the tree canopy corresponding height z_m . In the second step, LAD results were added to ENVI-met plants database to represent the 3-D canopy of these trees. Consequently, these trees were introduced to the model area and simulated, regardless of the many ways of performing field measurements of LAI; it was a research question to investigate modelling of a tree canopy in absence of measured value. maximum LAD, L_m , needed in the LAD model have been derived by assuming LAIvalue. In this respect, the ground level shape of a tree shadow that depends on the light transmission profile has been suggested regarding tree plantation objectives. Plantation objectives means the purpose or the aim of planting a tree i.e. is it ornamental, functional, etc (Arnold 1980; Trowbridge and Bassuk 2004)? In this work the objective is to produce maximum ground shadow at peak time in Cairo. Eventually, a specific thermal performance and modifications towards a tree microclimate can make it more preferable to another one.

With regard to the solar position, at the peak time (13.00 LST) the solar altitude reaches 83.3° in the extreme hot week $(26^{th} \text{ of Jun} - 2^{nd} \text{ of July})$, which is assumed to be vertical, so that ground shadow almost equals the plantation area or in another word, the upper leaves area, fig (5-2).

By definition, LAI can be represented as following:

$$LAI = A_L/A_p$$
 Eq. 13

 A_L is the upper leaves area (m⁻²).

 A_p is the tree ground planting area (m⁻²).

At peak time if the shadow is solid, then A_p should almost equal the projected ground shadow, A_g. Therefore;

$$LAI = A_I/A_g$$
 Eq. 14

 A_g is the maximum projected ground shadow of the tree at maximum solar altitude, (13.00 LST).

In other words, the least value for LAI to produce a solid ground shadow at maximum solar altitude of nearly 90° (peak time), is when the upper leaves area equals that of the shadow area, i.e. if the tree is modelled it will produce a solid shadow with the minimum amount of leaves; or

$$LAI = A_L/A_g = A_L/A_p = 1$$
 Eq. 15

In relation to the site investigated, this means a good approximation of applying this LAI value when the altitude is 83.30° of the simulated day, the 7th of June, fig (5-2).

Hence L_m of the minimum LAI of maximum shading effect can be calculated from the model equation as follows;

$$LAI = \int_0^h L_m \binom{h - z_m}{h - z}^h \cdot \exp\left[n\left(1 - \frac{h - z_m}{h - z}\right)\right] dz$$
 Eq. 16

Substituting L_m in the following equation, so that LAD can be calculated for any z;

$$LAD = L_m \left(\frac{h - z_m}{h - z} \right)^n \cdot \exp \left[n \left(1 - \frac{h - z_m}{h - z} \right) \right]$$
 Eq. 17

h; is the total height of the tree (m).

 z_m ; is the canopy height at which LAD is the maximum, i.e. L_m (m).

z; is the height of *LAD* slice (m).

n = 6 if $0 \ge z \ge z_m$, and 0.5 if $z_m \ge z \ge h$

A compiled FORTRAN software solved equations 17 and 18 to automatically record LAD values needed for the ENVI-met database. Moreover, for any tree, if h, L_m , and z_m do not ensure that LAI = 1, this means that the ground shading will be filtered, rather than dense or solid, and the tree will transmit larger amounts of radiation. Thus, LAI = 1 can be used as a benchmarking reference value for urban trees of semiarid mid latitude regions such as Egypt, where solar attitudes are close to 90° and the shadow area will be almost equal to the planting area. Other foliage parameters in the original database, inputs regarding foliage characteristics, materials and soil types for both cases are fixed to allow only a comparison of thermal performance based on the differentiated LAD values and sites details. In this way, trees can be modelled without LAI or LAD sources for specific species even without measurement especially for the research circumstances that did not allow having real LAI measurements; however, this LAD generator software accepts measured LAI value.

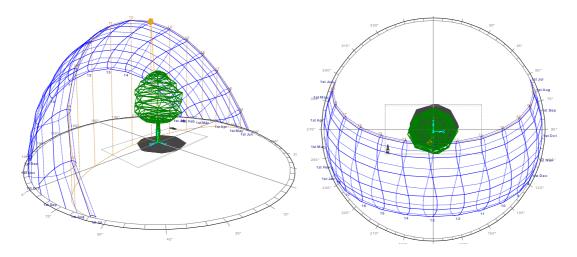


Figure (5-2/a, b): Schematic model by ECOTECT 5.6 for the Yellow Poinciana (following Shahidan et al., (Shahidan et al. 2007)) to indicate shadow of solar altitude of 82.40° on the simulated day, the 7th of June at peak time of 13.00 LST, which is almost equal plantation ground area; i.e. the least LAI should be 1, published in (Fahmy et al. 2010).

5.2.4 PolygonPlus and data extraction

As ENVI-met calculates and record of all the parameters described above for each grid in the model, it was required to investigate how to generate a value for each parameter to represent the whole simulated urban site. By this way, a mean value for the urban site considering patterns details can be more representative in further studies rather than measurements from a far away weather station that site of which may have different urban details, even if a refinement has been made by (METEONORM 2009). Moreover, expected software developments to force ENVI-met to have weather data files makes it a better weather data generator and thus more refined data can be derived for not only comfort based urban planning but also for indoor energy studies as described by (Fahmy et al. 2009). However, ENVI-met is capable of extracting output data in a comma delimited file extension which contains all model area grids coordinates associated with each grid parameter value. The mean values of all the required parameters from 06.00-18.00 LST were averaged from these extracted outputs for only outdoor grids using a simple program written in Visual Basic program called PolygonPlus. It was designed to do two jobs; first to eliminate output data outside the urban area which is included in the extracted output files, and secondly to eliminate the fabric grids from the grid outputs selected inside the urban area so that after both steps it can calculate any parameter mean value for only urban grids inside the specific area. This is designed because the ENVI-met modeller should have all the rectangular area in the modeller built, whereas available GIS data support is only for the site area which represents only a rotated polygon in the modeller area, fig (5-3) is an example. To ease modelling in ENVI-met, which does not give flexibility for oblique fabric grids, a rotation is made in order to allow right angled clusters. PolygonPlus code contained that polygon perimeter equation so that it could decide which grid is inside and which of these is an outdoor to be selected for averaging. Eventually, each simulated hour mean of urban grid outputs for Ta, V, RH, Tmrt, PMV, Swdir, Swdif, $Lv\downarrow$ and $Lw\leftrightarrow$ could be plotted to represent local scale reference climate conditions, and hence urban planning implications can be interpreted.

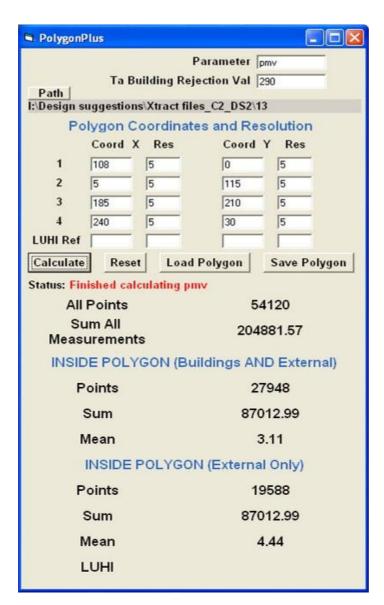


Figure (5-3): Graphical user interface of PolygoPlus that has been developed by the research to calculate meteorology means from ENVI-met extracted files for whole neighbourhood scale.

5.3 Urban form

5-3-1 Fabric

- 1- In both cases there were two alternatives compared with the base case. Alternatives offered different housing types with more responsive clustered form, green coverage and urban tree arrangements, fig (5-4). This medium population based hybrid form design concluded in different degree on compactness, different degrees of diversity and comfort levels. Figure (5-5) show different housing design and heights of the housing units applied. Modifications that will take place for the cases are theoretical i.e. it would not be applied due to many complications. They have been made just for the research purposes. Following (Fahmy and Sharples 2009a), and to limit the variation of urban form *Dc* to only the population, the number of floors has been kept the same as base case BC in all design suggestions; in turn the variation in *Dc* appear in terms of the built up area. DS1 is a clustered urban form planned over BC zoning in order to study the effect of only the clusters regardless orientation, cluster closure ratio or the GreenSect effect in a mid-latitude site.
- 2- With ground and 3 typical floors, (G+3), all housing units either single flat of 150 m² or duplex of 300 m² are designed upon the 150 people/feddan population limit of (Law3 1982) and the 3.75 people/family of (CAPMAS 2008). Design suggestion no.1, DS1, zoning and land use percentages are the same as BC whereas DS2 of the completely new zoning has the same land use percentage of services but with different zones relevant to the whole form of DS2. The DS2 cluster is designed after (Ahmad 1994; Waziry 2002) who argued for 1:3:1.3 cluster aspect ratio for W/L/H. The clustered fabric form, together with urban tree arrangements and green structure concluded the hybrid form of DS2 and DS3 with canyon axes N-S have H/W equal 2/3, the N-S green shaded canyons H/W is 1 whilst all E-W canyons are having H/W equal 1. Among the N-S canyons, only the main ones of the neighbourhood are having H/W equal 2/3.
- 3- Both design suggestions sets of the 5th Community provide for more population which in turn stands for more compactness and different pedestrian comfort levels along with less stresses for indoor cooling. In the Misr Al-Gadida case, design sets go for reduction in population density which is limited theoretically by (Law3 1982) to the boundary of 150 p/f.

- 4- (Priyadarsini et al. 2008) argued that under low wind speed circumstances, allocating strategic some high rise buildings can enhance canyons air flow. Hence, increasing heights of five cluster groups has been applied for differing canopy layer height, Z_h. These heights are multi story buildings (residential, commercial and administrative) which, on another hand, can cope with more diversity, and mixed land uses. Locating these four cluster groups was based on three criteria; first, to be around the neighbourhood civic centre, and to be served by the centre services. Second these clusters help mixing ISL winds with the UCL along with generating advective movement which would not be more than an alleviation source as average wind speeds measured for all summer time are less than 2m/s. Third, to help generating leeward vortices provided that windward angle of attack is not parallel with canyon axes, which is already the case as the high building perpendicular to the north prevailing wind direction fig (5-4/c, f).
- 5- The slope of the local urban plots examined in both base cases will be neglected because it tends to be horizontal. The network in BC1 is a hierarchical grid even in C1DS1 and C1DS2, whereas it is hierarchical radial in BC2 and hierarchical gird in C2DS1 and C2DS2. This is to allow as much tunnelling effect as possible.

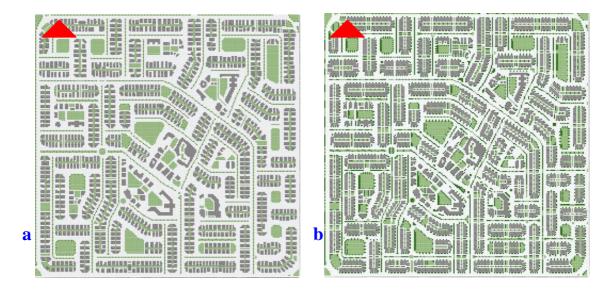




Figure (5-4/a, b, c): Master plans of BC1, C1DS1, C1DS2 and C1DS3 from upper right, left and down respectively; buildings in grey, grass in light green and trees in dark green. The red ellipses indicate the location of the high buildings used to help ISL-UCL mixing along with generating canyons' vortices.

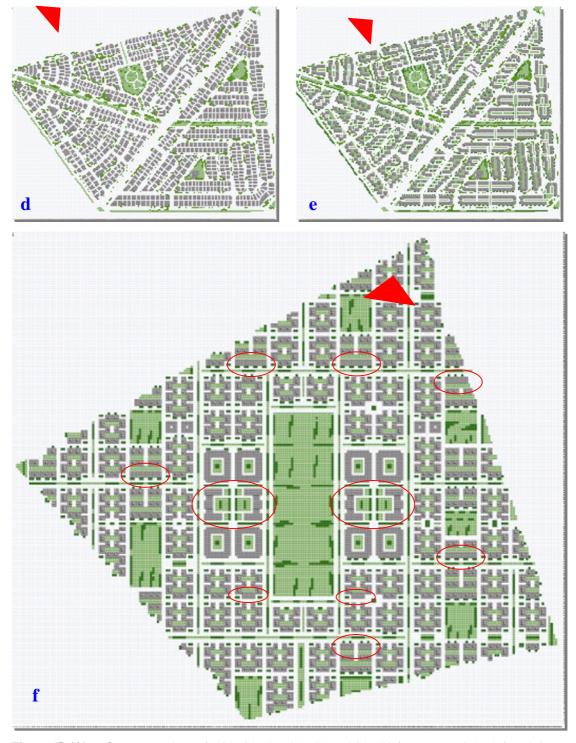


Figure (5-4/d, e, f): Master plans of BC2, C2DS1, C2DS2 and C2DS3 from upper right, left and down respectively; buildings in grey, grass in light green and trees in dark green. The red ellipses indicate the location of the high buildings used to help ISL-UCL mixing along with generating canyons' vortices.

Table (5-5): Housing design and land use statistics of C1 and C2

	Case Name	Urban	Green	Tree type, T_p	Cluster	Cluster	Urban fabric	Average no.	Degree of	Total	Population
		area in	coverage	and L_m	closure	aspect ratio;	$^{9}\!\!/_{\!o},\ A_{c}$	of site floors,	compactness	population	density in p/f
		feddans	$^{0}/_{0}$, A_{g}	L_m	ratio;	H/W/L		n_f	, D_c	in persons	
1	BC1		0.368	TE3, 0.022, 0.62			0.252	3.171	0.799	14950	039
2	C1DS1		0.291	TE1, 0.146, 0.138	various	various	0.299	3.911	1.169	46662	123
3	C1DS1_Dc		0.291	TE1, 0.146, 0.138	various	various	0.299	4.610	1.378	55004	145
4	C1DS1_Lm	380.15	0.291	TC2, 0.146, 0.285	various	various	0.299	4.610	1.378	55004	145
5	C1DS2		0.476	TC1, 0.145, 0.414	3.47	1:1.3:3	0.310	4.077	1.264	50448	133
6	C1DS2_Dc		0.476	TC1, 0.145, 0.414	4.27	1:1.6: 3	0.310	4.968	1.540	61473	162
7	C1DS2_Lm		0.476	TC2, 0.145, 0.285	4.27	1:1.6: 3	0.310	4.968	1.540	61473	162
8	BC2		0.105	TC3, 0.123, 1.86			0.412	4.920	2.027	52943	266
9	C2DS1	199.0	0.273	TC1, 0.077, 0.414 + TC3, 0.111, 1.86	various	various	0.327	4.006	1.310	27372	138
10	C2DS1_Dc		0.273	TC1, 0.077, 0.414 + TC3, 0.111, 1.86	various	various	0.327	4.845	1.584	33104	166
11	C2DS1_Lm		0.273	TC2, 0.188, 0.285	various	various	0.327	4.845	1.584	33104	166
12	C2DS2		0.465	TC1, 0.125, 0.414	3.47	1:1.3:3	0.318	4.296	1.366	28545	143
13	C2DS2_Dc		0.465	TC1, 0.125, 0.414	4.27	1:1.6: 3	0.318	5.237	1.665	34798	175
14	C2DS2_Lm		0.465	TC2, 0.125, 0.285	4.27	1:1.6: 3	0.318	5.237	1.665	34798	175

- In each abbreviation; letter C stands for case, BC for base case and DS for the design suggestion. T_p and L_m stands for the tree urban coverage and its max. LAD.
- No. of people/ family is calculated as 3.75 (CAPMAS 2008). In all design alternatives except base cases, Family no. of flats is also calculated as 150 Sq.m/flat/family. Floor height as 3m. Feddan=4200Sq.m.
- Facilities buildings at service civic centre are 10 m in both C1DS1 and DS2 whereas 13 m in both C2DS1 and DS2 to increase the compactness degree without increasing site population assuming that more facilities are needed.
- The actual residential land use can be calculated after extracting 33% for the network and green coverage as (Law3 1982) tells, also after extracting the civic buildings areas.
- For URPLA comfort model purposes, suffix Dc in the case name means more compactness. For example, C2DS1 has 13m height residential buildings, C2DS1_Dc applies 16m instead. For C2DS1_Lm means using tree TC2 with LAI=3, instead of using tree TC1 or TC3 of also LAI=3, table (5-2).

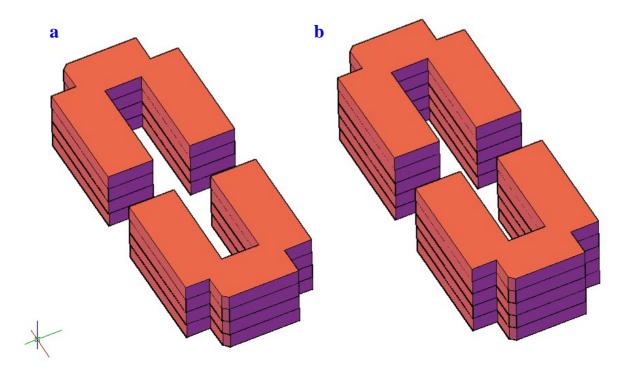


Figure (5-5/a, b): 3-D CAD plots for; a) to the left, is a typical clustered 4 floors housing used in C1DS1 and C2DS1. b) To the right is the typical 5 floors clustered mixed uses applied in extra sample of the URPLA model in section 5.5.3.

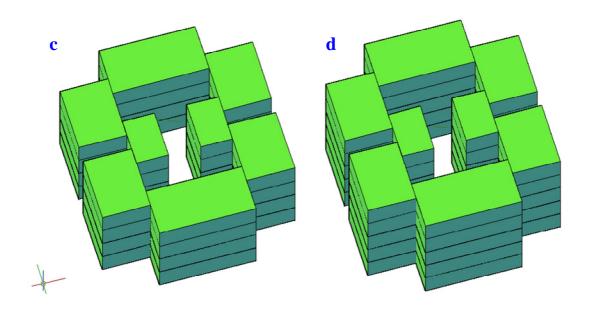


Figure (5-5/c, d): 3-D CAD plots for; c) to the left, is a typical 4 floors refined clustered housing used in C1DS2 and C2DS2. b) To the right is the typical 5 floors refined clustered mixed uses applied in extra sample of the URPLA model in section 5.5.3.

5-3-2 GreenSect.

- 1- Distances between each two public GreenSect places will be 600 metres on average as intermediate between the 300m of the regulatory agency of English Nature standards, (Barbosa et al. 2007) and 15 minutes walking or 900m from the European Environment Agency recommendation of access to green space, (Barbosa, et al., 2007). The percentage of green coverage is shown in table (5-5).
- 2- Green coverage is grass of 0.80cm a.g.l. It is a place to mention that research presented in thesis is theoretical. Therefore, and with regard to Cairo geography, selection studies for each site should include water resources and management which decide the technology of irrigation and drainage systems. However, there are a wide range of green coverage plantations that cope with the arid climates which is characterized with the low rate of water consumption, bearable to salty soils and hot climate (Snyman 1998; Schenk and Jackson 2002; Kotzen 2003).
- 3- Urban trees were arranged so that a completion for the UC spaces is achieved along with the sheltering effect which also decreases time of exposure to short-wave solar radiation. In addition, environmental stimulation and naturalness are introduced in terms the urban green scene percentage only as their thermal impacts can not be evaluated in ENVI-met. This is introduced as a trees green colour percentage of not less than 33% of any canyon's vertical elevation as shown in fig (3-24) following the pilot study of the empirical passive form of (Fahmy and Sharples 2009a).

5.4 Results and discussion

Figures (5-6) and (5-7), show graphical mapping of PMV, SVF and wind contours along with plots for extracted assessment parameters for C1 master plans at 13.00 LST. Figures (5-8) and (5-9) show the same for C2. In completion with different cases' compactness degrees that revealed different comfort levels, SVF maps can give an idea about fabric compactness.

Differences between plots of each design of each case in figures (5-7) and (5-9) indicate the effect of that design compactness which represents the urban fabric volume and population (Eq.8) in addition to vegetation effect. The information presented in figures (5-7) and (5-9) was plotted to represent a complete urban site climate rather than to measure or record to represent specific points even if the minimum and maximum of meteorological parameters were discarded as they will not give an accurate idea about the whole

neighbourhood climate so that a differentiation between cases won't take place. However, such minimum and maximum values can be interpreted from the corresponding maps.

In general, the combined local climate conditions of low wind speeds with a high intensity solar access attributed to C1 physical form concluded high local average PMV values as shown in figure (5-7/e). The increased sheltering of C1DS1 and C1DS2 in terms of more compactness (1.169, 1.264) as shown in table (5-5) revealed considerable reductions in PMV until early evening. Another thermal performance appeared in C2, regardless the sheltering provided by BC2 compactness (2.027) and the less compactness of C2DS1 (1.310) and C2DS2 (1.366), it seems that the spatial structure, orientation and the more RH due to vegetation, equalled the effect of less sheltering of both C2DS1 and C2DS2 and hence a close averaged PMV values for all C2 design suggestions, fig (5-9/e). The following sections discuss these different aspects in details.

5.4.1 The Fifth Community

Basically, by increasing Dc, the PMV values showed reductions when compared with BC. As the solar altitude increases street canyons within each urban pattern is heated, reaching the maximum PMV at 13.00 for BC and DS1 (which are the same zoning). Close to sunset, and due to the availability of sensible heat release in the early evening, the comfort scale decreases significantly from 4.9 at 13.00LST to only 2.0 at 18.00LST by applying the DS1 plan which can not be considered an acceptable comfort level of coarse, but with regard to the day of the year in Cairo, it is acceptable. PMV has recorded reductions from BC to DS1 of about 0.1-0.7 due to the clustered form which is the only difference from the BC in addition to the increased Dc from 0.799 of BC1 to 1.169 of C1DS1.

As DS2 and DS3 are same zoning (master planning), their PMV curves showed the same trend of urban pattern and street canyon thermal behaviour but with a remarkable difference between BC and DS1. Their comfort peak was at 15.00 instead of 13.00, which is explained by the increased Dc that offered more direct radiation shelter as well as more trapped sensible heat emitted from the fabric walls and tiled floor. The evening behaviour is also different but this cannot be owed to Dc as the neighbourhood quarter's public green areas offers nocturnal cooling nodes. It can be owed to the increased number of dense trees used (LAI of 3) that have been used to provide more shelter. At the same time, however, it has increased the trapped long-wave radiation from the ground and near walls by the tree'

canopies itself which is in good agreement with (Fahmy et al. 2010) who recorded a trapped heat with the same tree (LAI of 3) canopy. The different zoning, D_c , number of trees and clusters aspect ratios with orientation moved the whole comfort trend as if the urban form acted as a massive thermal storage wall.

In comparison with BC, DS2 recorded PMV differences of about 1.7 at 6.00LST, crossing the BC curve at almost 14.20LST and recording increased difference from BC of about 0.6-1.4 PMV from the early evening until sunset. The local scale clustered form with dense tree arrangements delayed heating by day and cooling by night. It can be said that the whole urban passive cooling system as configured turned the neighbourhood form into an *urban thermal mass* named as *Fahmy thermal mass* that shifted *T*mrt and PMV curves from the BC values with *urban time lag* of 2h for DS2 and DS3 peaks from BC1 and DS1 peaks. The use of less walls albedo values in DS3 (0.15) resulted in less diffuse short-wave radiation introduced to the fabric concluding less comfort levels by day in comparison to DS2 which is same land use and urban planning parameters. As the solar altitude decreases the albedo effect on reducing heat gain is minimized, which can be noticed from the close comfort levels of DS2 and DS3 from 15.00LSt until sunset.

The ambient conditions of T_a , RH and V are all different from those measured at Cairo Airport, not only for the limitations discussed before, but also due the urban form effect in comparison to open places. T_a records of DS1 are less than BC records with maxima of 2°C before noon due to the whole urban heat gain by increased D_c and then close to each other, starting from 13.00LST, whereas DS2 and DS3 T_a trends are almost the same. In other words, all street canyon aspect ratios have increased in DS1.

The mean wind speeds of DS1 are less all the time than the corresponding BC values due to the increased roughness of DS1. In DS2 and 3 the canyons tunnelling effects due to orientating the street canyons to capture north cool breezes increased the mean V of all site for both cases from BC by about 0.2 m/s and about 0.4m/s from DS. The small increase of DS1 is owed to its same zoning of BC1 so that wind is scattered and didn't help releasing heat stored in canyons, fig (5-6/i, j, k). Moreover, the UCL heights of all BC1, C1DS1 and C1DS2 in terms of the average no. of floors for all of them are close of 3.17, 3.91, and 4.07 respectively as shown in table (5-5). The considerable local averaged wind speed difference, fig (5-7/c) and the wind tunnelling effect concluded as shown in fig (5-6/j, k) guided towards

extracting a windward-leeward sectional illustration to investigate the effect of the high buildings placed following (Priyadarsini et al. 2008) as discussed in section 5.3.1. Fig (5-6/l) show a windward-leeward section through the high rise buildings of 7 floors placed to increase ISL blending with the UCL wind at pedestrian level which revealed a single vortex in the canyon and helped an overall less discomfort levels at peak time of C1DS2 with reference to C1DS1.

RH has increased as expected due to the increased green coverage and urban trees which also affect the amount of trapped heat $L_{\rm V}\downarrow$ and in turn helped the shifting appeared in the PMV records towards the evening time. $L_{\rm V}\downarrow$ showed a large increase of about 30 W/m² for all simulation time for DS1 and about 50 W/m² for both DS2 and DS3. Swdir is a maximum for the whole site at 9-11:00LST due to the orientation of the form and then starts to decrease with time until sunset.

Swdir of C1DS1 has been decreased by about 120 W/m² from BC1 at all time until before sunset owed to the increase in Dc, i.e. provide shelter by controlling access and minimizing time and area of exposure to direct radiation, whereas C1DS2 showed reduction ranged from 400 at early simulated time then crosses BC1 curve at around 11.30 am after which the compactness didn't help as the canyons were oriented towards the sun, fig (5-7/f). This illustrates the benefit gained from clustering the urban fabric and sensitive plantation of trees. Moreover, $Lw\leftrightarrow$ is shifted up the curve by about 14 W/m² for DS1 from BC due to the increase in Dc, which fabric emitted more long-wave radiation which in combination of trees trapped heat caused the urban thermal mass in terms of the PMV shift. Both DS2 and 3 are almost identical in their direct gain whereas their Swdif differs by about 20-60 W/m² in all simulation runs due to the different albedo values.

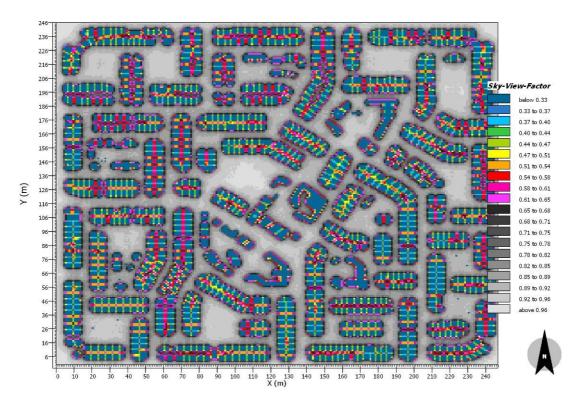


Figure (5-6/a): SVF mapping of BC1.

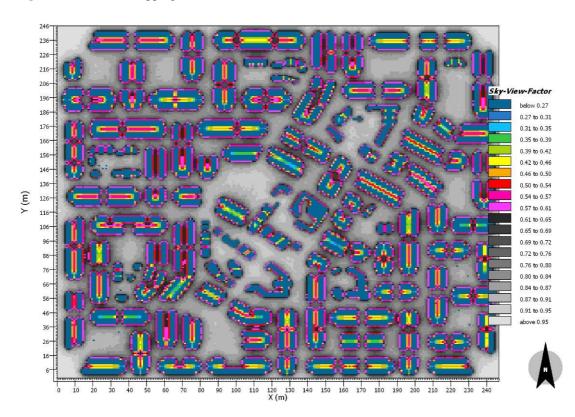


Figure (5-6/b): SVF mapping of C1DS1.

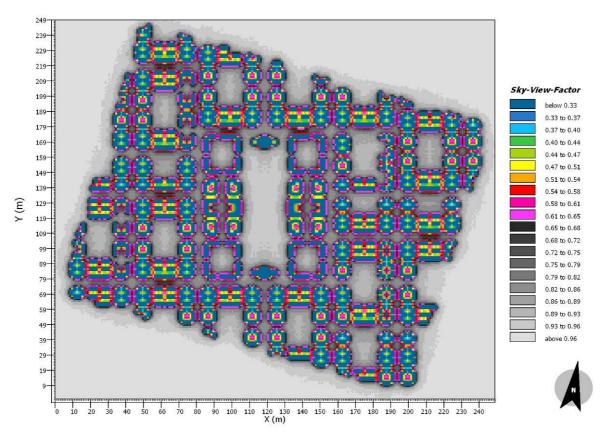


Figure (5-6/c): SVF mapping of C1DS2 and C1DS3 indicates more compactness (1.264) than BC1 (0.799) and than C1DS1 (1.169).

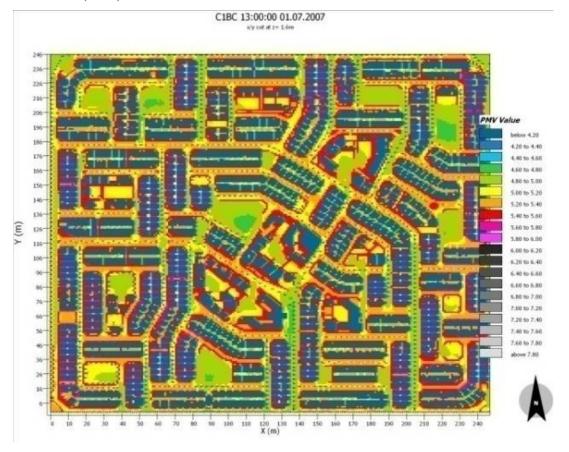


Figure (5-6/d): PMV mapping of BC1 1.6m a. g. l.at 13.00 LST.

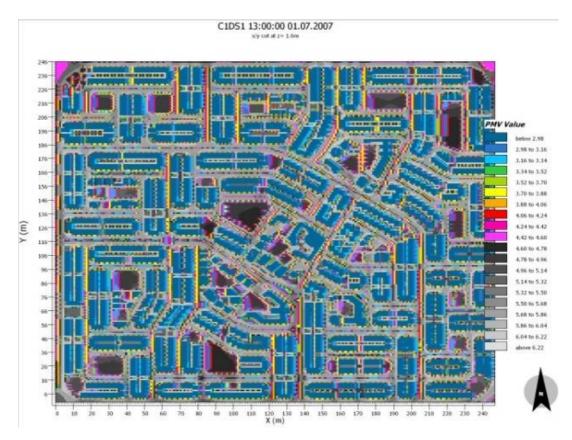


Figure (5-6/e): PMV mapping of C1DS1 1.6m a. g. l.at 13.00 LST.

C1DS2 13:00:00 01.07.2007

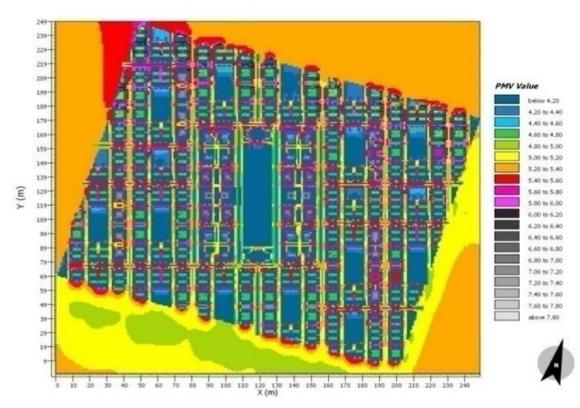


Figure (5-6/f): PMV mapping of C1DS2 1.6m a. g. l.at 13.00 LST.

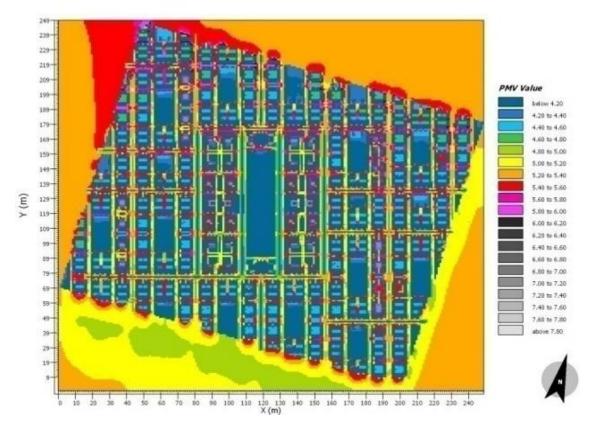


Figure (5-6/g): PMV mapping of C1DS3 1.6m a. g. l. at 13.00 LST.

C1_BC 13:00:00 01.07.2007 x/y cut at z= 1.6m

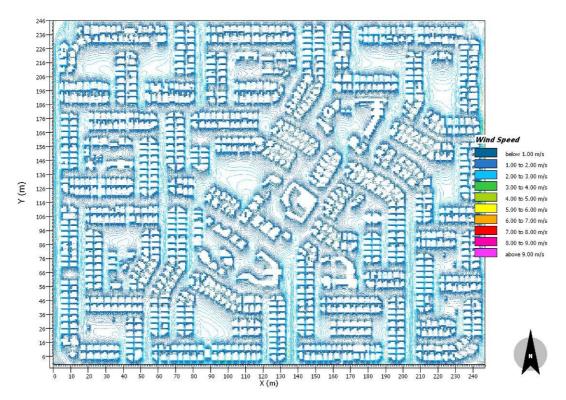
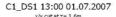


Figure (5-6/h): Wind contours of BC1 1.6m a. g. l. at 13.00 LST.



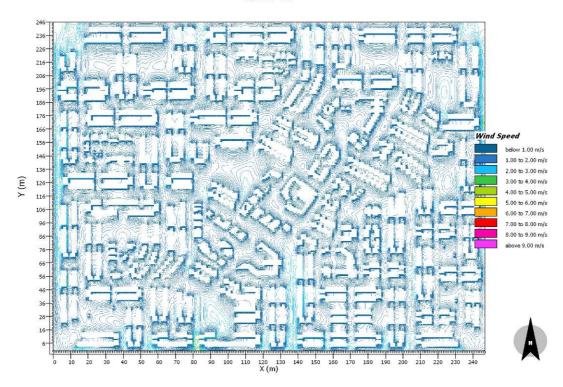


Figure (5-6/i): Wind contours of C1DS1 1.6m a. g. l. at 13.00 LST.



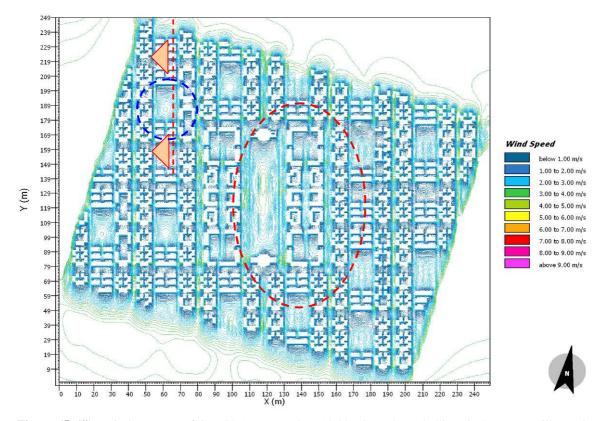


Figure (5-6/j): Wind contours of C1DS2 1.6m a. g. l. at 13.00 LST. The red ellipse is the capture illustration below whereas the section line and the red circle at the left is shown in fig (5-6/l).

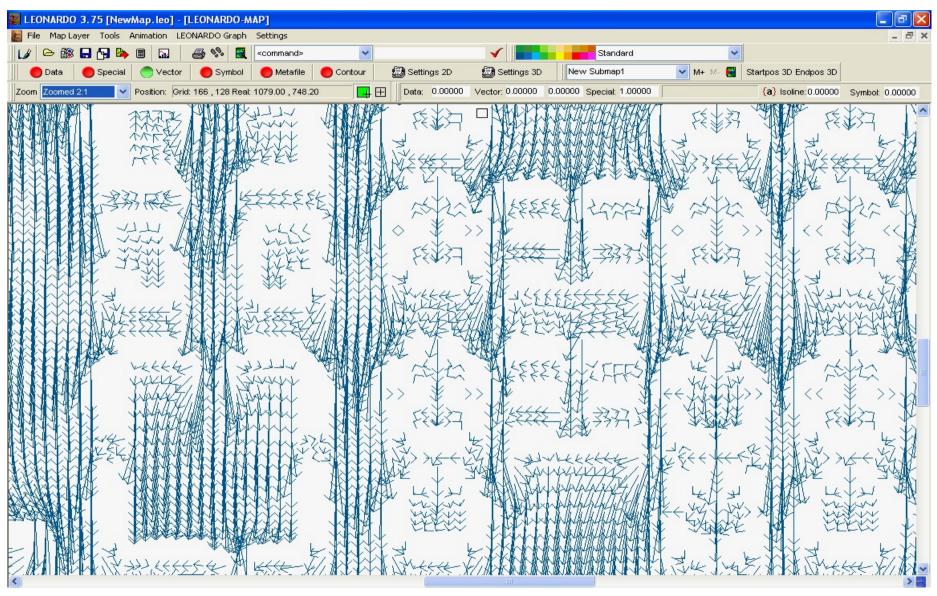


Figure (5-6/k): A flow capture from fig (5-6/j) showing the wind tunnelling effect in the canyons and clusters turbulences of C1DS2, 1.6m a.g.l. at 13.00 LST.

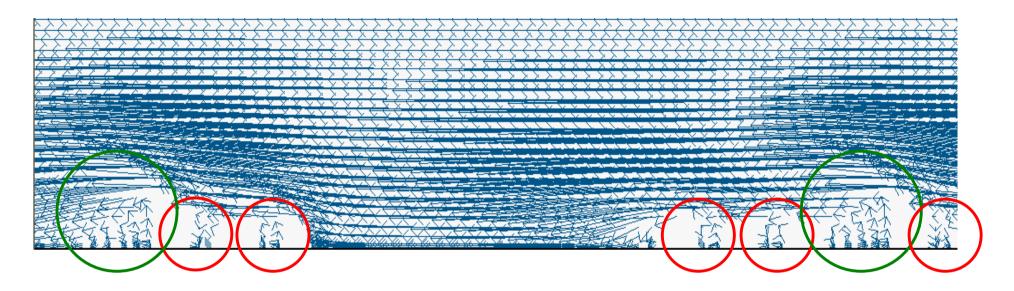


Figure (5-6/l): Section through the residential clustered group of C1 as illustrated in fig (5-6/j), it show a single vortex in the leeward canyon of one of high buildings placed to stimulate a flow mix between ISL and UCL. This skimming regime allows increased wind speeds in comparison to BC are shown in fig (5-7/c) even if the roughness heights are almost the same and hence an improved comfort levels despite the overall hot PMV scale as shown in fig (5-7/e). The area at the middle is the clustered group garden.

The green circles indicate the leeward vortex and the ISL-UCL mixing due to the high buildings located in the master plan whereas the red circles indicate vortices due to the canyons aspect rations of 0.6 within the residential clustered group. Arrows indicate the direction.

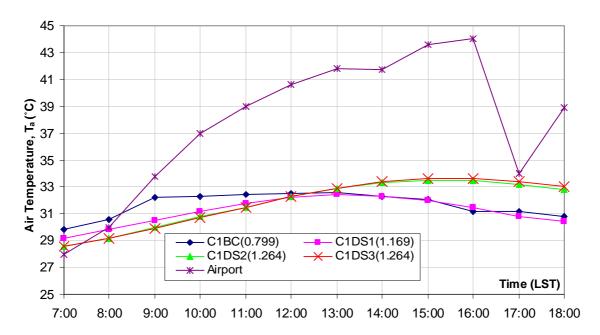


Figure (5-7/a): C1 output means calculated per model grid number using PolygonPlus for T_a from 7:00-18:00LST, and compared with its corresponding measured T_a at Cairo International Airport which is adapted from (DOE 2009). The value between brackets is **D**_c corresponding to each master plan.

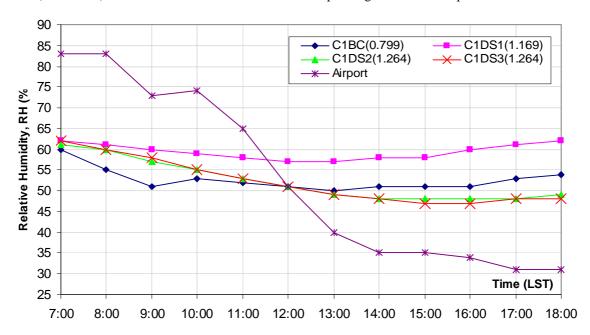


Figure (5-7/b): C1 output means calculated per model grid number using PolygonPlus for RH from 7:00-18:00LST, and compared with its corresponding measured RH at Cairo International Airport which is adapted from (DOE 2009). The value between brackets is Dc corresponding to each master plan.

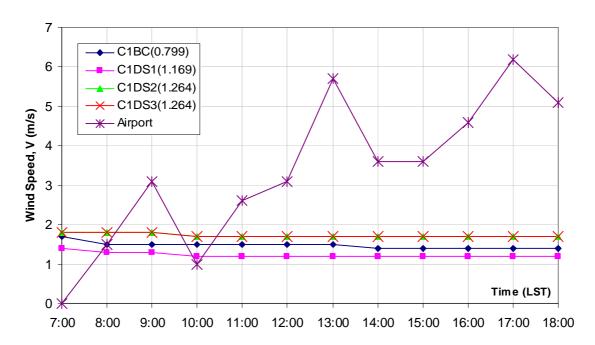


Figure (5-7/c): C1 output means calculated per model grid number using PolygonPlus for V from 7:00-18:00LST, and compared with its corresponding measured V at Cairo International Airport which is adapted from (DOE 2009). The value between brackets is **Dc** corresponding to each master plan.

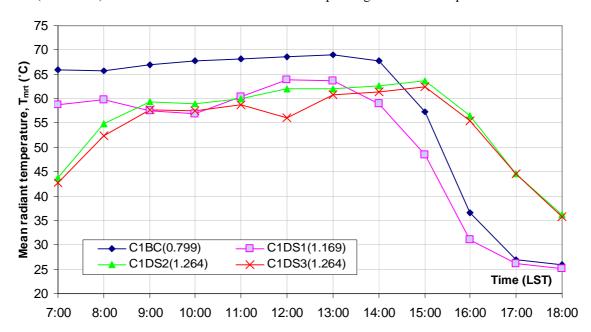


Figure (5-7/d): C1 output means calculated per model grid number using PolygonPlus for T_{mrt} from 7:00-18:00LST showing an urban thermal mass effect, named as Fahmy thermal mass with urban time lag of 2h. The value between brackets is Dc corresponding to each master plan.

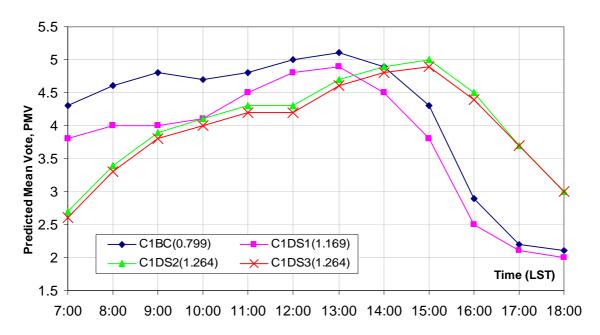


Figure (5-7/e): C1 output means calculated per model grid number using PolygonPlus, PMV Comfort levels showing an urban thermal mass effect, named as Fahmy thermal mass with urban time lag of 2h. The value between brackets is De corresponding to each master plan.

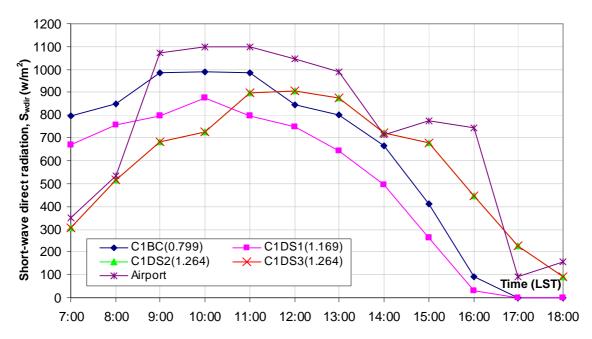


Figure (5-7/f): C1 output means calculated per model grid number using PolygonPlus for Short-wave direct radiation from 7:00-18:00LST, and compared with its corresponding measured Short-wave direct radiation at Cairo International Airport which is adapted from (DOE 2009). The value between brackets is Dc corresponding to each master plan.

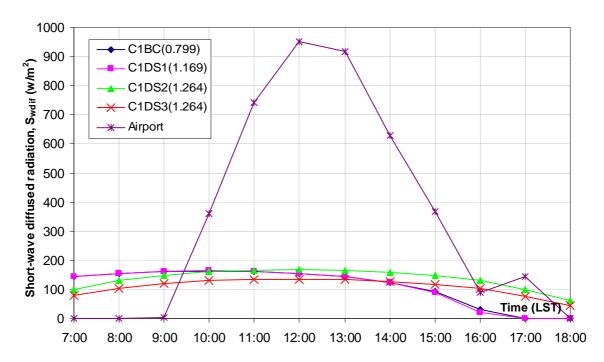


Figure (5-7/g): C1 output means calculated per model grid number using PolygonPlus for Short-wave diffuse radiation from 7:00-18:00LST, and compared with its corresponding measured Short-wave diffuse radiation at Cairo International Airport which is adapted from (DOE 2009). The value between brackets is Dc corresponding to each master plan.

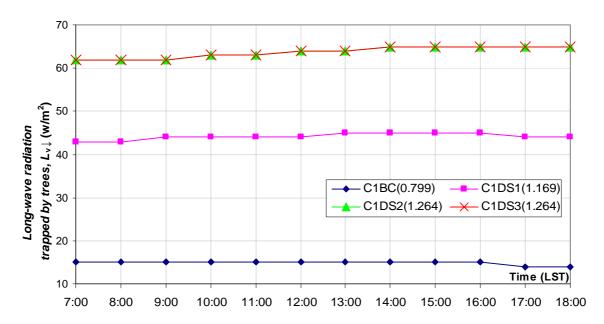


Figure (5-7/h): C1 output means calculated per model grid number using PolygonPlus, Long-wave trapped radiation by vegetation. The value between brackets is D_c corresponding to each master plan.

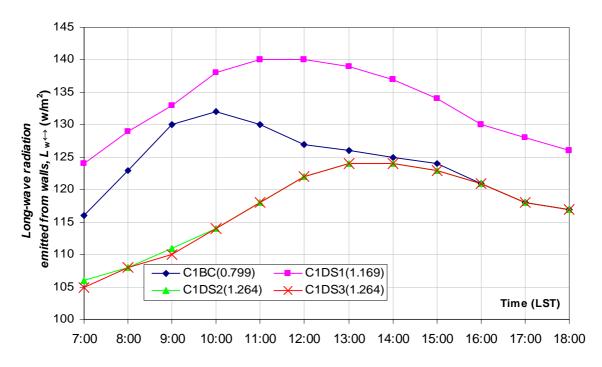


Figure (5-7/i): C1 output means calculated per model grid number using PolygonPlus, Long-wave emitted radiation from fabric. The value between brackets is Dc corresponding to each master plan.

5.4.2 Misr Al-Gadida

In this case study the same explanations for the whole urban pattern's thermal performance can be given. As Dc increases from BC to DS3, the PMV showed reductions over the whole trend when compared with BC. As the solar altitude increases street canyons are heated, reaching their maximum PMV values at 13.00 for BC and DS1, with the DS1 values being about 0.2-0.3 less than BC. By sunset comfort levels have decreased from 4.6 at 13.00LST to 2.6 at 18.00LST by applying C2DS1. PMV has recorded reductions from BC to DS1 of about 0.2-0.5 due to the clustered form which is the difference from BC2 fabric. The DS2 and DS3 PMV curves show some fluctuations, reduced compared to BC but without significant differences from BC and DS1 like C1. DS2 and DS3 comfort peaks has been recorded at 14.00, the same as BC and DS1, which is explained by the existence of intensive urban trees even in BC which brought all PMV curves right the noon time, i.e. after 13.00pm. Consequently, and despite fluctuations, both DS2 and 3 curves trends are like BC and DS1 but with minor differences. This is regardless the increased number of dense trees (LAI of 3) that have been used to provide more shelter, because the different zoning revealed less population and in turn less Dc of 1.366 for C2DS2 rather than 2.027 of BC2 which did not show the same shift as appeared in C1 even to the left side of the curve. A solution might exist in a mixed uses to allow more increase in aspect ratios, i.e. no. of floors without

increasing population. As the solar altitude decreases the albedo effect on reducing heat gain is minimized which can be noticed from the almost close comfort levels of DS2 and DS3 from 14.00LSt until sunset.

At 13:00LST, Ta records of both DS1 and DS2 are less than BC by about 3°C whereas DS3 records are around 4°C less. This is due to the albedo, but in C1 walls the albedo has been decreased from BC, DS1 and DS2, which explains the matching Ta records for C1DS2 and C1DS3. Mean wind speeds for DS1 increased by about 0.3m/s in all times compared with its corresponding of BC due to the less roughness of DS1 compared with its corresponding to BC. In DS2 and 3 the tunnelling effects due to orientating street canyons to capture north cool breezes increased the mean V of all site for both cases from BC by about 0.5 m/s. Same tunnelling and vortices effects of C1 appeared in C2DS2 as it is designed using the same spatial concepts, fig (5-8/j, k, l). RH has increased as expected due to more green coverage and urban trees, the effect of which also appeared in the amount of trapped heat $L_{\rm v}\downarrow$, the same as in C1 but with less difference from BC2. It showed an increase of about 10 W/m² for all simulation times for DS1 and about 35 W/m² for DS2 and DS3 due to the small difference of vegetation environment of C2 compared with its corresponding value in C1. Lw↔ is shifted down by about 65 W/m² for DS1 from BC due to the decrease of the fabric volume which, in turn, is due to the lower Dc. The Lw↔ decrease is minimized in DS2 and DS3 which are of same zoning and form. Swdir is a maxima for the whole site at 12:00LST and all DS1, 2 and 3 are less than measured at Cairo Airport (same as C1) with about 150 W/m² due to the orientation, clustering the urban fabric and sensitive plantation of trees. Both of DS2 and 3 are almost identical in direct gain whereas their Swdif matches BC and DS1 because the existence of trees in all situations and the same wall albedo. The biggest albedo difference in C2 has been made for the pavement to be 0.67 instead of 0.4 in BC, DS1 and DS2. This explains why the Tmrt of DS3 is greater than for the BC by about 2°C, which agrees with the concept of cool surfaces. A cool surface with a high albedo value reflects more radiation and in turn decreases the surface temperature resulting in less heat being transferred indoors. Overall, the less population clustered form used for Misr Al-Gadida has improved the local conditions as well as comfort levels only in comparison with BC2 with the help of the increased wind access, but the passive design used in C2DS2 didn't differentiate much from C2DS1 as both of them showed more solar access compared with BC2. Consequently, an attention should be given to using cool materials on large scale as they increase outdoor environment radiation interactions.

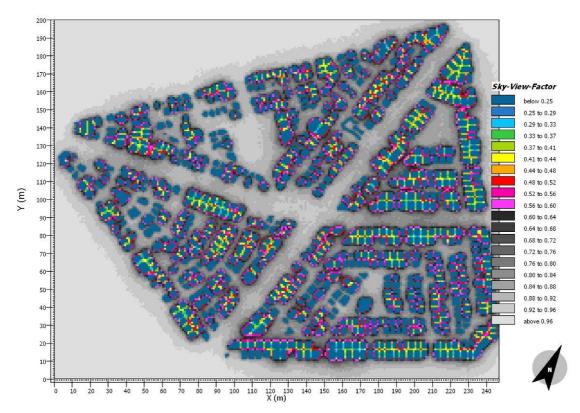


Figure (5-8/a): SVF mapping of BC1.

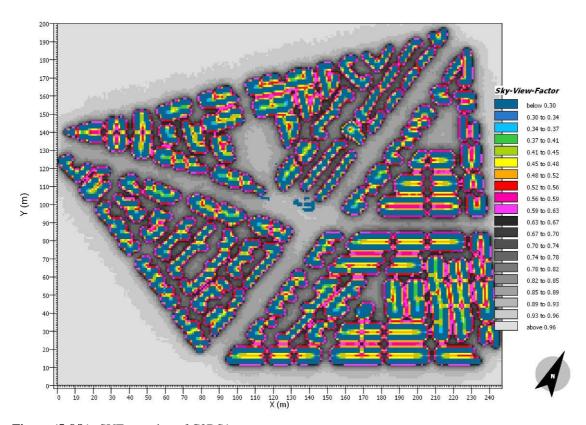


Figure (5-8/b): SVF mapping of C2DS1.

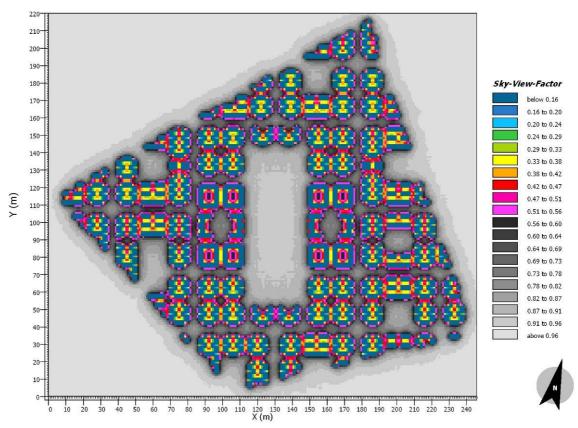


Figure (5-8/c): SVF mapping of C2DS2 and C2DS3 indicates less compactness (1.366) than BC2 (2.027) and slightly more than C2DS1 (1.310).

C2BC 13:00:00 01.07.2007

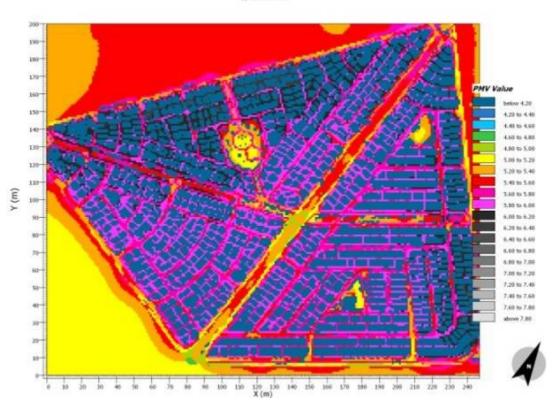


Figure (5-8/d): PMV mapping of BC1 1.6m a. g. l. at 13.00 LST.

C2DS1 13:00:00 01.07.2007



Figure (5-8/e): PMV mapping of C2DS1 1.6m a. g. l. at 13.00 LST.



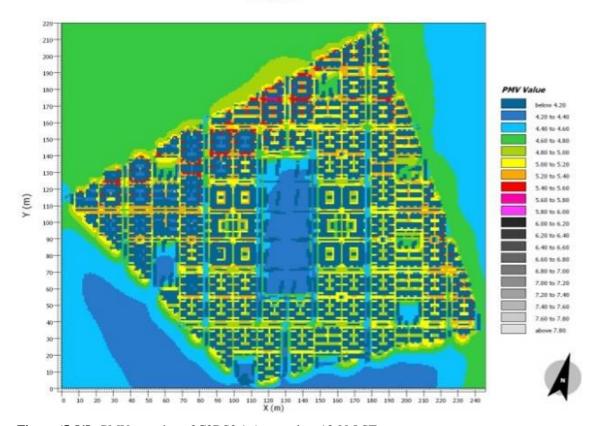


Figure (5-8/f): PMV mapping of C2DS2 1.6m a. g. l. at 13.00 LST.

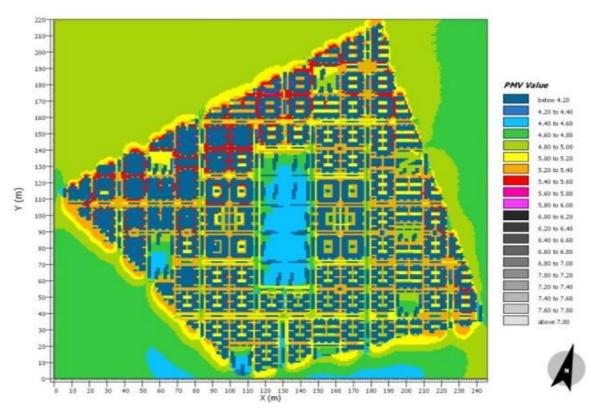


Figure (5-8/g): PMV mapping of C2DS3 1.6m a. g. l. at 13.00 LST.

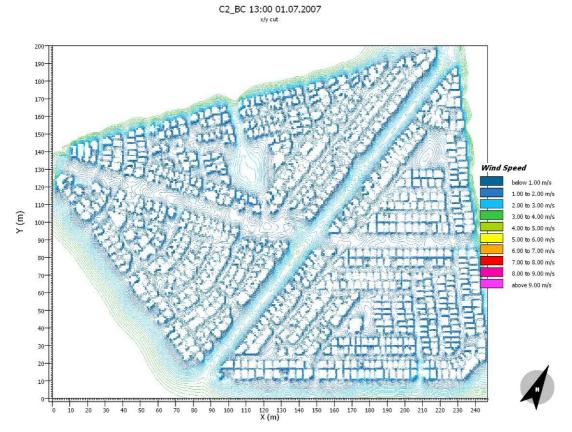


Figure (5-8/h): Wind contours of BC2 1.6m a. g. l. at 13.00 LST.

C2_DS1 13:00 01.07.2007

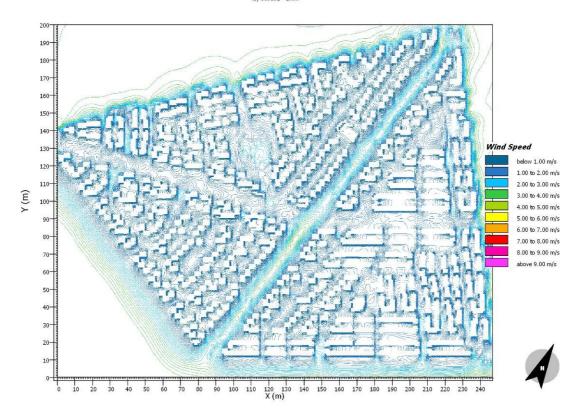
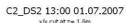


Figure (5-8/i): Wind contours of C2DS1 1.6m a. g. l. at 13.00 LST.



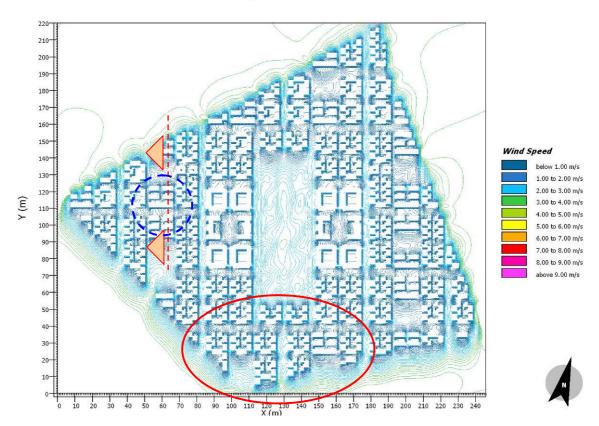


Figure (5-8/j): Wind contours of C1DS2 at 13.00 LST. The section and ellipse are illustrated in fig (5-8/k, 1).

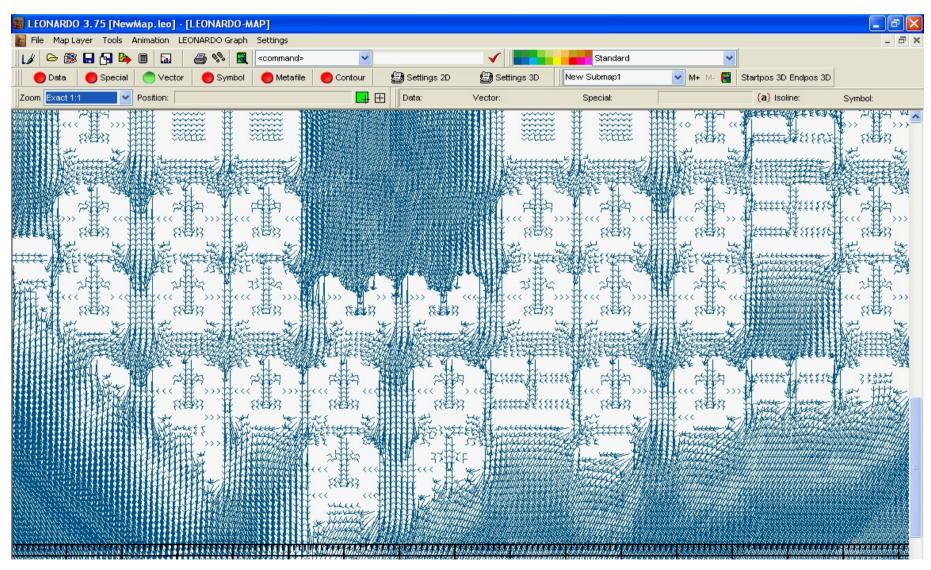


Figure (5-8/k): A flow capture from fig (5-8/j) showing the wind tunnelling effect in the canyons and clusters turbulences of C2DS2, 1.6m a.g.l. at 13.00 LST.

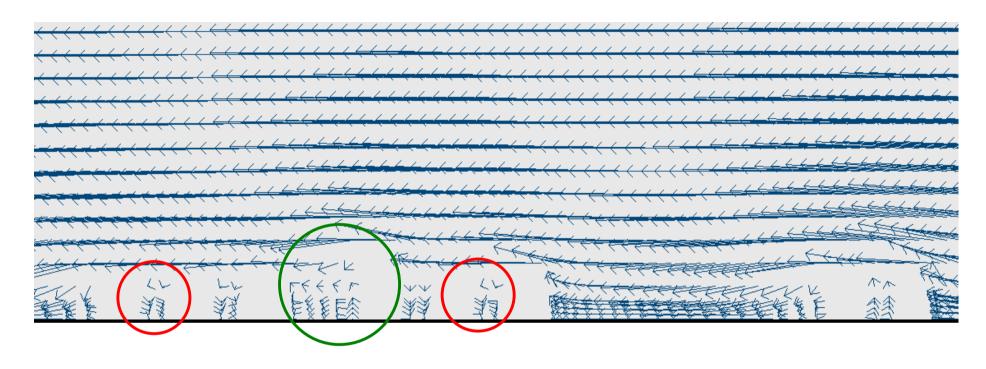


Figure (5-8/l): Section through the residential clustered group of C2 as illustrated in fig (5-8/j), it show a single vortex in the leeward canyon of one of high buildings placed to stimulate a flow mix between ISL and UCL.

This skimming regime allows increased wind speeds in comparison to BC2 shown in fig (5-9/c). The area at the middle is the clustered group garden. The green circles indicate the leeward mixing of ISL-UCL due to the high buildings located in the master plan whereas the red circles indicate vortices due to the canyons aspect rations of 0.6 within the residential clustered group but as the wind is not at a perpendicular angle of attack, the vortices are not complete circular as in C1, there was no way to investigate if it is spiral or not. Arrows indicate the direction.

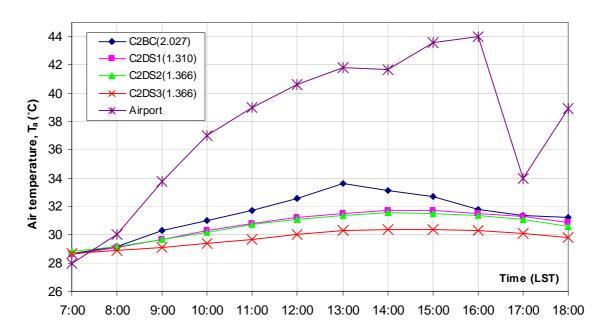


Figure (5-9/a): C2 output means calculated per model grid number using PolygonPlus for T_a from 7:00-18:00LST, and compared with its corresponding measured T_a at Cairo International Airport which is adapted from (DOE 2009). The value between brackets is **D**_c corresponding to each master plan.

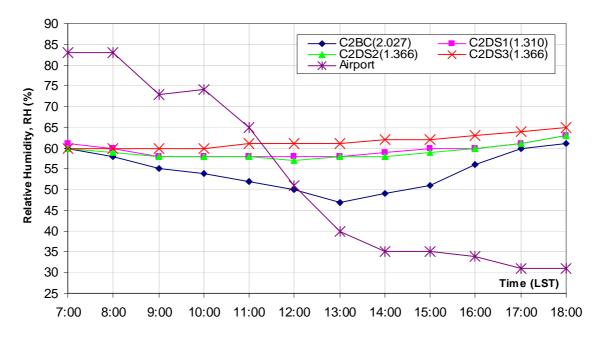


Figure (5-9/b): C2 output means calculated per model grid number using PolygonPlus for RH from 7:00-18:00LST, and compared with its corresponding measured RH at Cairo International Airport which is adapted from (DOE 2009). The value between brackets is *Dc* corresponding to each master plan.

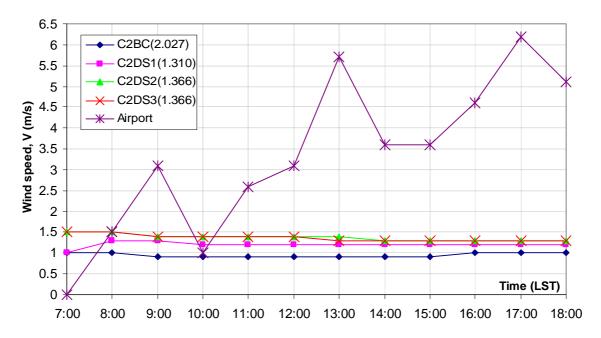


Figure (5-9/c): C2 output means calculated per model grid number using PolygonPlus for V from 7:00-18:00LST, and compared with its corresponding measured V at Cairo International Airport which is adapted from (DOE 2009). The value between brackets is **Dc** corresponding to each master plan.

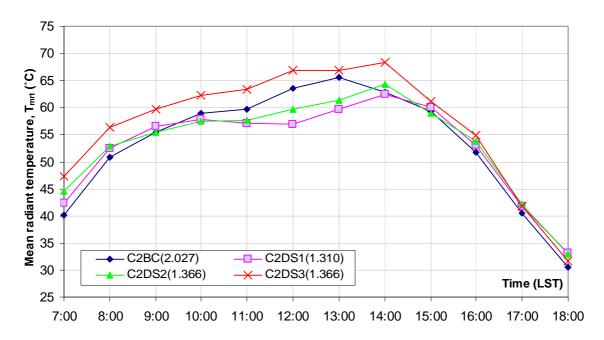


Figure (5-9/d): C2 output means calculated per model grid number using PolygonPlus, T_{mrt}. The value between brackets is *D*_c corresponding to each master plan.

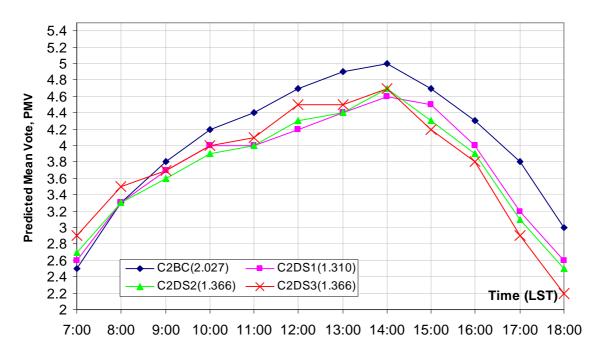


Figure (5-9/e): C2 output means calculated per model grid number using PolygonPlus, PMV comfort levels. The value between brackets is D_c corresponding to each master plan.

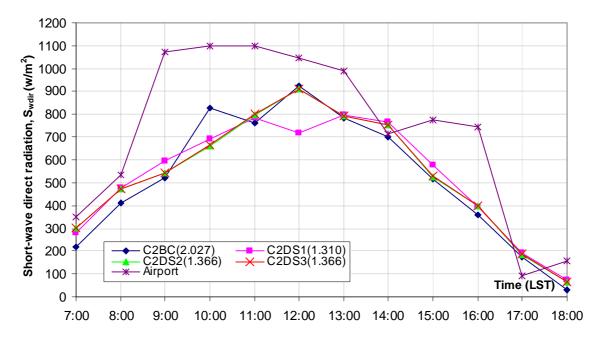


Figure (5-9/f): C2 output means calculated per model grid number using PolygonPlus for Short-wave direct radiation from 7:00-18:00LST, and compared with its corresponding measured Short-wave direct radiation at Cairo International Airport which is adapted from (DOE 2009). The value between brackets is Dc corresponding to each master plan.

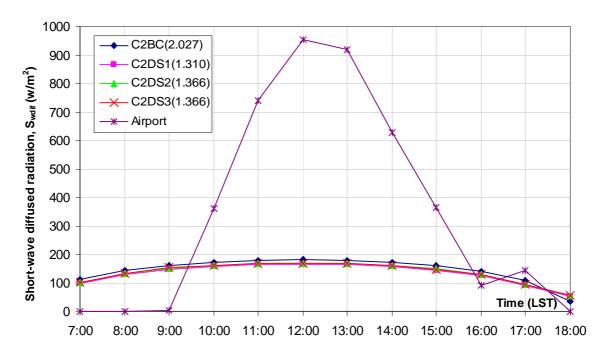


Figure (5-9/g): C2 output means calculated per model grid number using PolygonPlus for Short-wave diffuse radiation from 7:00-18:00LST, and compared with its corresponding measured Short-wave diffuse radiation at Cairo International Airport which is adapted from (DOE 2009). The value between brackets is Dc corresponding to each master plan.

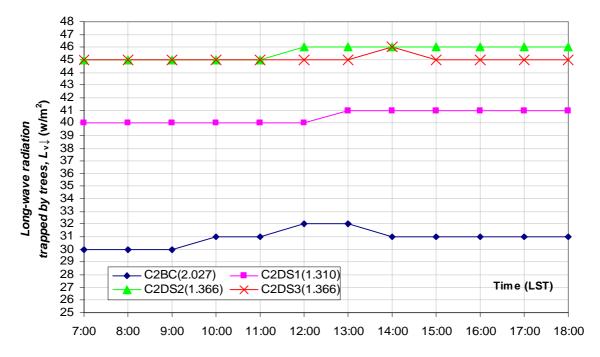


Figure (5-9/h): C2 output means calculated per model grid number using PolygonPlus, Long-wave trapped radiation by vegetation. The value between brackets is D_c corresponding to each master plan.

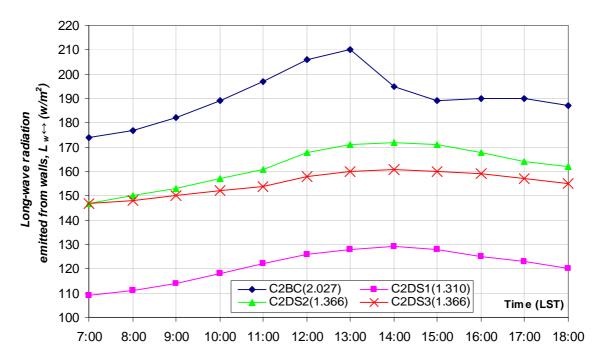


Figure (5-9/i): C2 output means calculated per model grid number using PolygonPlus, Long-wave emitted radiation from fabric. The value between brackets is Dc corresponding to each master plan.

5.5 Urban sustainability implications:

5-5-1 Peak time shadow based tree LAI definition

This section presents how the need to study choosing a specific tree to be planted in urban spaces to improve microclimate has directed the research towards some sustainability implications such as a new definition of leaf area index, LAI. ENVI-met simulations have been held for a reduced area of C1 and C2, fig (5-10), in which two urban trees effects have been thermally studied. A key parameter of tree foliage is the leaf area index which has many The flat leaf trees used as presented in section 5.3.1 initially suggested an definitions. empirical LAI value of 1 based upon its definition to generate a solid shadow. The canopy LAD profiles have been generated to be used within ENVI-met plants database. In order to check such LAI based tree performances numerical simulations using ENVI-met took place for the case study environments with these trees. Simulations indicated that, when selecting a tree, the greater the tree height then the greater the need to increase leaves to produce more density for more interception (with the caution regarding long wave radiation trapped by canopies). Optimization between ground surface physical properties and the amount of heat trapped by a tree could help increase the LAI value of a specific tree so that more direct and sky radiations can be intercepted. Although air temperature records showed only about 0.1-

0.3 °C reductions from both base cases due to the reduced wind speeds and lack of soil water that prevented evapotranspiration effects, Ficus Elastica performed generally better than the Yellow Poinciana. Humidity rates were close by using the Yellow Poinciana or the base cases. Wind speeds were reduced by about 60% from the model input value at northern facades and about 95% at southern facades in both cases. The biggest effect of Ficus Elastica came from studying surface heat budget under canopies. The radiant temperature reductions reached 5-15°C in Misr Al-Gadida and up to about 40°C in the Fifth Community (that wasn't using trees at all) in comparison with each base case. This is in addition to intercepted direct radiation of more than 100 W/m² with the Ficus Elastica rather than the Yellow Poinciana in both cases. But regardless, the Ficus Elastica trees performed better than the Yellow Poinciana. Neither of the two trees, even the shorter one, intercepted more than 50% of the horizontal direct short-wave radiation measurements at the airport. This could be due to an overestimation by the software and integrations of Eq. 18 and 190. It is expected that the smaller the tree height the more dense its shadow. An LAI of 1 can be considered the lowest value to intercept about half of the short wave direct radiation by using up to a 20m high flat leaf tree (such as the Yellow Poinciana); larger LAI values could have intercepted more incoming radiation. Results suggest an actual definition of LAI for flat leaf shadow production trees to be in terms of height regardless of its type and in terms of the peak time solid shadow rather than the changeable upper leaf area, i.e. specific definition for each trees range of h and z_m . For a 15m height tree for example; it is three times its ground solid shadow area at peak time. Hence, if the Yellow Poinciana examined in this paper is to be used for a housing height up to 20m, it may be not less than LAI of 4 should be this tree to intercepting about 100% of incoming radiation. This way can solve the discrepancies of LAI definitions reported by (Jonckheere et al. 2004). The study also indicated that, to interpret an actual LAI value for a shadow production flat leaves tree with a specific foliage proportions, methodologically, first; test the empirical value LAI of 1 to model the tree. At a semi-arid mid-latitude regions starting from 30°N like Egypt and towards low-latitude sites, any tree of h, L_m , and z_m if does not validate LAI of 1, the ground shading will not fulfil at least 50% interception and this value can be used as a benchmarking reference for urban trees selection. For other climate regions the empirical start for LAI will be 0.5. Second, simulate this tree environment and calculate its corresponding climate effects to initially find the preferable tree among others. Third, estimate its approximate 100% interception LAI value then search the market for such trees.

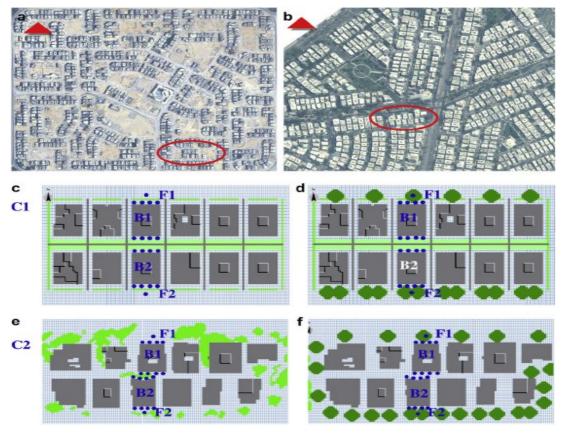


Figure (5-10): Reduced areas investigated from C1 and C2, published in (Fahmy et al. 2010).

5-5-2 Coupling outdoor-indoor simulations and the urban thermal mass

As ENVI-met does not have the capability to simulate indoor climate (it just deals with it as a heat sink through steady state conduction), DesignBuilder2.0.5 has been used for investigating the mean comfort level and CO₂ emissions for a selected building in C1 to study the effect of passive urban planning on CO₂ emissions. DesignBuilder v2.0.5 is a 3-D comprehensive interface built over Energy Plus v3.1, (DOE 2009), a dynamic model that can simulate indoor thermal interactions, calculate comfort levels and CO₂ production (DesignBuilder 2009).

The building is designed as a ground + two floors (G+2) dwelling, built in 2006 as a small multifamily unit containing almost 6 typical flats each with 5 people per flat. The ground floor area is $300m^2$ where typical floors are $330 m^2$. It has a window to wall ratio of about 15% and it is oriented along the N-S axis. Despite the building already existing in BC1, it has been assumed that the same building will be constructed in all C1DS1-3 in order to fix differences in CO_2 production to the built environment not to the increased facilities if a (G+3) of the C1DS1-3 has been used. The mechanical cooling system is split with separate

mechanical ventilation in a multi zones model for all zones except for bathrooms, kitchens, stair cases and the whole basement.

The means of *air temperature, wet bulb temperature, relative humidity, global radiation, short-wave direct and diffuse radiations and wind speed* for all site outdoor grids were added in their time places in a comma separated (CSV) file extension. This allow easy editing of hourly meteorological data in an Egyptian Typical Meteorological Year (ETMY2) weather data format which can be used for Egypt, (DOE 2009). As DesignBuilder v2.0.5 uses (EPW) weather files, EnergyPlus converter tool has been used for conversion after writing new hourly data in the (CSV) file. This file does not represent a typical year, but actually DesignBuilder v2.0.5 cannot upload the weather file for simulation without using a statistical file that records the slight modification made to the typical year by means of the new hourly data generated by ENVI-met. In another word, the ETMY2 has been also statistically modified to represent the urban form adaptation from design suggestions of the neighbourhood. Wet bulb temperature was needed to complete the new hourly weather data (12h) and was calculated from *Ta*, *RH* and air pressure at the elevation of the site (100439 Pascal at 74m above sea level).

The building design temperature is 23°C whereas the ambient air temperatures calculated statistically generated by EnergyPlus and used in DesignBuilder were maxima of 44°C and 43°C for BC1 and C1DS1-3 respectively and minima of 24°C for all of them.

Snapshot receptors were used to record the near wall meteorology for a limited microclimate area in the Fifth Community; the urban site had been simulated in a local scale. Therefore, mean meteorology for the whole site can be representing ambient conditions for all the site's buildings, which is better than using WMO weather station measurements from a single point to represent the climate conditions of a city (WMO no. 623660 at Cairo International Airport weather station). Moreover, this is better than having receptors surrounding each building which means more than the receptor numbers allowed in ENVI-met (99) giving a large number of simulations for each building, which is very time consuming. Simulations for each case in this work took about 7-10 days to build the model, about 7 days to simulate it and about 5 days to extract numerical data, indoor simulations and plot the outputs. Eventually, local scale meteorology means have been used to compile the (EPW) file for use by DesignBuilder, fig (5-11).

Figures (5-12) and (5-13) show mean building indoor comfort level, PMVi and CO₂ production per Kw.h corresponding to mean ambient conditions at 1.6 a.g.l which in turn corresponding to mean outdoor comfort, PMVo of all urban spaces of the neighbourhood at

same height. The same trend of PMVo presented in section 5.4 can be noticed in PMVi regardless its close records for all cases owed to the mechanical cooling that kept comfort levels close to each other. Surprisingly, CO₂ produced from DS1 has exceeded that of BC starting from 12.00LST and towards sunset reaching 1.5Kg at 18.00LST. The success that the clustered form of DS1 has achieved in comparison to BC1 appeared in PMVo hasn't been achieved in terms of CO₂ emissions. It used only clustered form without structuring the green coverage or intensively using urban trees and didn't help much modification of ambient climate conditions in terms of PMVo which recorded only 4.9 for DS1 in comparison to 5.1 The application of the passive thermal comfort system used by (Fahmy and Sharples 2009a), on a neighbourhood scale with enhanced clustered form oriented 15° from E-W axe as an optimization for mid-latitude location, performed generally better regardless the urban mass effect discussed in 5.4.1. The compiled ambient conditions of DS2 reduced CO₂ production by 1.7% of about 0.6Kg from BC at 13.00LST and start to exceed BC at 14.00LST at which the urban mass effect starts to take place. C1DS2 had maximum day time CO₂ reductions of 1.7Kg at 10.00LST. The only difference of DS3 from DS2 is the roof surfaces' albedo as shown in Table.2. In this suggested master plan, CO₂ reductions at peak time reached 2.8% of about 1.0Kg from BC. In fact, the sum of the 12h CO₂ production for all BC and DS1-3 revealed that only DS3 succeeded in coupling between reduced outdoorindoor comfort levels and reduced CO₂ production. Despite DS3 CO₂ sum of the 12h equalled 404k.g compared with 404.3Kg for BC1, the small daily sum difference gives an idea about the homogeneity of DS3 urban form. Its compactness could have allowed more nocturnal cooling for each clusters' group within each quarter if the local urban radiant heat island, LUHI, showed improved homogeneity as described by (Fahmy and Sharples 2009a). In another word, more reductions can be achieved by decreasing the urban mass time lag, fig (5-7e), appeared early evening lasting for about 2h from 13.00LST until 15.00LST.

From the author's point of view, street canyons' aspect ratios of the best coupled outputs, DS3, should be more than the used ratio of 1 and 0.6 within cluster groups. Another solution is to use high asphalt albedo of both the asphalt and pavement to reduce heat gain along with minimizing the emitted stored sensible heat but this unfortunately might affect PMVo negatively. Nevertheless, it is not the scope of this work and could be an extension outlining a complete neighbourhood cool surfaces as presented by (Akbari et al. 2001) not only for building roofs as used in DS3.

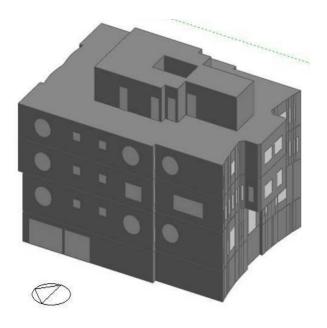


Figure (5-11): The building model built in DesignBuilder2.0.5 including its basement which is not included in CO_2 production calculations (Doesn't have HVAC system).

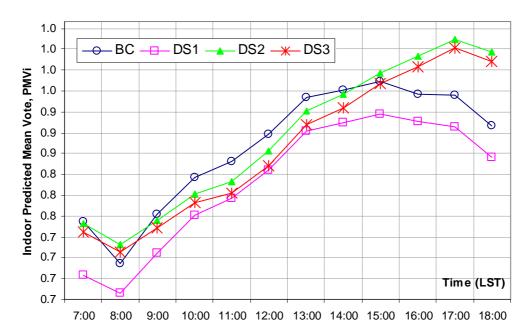


Figure (5-12): Coupling methodology for mean indoor comfort levels.

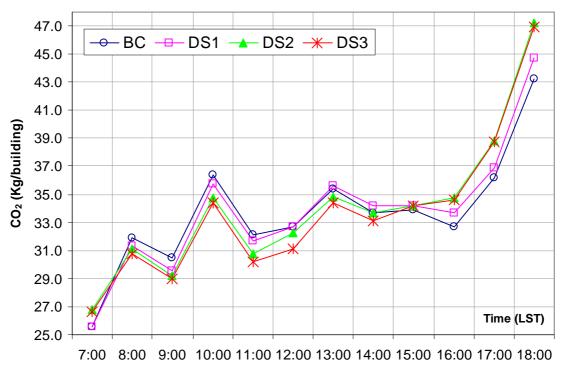


Figure (5-13): Coupling methodology for CO₂ production.

5.5.3 Peak time URPLA comfort design model

The capability to have records for all urban space grids of the cases' studied previously gives an indication about the land use percentages that affected the local scale comfort. As the local scale compactness, percentage of green coverage and the urban network area percentage are the main parameters that comprise land use for an urban area; this section is an approach to investigate how climate can affect them. From an urban form point of view, the number and types of trees used and how the form is homogeneous to keep constant comfort levels all over the urban site are also responsible for generating a specific level of local mean comfort. This section is a presentation of how these can be useful for urban planners and neighbourhood development in terms of the local mean PMV value. For the same fixed surface albedo and thermal conductance values the mean PMV is a result of all the urban spaces PMV values which in turn is a consequence of certain fabric and GreenSect compositions. This design composition can be represented empirically by an equation summing the form design parameters as follows:

- 1- D_c standing for fabric volume, i.e. residential construction percentage $A_c \times$ canopy layer height, or the number of urban floors n_f which in turn can be calculated from the population.
- 2- A_c is the urban site constructed area percentage.

- 3- A_g is the green coverage area percentage (%).
- 4- A_n is the neighbourhood vehicle network area percentage (%).
- 5- $T_p.L_m$ represents the urban trees shadow coverage with a specific tree. L_m is the site mean max. LAD of the tree as quantification for the type of trees used in the site, which represents the effect of specific urban trees on the local comfort. T_p is the tree grids percentage (%) compared with the site outdoor grids built in ENVI-met modeller which gives an indication about the urban shadow at peak time generated by trees.
- 6- n_f is the urban site average floor number which is needed to calculate the site compactness degree.

After many trials to examine all of these parameters in one equation only the variables presented in Eq. 18 have been used due to the many samples (simulations) needed to qualify statistical significance tests. Only the parameters of Eq. 18 considered significant are shown in tables (5-5) and (5-6). Where more parameters are added such as D_c in the regression applied to derive coefficients, insignificance took place. But such an important parameter could be derived eventually as shown in Eq. 22.

Thus, the initial equation has been written to represent the land use parameters that compose an urban passive system are as follows;

$$URPLA = c_1 A_c + c_2 A_g + c_5 T_p L_m + c_n$$
 Eq. 18

 URPLA ; is the urban comfort level at a time in terms of PMV at peak time of the design day. The Egyptian land use planning policy supposes that about 1/3 of the neighbourhood area is dedicated for network and about 1/4 for civic services, so that the rest of around 50% of the neighbourhood area is for residential usage. This study assumes that the green part of the civic services area is a part of A_g . From this viewpoint, A_g is not calculated as only the public green spaces but also includes the rest of the plot areas. As the maximum plot construction percentage can be 60% for all types of buildings, the real A_c value is about 60% of the area dedicated as residential of a neighbourhood residential plot area R_c . So if an assumption is made to fix A_n at 40%, an optimization for the land uses relation of A_c (fabric) and A_g (GreenSect) can be made on a climatic basis after deriving regression coefficients.

As a note, the three percentages of A_c , A_g and A_n are the main compositions of an urban land use so that:

$$R_c + A_g + A_n = 1$$
 Eq. 19
 $1.4A_c + A_g + 0.40 = 1$ Eq. 20

The model coefficients have been derived by regression analysis using outputs of 14 simulations but only the results of two hours at the peak time for each sample have been used. The basic designs illustrated in table (5-4) have been used in addition to modifications in D_c and in $T_p.L_m$ in terms of more floors added and a different tree used as indicated in table (5-5) and fig (5-14/a). First of all, ENVI-met's overestimations do not allow comfort prediction by night, therefore only the day time which is about 12 hours in summer at a mid-latitude location like Cairo, has been simulated. Among these 12 hours a couple hours of the peak time PMV mean was selected in the regression step to generate the model coefficients, which theoretically, if solved later, will generate land use parameters to cope with the peak climate conditions. Therefore;

$$URPLA = c_1 A_c + c_2 (0.6 - 1.4 A_c) + c_3 T_p L_m + c_n$$
 Eq. 21a

To solve the model for specific climate conditions and a site, the corresponding PMV along with vegetation and urban planning parameters have to be entered. PMV as an URPLA value is calculated as comfort conditions for a design day (typical or extreme summer day at peak time) are known whereas the needed T_{mrt} is assumed to equal air temperature for that day and time. Other biometeorological entries are for a walking pedestrian with speed of 1.1 m/s and 0.5 Clo.

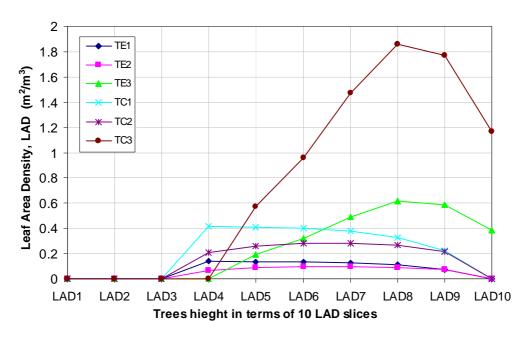


Figure (5-14/a): 10 LAD values of the trees used in thesis simulations; L_m , i.e. the maximum LAD for each tree was selected to represent the urban tree type in the model.

Table (5-6): Samples of URPLA regression.

No.	Samples	Output Time (LST)	URPLA (PMV)	A _c (%)	A_g (%)	T _p .L _m
1	C1BC	12:00	5	0.252	0.36757	0.01364
2	C1BC	13:00	5.1	0.252	0.36757	0.01364
3	C1DS1	12:00	4.8	0.299	0.29117	0.02015
4	C1DS1	13:00	4.9	0.299	0.29117	0.02015
5	C1DS1_Dc	12:00	4.5	0.299	0.29117	0.02015
6	C1DS1_Dc	13:00	4.6	0.299	0.29117	0.02015
7	C1DS1_Lm	12:00	4.3	0.299	0.29117	0.04161
8	C1DS1_Lm	13:00	4.3	0.299	0.29117	0.04161
9	C1DS2	12:00	4.3	0.310	0.47620	0.06003
10	C1DS2	13:00	4.7	0.310	0.47620	0.06003
11	C1DS2_Dc	12:00	4.1	0.310	0.47620	0.06003
12	C1DS2_Dc	13:00	4.3	0.310	0.47620	0.06003
13	C1DS2_Lm	12:00	4	0.310	0.47620	0.04132
14	C1DS2_Lm	13:00	4.3	0.310	0.47620	0.04132
15	C2BC	12:00	4.7	0.412	0.10471	0.22878
16	C2BC	13:00	5.2	0.412	0.10471	0.22878
17	C2DS1	12:00	4.2	0.327	0.27299	0.02984
18	C2DS1	13:00	4.4	0.327	0.27299	0.02984
19	C2DS1_Dc	12:00	4.3	0.327	0.27299	0.02984
20	C2DS1_Dc	13:00	4.4	0.327	0.27299	0.02984
21	C2DS1_Lm	12:00	4.3	0.327	0.27299	0.05358
22	C2DS1_Lm	13:00	4.3	0.327	0.27299	0.05358
23	C2DS2	12:00	4.3	0.318	0.48576	0.05175
24	C2DS2	13:00	4.4	0.318	0.48576	0.05175
25	C2DS2_Dc	12:00	4.4	0.318	0.48576	0.05175
26	C2DS2_Dc	13:00	4.5	0.318	0.48576	0.05175
27	C2DS2_Lm	12:00	4.4	0.318	0.48576	0.03562
28	C2DS2_Lm	13:00	4.4	0.318	0.48576	0.03562

[•] The grey highlighted rows are the basic simulations land uses whereas

[•] In all cases; names include D_c stands for the increased compactness from the previous case no. whereas L_m stands for a different tree used to increase sample number for the regression purposes.

[•] DS3 in both cases hasn't been used as it applies different roof and walls albedo.

Table (5-7): Statistical significance tests for regression variables.

Regi	Regression Coefficients' Statistics for Significance									
		A _c (%)	Ag (%)	T _p .L _m	C _n					
1	Coefficients	-11.39138006	-0.993456605	7.00855659	8.074564453					
2	Standard error (x)	3.379198718	0.578592109	2.25344412	1.00537105					
3	Standard error (y)		0.251931229							
4	Correlation (r ²)		0.513061854							
5	df		16							
6	Hypothesis		0							
7	<i>f</i> -critical (F≤ <i>f</i>)		4.264 (0.01)							
8	F-statistic		7.224987							
9	<i>t</i> -critical (T≤ <i>t</i>)	2.92078 (0.005)	1.336757 (0.1)	2.92078 (0.005)	4.0150 (0.0005)					
10	T-statistic	-3.371029942	-1.717024117	3.110153266	8.031427254					

[•] The standardized coefficient of significance for *f*-critical and *t*-critical corresponding to each land use variable is in between brackets of rows 7 and 9.

 T_p is assumed by not more than $0.5(A_u-A_c)$ to allow an optimization between minimizing exposure time and releasing the trapped heat. On this basis it can be easily calculated from the model area file grids. This is regardless of the fact that ENVI-met trees grids overlap A_g grids. Therefore, to use Eq.20 for land use parameters prediction, it can be written as following;

$$URPLA = -11.39138A_c - 0.99345(0.60 - 1.4A_c) + 7.00855T_p.L_m + 8.07456$$
 Eq.21/b

Consequently:

$$A_c = (URPLA - 7.00855T_p.L_m - 7.47849)/(-10.00055)$$
 Eq.22/a

And hence;

$$D_c = n_f .[(URPLA - 7.00855T_p.L_m - 7.47849)/(-10.00055)]$$
 Eq.22/b

This URPLA model has been validated against the designs presented by (Fahmy and Sharples 2009a) and shown to have a good prediction for the original design parameters used after considering revising the actual grids in the model area file to calculate land use percentages rather to calculate them from AutoCAD files. Figure (5-14/b) show the resulting A_c , A_g and their sums for the same population, climate conditions and vegetation used in (Fahmy and Sharples 2009a) in comparison to the predicted values by URPLA.

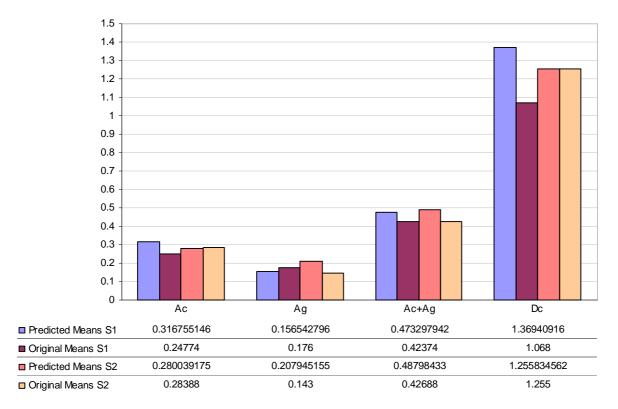
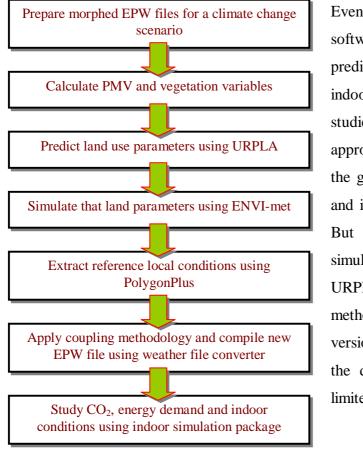


Figure (5-14/b): URPLA validation; Predicted Ac and Ag plotted against their originals simulated.



Eventually and as shown in fig (5-15), software cycles can be introduced to predict future urban form land uses, indoor comfort and energy demand studies due to climate change. Such an approach does not completely exist on the ground of climate change literature and its related consequences until now. But this could take more time to simulate more of refining cases URPLA validations to be used in such methodology and needs the developed version of ENVI-met to simulate 24h of the design day instead of only being limited to day time.

Figure (5-15): Future form prediction flow chart and software cycling.

Chapter Six: Conclusions and Recommendations

6-1 Concluding remarks:

Directly, thesis rolled around climate based urban planning in Cairo and its benefits for wellbeing in terms of human outdoor thermal comfort as well as energy saving in terms of controlling solar access to reduce the whole urban pattern heat gain. These two main objectives couldn't be approached unless urban thermal comfort and urban planning basics have been studied in a broadened way to know how to design an urban pattern and fabric that interact passively to generate a specific urban form. A mutual iterative design method took place between the urban form in terms of passive design tools and with assessment to enhance both of urban spaces details. By this way the awareness for the necessity of a new urban planning renaissance based on passive thermal design of urban patterns can be raised. As the combination of assessing human comfort within built environment is crucial to know how much the design is successful, an analysis using one of the numerical models that can simulate almost a complete urban environment, ENVI-met, simulations were held for two local scales urban forms for summer typical day time which revealed urban planning implications.

Urban passive design tools presented in chapter two have been embedded in newly suggested forms, simulated and then compared with base cases. These design tools can be grouped as pre-planning and design stage tools such as site selection. While-design tools' group is concerned about the fabric, i.e. the buildings themselves, how they can be formulated using the pattern type and degree of compactness which can be considered the fabric form adaptor. Urban GreenSect is another important tool of this second group, it is a green structure based on the human biometeorology. It helps directing the land use zoning and the initial neighbourhood sketch design towards specific urban form instead of just planning to accommodate population. Thirdly, using cool surfaces including green roofs is the third group which doesn't affect the form itself nor improving the human thermal comfort rather than reducing fabric heat gain within large scale revealing in energy saving.

However, literature and pre-main simulations guided the suggested design towards the clustered form combined with medium population and concluded what the research called it, hybrid urban form. From this standing point, the first case; the Fifth Community, is less populated due to the single family dot patterned housing which revealed more wind access as

well as more solar as presented previously. Contrary, second case; Misr Al-Gadida, is over populated revealed high rise apartment residential buildings with more solar and wind shelter.

Consequently, hybrid urban form increased population in the first case and the opposite in the second in order to offer a medium population urban form which is same time offered controlling the local urban climate circumstances and its thermal performance. Two main suggested urban clustered forms were simulated for each case study with parallel shaded-unshaded avenues; the first one was built over the existing site zoning whilst the second was a new design. Second suggestion for each case is a proportionally refined clustered form built all over the neighbourhood site. The clusters' courtyard proportion is 1:3:1.3 as W/L/H with a closure ratio of 3.45.

The GreenSect (Green coverage within city tranSect) as a passive urban planning tool that is mainly an urban green pattern regularly distributed all over the neighbourhood. Distances between green nodes have been calculated biometeorologically using human walking speed and the time of walking which can be related in further studies to the exposure time, so that quantification for the human comfort adaptation aspects can be offered. Comfort in terms of PMV has been improved from both base cases by peak time using the clustered fabric and the GreenSect. Long-wave radiation from environment elements; ground, walls and trees illustrated more improvements but cautious has to be given to the trapped heat either by the more compacted fabric or by the more dense trees.

Numerically calculated and introduced to ENVI-met in terms of its maximum peak time ground shadow, sites' urban trees effects significantly appeared in the Fifth Community design suggestions. This is owed to the lack of existing trees in situ revealing the shift in the plotted curve of PMV and radiant temperature which had a name by the research as urban thermal mass. Therefore, the more urban thermal mass, i.e. more number of specific trees' species, the more shift on the urban PMV mean is expected. Regardless that PMV is literally criticized in comparison to other comfort scales, but the modifications to include direct solar radiation illustrated in chapter one made by (Jendritzky and Nübler 1981) allowed using PMV in ENVI-met sufficiently. Increasing albedo values for surfaces didn't help more comfort closure rather than more surfaces' temperature reduction, air temperature reductions and hence better indoor thermal performance is expected.

Main remarks as presented in this study are:

- 1- Local climate scale control to improve outdoor comfort as well as energy savings have to be approached by specific combination of urban passive design tools not only fabric design aspects, nor only vegetation aspects. Based on population density, passive thermal comfort system is mainly composed of a parallel avenued hybrid clustered fabric, GreenSect and the human comfort adaptation aspects. From another sustainability point of view, adaptation opportunities for people can be offered through urban passive design contributed to behavioural adjustments which increases urban habitat, privacy for enclosed urban spaces and variety of urban places that appear within this new pattern; the micro GreenSect at each residential clusters' groups. Such a parallel avenued clustered fabric with GreenSect patterned neighbourhood can be considered a climate based urban planning improvement for the Garden city as it has the residential clusters' groups arranged around the biggest part of the GreenSect but with different approach and concluded urban form, it can be called a climate neighbourhood.
- 2- Optimization for pedestrian solar exposure time can be further studied, so that using pedestrian walking speed and the minimum exposed distance to walk through, the time of exposure can be quantified as another human urban thermal comfort adaptation aspect. This was out of this study scope as it needs simulations for pedestrians' movements in the urban environment in order to support the decision of route choosing based on the ground shadows at peak time which is supposed to be the maximum exposure.
- 3- Community green coverage is a biometeorological skeleton (GreenSect) that can be also structured within each residential (clustered) group by further simulation assessments for the exact needed area using ENVI-met.
- 4- Urban trees LAI can be defined in terms of its peak time ground shadow instead of its leaves upper looking area to be distinguished for urban shading function.
- 5- Despite ENVI-met still in its progress phase, it proved an acceptable and comparable capability to simulate urban thermal environments in terms of only design scenario's comparisons due to the fixation of weather data input all simulation time at the boundary conditions and the uncalculated wall's heat storage.
- 6- This study has revealed a new simple data extraction tool to be applied along with ENVImet model. As the model area entered to ENVI-met was limited by the obtained satellite data, PolygonPlus; a simple visual basic code was designed to help extracting output data for a specific polygon from the simulated area. By this way, output for all grids simulated can be averaged to represent the whole local scale climate rather than to measure for a single or even several street canyons to draw a local climate picture for a neighbourhood.

- It might need to be developed to calculate minimum and maximum values of the averaged metrology to offer a refined understanding of the local climate conditions.
- 7- Eventually, extracted data has been used methodologically for only one summer representative day to coupling outdoor-indoor weather data regardless that ENVI-met generated meteorology is generally cannot be compared with real measured data. ENVI-met generated meteorology is gathered within an Egyptian Typical Meteorological Year (EPW) file in order to relate indoor thermal performance with local urban details rather than to study indoors depending on a free horizon measurements at open site weather station. By this way, future development of ENV-met can make a unique weather data generator based on the fine and exact urban site details.
- 8- Theoretical regression model, URPLA, has been contributed to offer a comfort based land use parameters specification by urban planners for mid-latitudes. This is considered an initial research step towards easing and relating urban climate knowledge with urban planning and urban form design. More developments are expected as ENVI-met itself develops and as more simulation samples are examined. It will be available then to either predict the land use parameters for a neighbourhood in the future considering climate change aspects simply by using morphed meteorology generator as presented at the last paragraph of section 5.5.3.
- 9- The necessity of integrating the many interdisciplinary fields related to this research show the necessity of integrating the methodology and sub-methodologies used in the climate based structuring of urban form even on an educational and institutional basis not only for research purposes. None of the three suggested master plans could have been processed without such integration.

6.2 Recommendations:

1- Climate based neighbourhood urban planning, could be applied for urban – rural developments in at least Greater Cairo as it consumes about 30% of property investments in Egypt. Moreover, existing and ongoing urban developments can be reconsidered in the light of the research findings by urban regeneration policy either by land use filling or by urban and architectural redesign. Hence, population density can be controlled in the light of a long run projected population growth to sustainably benefiting a regional and national housing strategy rather than applying a constructional policy which has been referred to by Andres Duany as a solid protocols applied by the urban development community.

- 2- An establishment for the practitional and educational background of the importance of numerical simulations to the field of urban planning is needed due to the complexity and the wide range of research fields and urban environment elements involved at local climate scale. This is in order to relate passive design and climate knowledge to the real practice and improve its sustainability rather than building sprawled communities only to accommodate people. Nevertheless, the Egyptian urban planning law that still in its infancy stage as it is not related to the applied development projects or the housing strategy needs to consider this new approach for neighbourhood planning.
- 3- Urban trees used in landscape planning as one of the many elements involved in numerical simulations have to be measured and recorded in terms of its LAD and LAI to allow thermal performance assessment. Moreover, extended research have to take place from agricultural point of view to investigate the suitable grass or ground green coverage for the GreenSect nodes in arid circumstances in terms of the limited water sources, increased soil salts and hot climate specially for the rural developments were sandy salty soil is the dominant.
- 4- The research recommends using ENVI-met especially after its expected development to version 4.0 for sustainable urban development as it has proven a considerable capability to assessment of neighbourhood urban planning and form design in this thesis.
- 5- In completion to involving urban climate knowledge in the urban planning process, single building passive and sustainable design knowledge along with indoor simulation models and packages have to be involved efficiently within the construction and urban planning legislations and management especially in climatically classifying the patterns to divide a mega city such as greater Cairo into climate based zones. In this respect, the compactness degree as a form adaptor can be same time population controller.

6.3 Responsibilities for recommendation application:

Basically, the radical and synoptic urban design, planning and development methodologies used in the Egyptian policy which applies a central management system doesn't consider the good involvement of all urban design and planning parties in the early preparation stages of constructing new communities such as the public. Moreover, as it is not strongly established on the expected population growth in addition to the lack in population control policies, almost all master plans for Cairo have been overwhelmed. Nationally, decentralizing preparation of local design alternatives' authorities along with municipalities' public participation can improve urban form significantly, this have been approved world

wide specially if a country area like Egypt includes different climatic classification. Such mechanisms need a governmental political support and efforts to be represented in an applied legislative way. The governmental bodies that might give efforts for these mechanisms to take place legislatively are;

- 1- Ministry of Housing and Urban Development:
 - a- Housing and Building Research Centre; to sponsor a research series for studying thermal comfort in the built environment outdoor-indoor spaces and to examine the better alternatives for housing and urban patterns' prototypes along with completion of the Egyptian Residential Energy Code in cooperation with the Ministry of Energy and Electricity.
 - b- General Organization of Physical Planning and the Central Development Authority; to prepare legislative drafts for sustainable urban planning in the different Egyptian climatic zones with passive housing designs and a climate based cities' zoning to be included in (Law3 1982; Law106 1999).
- 2- Ministry of Culture, National Organization for Urban Harmony; to investigate urban context, spaces, places and scenes in the light of the generated urban sustainable patterns and fabric from 1a and 1b.
- 3- Central Agency for Public Mobilization and Statistics, CAPMAS; to prepare a projected (future estimated) population for the next century to be used for today and future changed climates' urban planning.

6.4 Future work

Author is willing to submit the following titles in the form of journal articles or conference papers as an extension for thesis findings:

- 1- As if Climate Mattered I: Passive Neighborhood Urban Planning in Cairo, Egypt.
- 2- As if Climate Mattered II: Cairo 2050 Land Use Predictions Using URPLA Model.
- 3- Environmental Thermal Impact Assessment of Regenerated Urban Form in Sheffield.
- 4- Rice-straw Based Cement Brick Thermal Performance Analysis in Cairo, Egypt.
- 5- Climate Change Based Accreditation Upgrade for Present Day Master Plans by Urban Form Redesign.

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Appendix 1: Briefing for thermal comfort indices

Appendix 1: Briefing for thermal comfort indices

Index name	e Brief
- Meteorology	parameters indices:

Psychrometric chart 1 A graphical tool for plotting meteorology and assigning comfort (comfort tool) zones for different regions in terms of DBT, WBT, RH, VP,...etc (Givoni 1969).

Bioclimatic chart A graphical tool for plotting meteorology and assigning comfort zones for different regions (Olgyay 1963; Olgyay 1967). (comfort tool)

3 Mahoney Mainly in tables to record meteorology, compare them and then bioclimatic tables give design recommendations (Koenigsberger et al. 1970). (comfort tool)

Effective It is presented in Monograms and represents the instantaneous Temperature (ET) thermal sensation estimated experimentally as a combination of T_a , RH and v, (ASHRAE 1981).

A graphical tool for evaluating temperature variations (Evans 2003; 5 Comfort triangle Evans 2007).

6 wind shell index, Combining Ta and V for outdoor cold conditions, (Siple and Passel 1945; Osczevski and Bluestein 2005; Shitzer 2007). (WCI)

Actual Sensation It depends on the meteorological parameters of air temp., relative Vote (ASV) humidity, wind speed and global radiation (Nikolopoulou 2004).

b- heat balance indices:

1- steady state indices:

Equivalent

d(ET)

Temperature (PET).

Index name Brief

PMV expresses comfort on a scale from -3 to+3 for an indoor Fanger equation, (PMV) balanced human heat balance, (Fanger 1972).

2- transient state based heat balance indices:

Index of thermal It considers the human physiology to maintain thermal equilibrium by sweat secretion at sufficient rate to achieve cooling, but wasn't a) sensation ITS and TS, the thermal originally considering the radiation exchanges, and then modified sensation index for outdoors as Thermal Sensation Index, ITS (Givoni 1963; Givoni 1969; Givoni et al. 2003).

The thermo-physiological assessment is made for a standard male b) Perceived whom has varying clothing and activity or work within a temperature (PT), The new effective standardized environment and depending on the base of PMV, based on a complete heat budget model describing the temperature (ET*), The Standard physiological processes that provide reference environment in Effective which heat fluxes would be the same as in the actual environment. **Temperature** Mean radiant temperature is equal to air temperature and calm with (SET*), varying wind speed from 0.1 m/s of the PET to 0.15 of the ET*, SET* and OUT_SET*, 50% RH with a temperature of 20 °C. The The Outdoor Standard Effective perceived temperature PT and PET are closely correlated with r = **Temperature** 0,995, (Jendritzky and Nübler 1981; Gagge et al. 1986; Mayer and (OUT_SET*), Hoppe 1987; Hoppe 1999; Spagnolo and de Dear 2003; Ali-Physiologically Toudert 2005; Monteiro and Alucci 2006).

c) Outdoor PMV On an 8-points scale, it considers the transient condition of the urban environment including short-wave radiations to account for pedestrian walking circumstances.

d) Dynamic effective A dynamic thermo physiological assessment depending on two node model of core-skin temperatures of agents walking within temperature index environment (Bruse 2005a; Bruse 2005b).

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Western and Socialist Urbanism comparison

Appendix 2: Western and Socialist Urbanism comparison, and continued tracking for the emergence of the urban planning and design

CIAM	Basic features	Socialist	Basic features
1-Lassaraz 1928	The creation of urbanism	1929	Early 1929 delivered massive
	field itself regarding the		industrialization and urbanization
	modernity in planning		regarding social equity in terms of
	new communities after		housing, socialist towns dissolute
	the satellite garden city		into landscapes
	and the British town		
	planning act in 1909.		
2- Frankfurt 1929	Continued confronts	1935	Debate about Moscow plan made
	between German		the centralized policy is the
	Socialist and the French		socialist way of urbanization
	Liberalist to define		depending on the neighbourhood
	directions of city		model
2 Dunga ola 1020	planning.	1947	The Stalinist most one of unbanization
3-Brussels 1930	Functional city of Le- Corbusier.	1947	The Stalinist system of urbanization
	Corbuster.		is the common concerning central city with medium rise buildings and
4- Athens 1933	Le Corbusier manifesto	1950	a great industrial zones A delegation from Germany to the
7- Amens 1933	recommendations for	1930	Soviet union back to Berlin with a
	functional city planning,		16 Charta principals for urbanism
	high ways and		focusing on the city centres,
	perpendicular networks,		limitation of private properties,
	high rise blocks of		traffic, hypertrophied growth of
	buildings and great area		cities and limitation of single family
	for gardens, Character in		housing. Compactness to save area
	practice for city zoning.		is a main feature with the classical
	New confronts between		monumental style.
	ASCORAL group and		Orders from conference party of
	MARS group.		soviet union and similar parties in
			East European countries were the
			motivations for urbanization in
			CEE.
5- Paris 1937	Under German	1955	Decrease of socialist urbanization
	occupation		in CEE.
6- Bridgewater	MARS ascending	1962	By this year, number of socialist
1947	Leadership, creation of		cities were constructed like; Nova
	physical environment, the		Huta, Poland; Dunaujvaros,
	introduction MODULAR		Hungary; Dimitrovgrad, Bulgaria;
	and GRID for		and, Eisenhüttenstadt East
	architectural		Germany, depending the steel and
	standardization and		Petrochemical industries
7 D 1040	architectural graphics.	10.5	~
7- Bergamo 1949	Character of human	1967	Green separation between
	habitat, Le Corbusier		industrial zone and the residential
	ideas of three human		zone as an environmental aspect
	establishments supporting		but decline due to massive and
	city planning which are;		heavy industrial constructions was
	agriculture, industry,		notably one of the reasons of
	exchange of national		socialist model failure
	plans.		

CIAM	Basic features	Socialist	Basic features
8-1951	Renewing social heart of the city after industrial occupation, the visual art of urbanism, employment of contemporary expressionism in architecture and urban where it was the first time to gather urbanism to planning.	1970	The central pattern type of planning and planning policy was the reason of urban rural immigration towards cities' centres except some country towns like Schwedt in East Germany.
9-AixenProvence 1953	Continued confronts between ASCROL and MARS	1975	Urban suburbs huge constructions while centres declination in East Berlin, and Budapest(Ladd 2001; Noody 2004).
10- Otterlo 1959	The decay of CIAM whilst the principals of post modernism couldn't deny any of the functionalism but just giving dynamics in networks forms to cities and maintaining the patterns by development.	1989	Extensive urbanization for industrial suburbs revealed in a dramatic declination for the cities central neighbourhoods.
11- Modern Architecture Research Group		Post Socialist Urban Planning	

11- Modern Architecture Research Group MARS

Post Socialist Urban Planning

- 12- City planning expression has emerged from Jane Jacob's writings against mega constructions and urban renewal projects in the great American cities
- 13- City design expression has emerged in the writings of Kevin Lynch, (Lynch 1960).
- 14- From 1940s till late 1960s, at least in the USA, due to the great population growth, the term urban design used instead of civic design or city design as the name of the field, (Schurch and 1999). Where confronts between the renewal and reconstruction trends with the city planning and urban design methodologies took place.

URSULA initial report

Environmental thermal impact assessment of regenerated urban form

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Urban comfort is becoming an increasingly important issue due to climate change, increasing population and urbanisation. The greater use of mechanical cooling is not a reasonable long term solution as it will not only discharge more anthropogenic heat into the built environment but will also add to CO₂ emissions. It is therefore essential to ensure the built form does not significantly increase urban discomfort. As part of the EPSRC funded project Urban River Corridors and Sustainable Living Agendas, two urban regeneration alternatives for an existing site in Sheffield have been designed and micro climatically analysed based on a variety of design drivers which revealed radically different urban forms. The first, designed around access to the river, has urban streets running perpendicular to the river bank planted with street trees. The second design, developed to allow space for the river to flood into incorporates a grass channel through the centre of the site. Simulations for the two alternatives using extreme summer day weather in Sheffield was carried out using the numerical microclimate model ENVI-met BETA4 to assess the impact of these forms on the local climate. The simulations were used to compare air temperature Ta, wind speeds V, wind directions, relative humidity RH and the pedestrian comfort levels in terms of the Predicted Mean Vote (PMV) between the two designs. Four snapshot receptors were located to record Ta, RH, and V, and PMV at 1.5m above ground level. In comparison to receptors' output, an averaging methodology is used to describe the same parameters for the whole local climate of the regenerated urban area. Good agreement appeared between records from the individual receptors and the averaged values for Ta and RH, whereas considerable difference was found between the receptors and the average value for V and PMV, demonstrating a microclimate manipulation on the local scale. Regardless the close PMV trend of both alternatives' averaged values; increasing green coverage in the park option showed a minor urban thermal mass effect represented by a difference in PMV of 0.1-0.3 at peak time almost with no urban time lag. Two of the four receptors' showed similar PMV outputs of about 0.2 with 2h of urban time lag. These results provide insight into the microclimate effects of very different urban designs in a temperate climate zone like Sheffield.

Keywords: Average local meteorology, Urban thermal mass, Urban time lag, sustainable regeneration, urban forms

Selected publications from thesis work